

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) TUCKER, PETRESE B.	2. Court or Organization PA-EASTERN DISTRICT	3. Date of Report 12/07/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US DISTRICT JUDGE (ACTIVE)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address ROOM 16613 US COURTHOUSE 601 MARKET STREET PHILADELPHIA PA 19106-1751		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	EXECUTOR	ESTATE
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	2000	COMMONWEALTH OF PA STATE EMPLOYEES' RETIREMENT SYSTEM
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2016	COMMONWEALTH OF PA STATE EMPLOYEES' RETIREMENT SYSTEM-RETIREMENT PLAN	\$27,099.72
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	ADMINISTRATIVE OFFICE OF PA COURTS-COMMON PLEAS COURT JUDGE SALARY
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. PENNSYLVANIA BAR ASSOCIATION	JANUARY 27, 2016 TO JANUARY 31, 2016	ST MARTEN, NWI	MIDWINTER MEETING	TRAVEL, HOTEL, MEALS
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. LAND-HALIFAX COUNTY, VA		None	L	W					
2. ROYAL BANK ACCOUNT	A	Interest	J	T					
3. PHILA FEDERAL CREDIT UNION ACCOUNT	A	Interest	J	T					
4. MERRILL LYNCH CASH ACCOUNT	A	Interest	J	T					
5. BANK OF AMERICA CASH ACCOUNT (X)	A	Interest	J	T					
6. BIF MONEY FUND	A	Dividend	J	T					
7. 3M CO	A	Dividend	J	T					
8. ABBOTT LABS	A	Dividend	J	T	Sold (part)	02/17/16	J		
9. ABBVIE	A	Dividend	J	T	Sold (part)	11/03/16	J	A	
10. ACCENTURE	A	Dividend	J	T	Sold (part)	10/31/16	J	A	
11. ACE LIMITED (Y)									
12. ACTELION LTD		None	J	T	Buy (add'l)	03/15/16	J		
13.					Sold (part)	10/31/16	J	A	
14. ACTIVISION BLIZZARD		None			Sold	02/17/16	J	A	
15. AUTOMATIC DATA PROCESSING	A	Dividend	J	T					
16. ADVANCE AUTO PARTS (Y)									
17. AIA GROUP		None			Buy	04/21/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,001 - \$500,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.					Sold	10/31/16	J	A	
19. ALLIANZ	A	Dividend	J	T	Buy	10/31/16	J		
20. ALBEMARLE (Y)									
21. ALLERGAN		None			Sold	02/17/16	J	A	
22. ALLIANCE DATA SYSTEMS		None			Sold	01/28/16	J		
23. ALTRIA GROUP	A	Dividend	K	T	Sold (part)	04/04/16	J	A	
24. ALPHABET CL C		None			Sold	10/31/16	J	A	
25. ALPHABET CL A		None			Sold	10/31/16	J	A	
26. AMAZON		None			Sold	02/17/16	J	A	
27. AMERICAN EXPRESS	A	Dividend	J	T					
28. AMEREN	A	Dividend	J	T	Buy	10/31/16	J		
29. AMGEN (X)	A	Dividend	J	T					
30. AMERICAN AXLE & MFG (Y)									
31. AMERISAFE	A	Dividend	J	T	Buy	08/05/16	J		
32. AMTR FINANCIAL SRVS	A	Dividend	J	T					
33. ANALOG DEVICES	A	Dividend			Sold	06/07/16	J	A	
34. ANHEUSER-BUSCH INBEV	A	Dividend			Sold	10/31/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. AON	A	Dividend	J	T	Sold (part)	10/31/16	J	A	
36. APPLE	A	Dividend	J	T	Buy (add'l)	02/11/16	J		
37.					Sold (part)	02/17/16	J	A	
38.					Sold (part)	10/31/16	J	A	
39. APPLIED MATERIAL		None			Sold	10/31/16	J	A	
40. ASML HLDG NV NY		None			Sold	02/16/16	J		
41. ASSA ABLOY AB		None			Sold	10/31/16	J	A	
42. ASTRONICS		None	J	T					
43. ARCHER DANIELS	A	Dividend	J	T					
44. AUTOHOME (Y)									
45. AUTOZONE		None			Sold	10/31/16	J	A	
46. ASTRAZENECA	A	Dividend	J	T	Buy	10/31/16	J		
47. AXA	A	Dividend	J	T	Buy	10/31/16	J		
48. AIR PRODUCTS	A	Dividend	J	T	Buy	11/30/16	J		
49. AT&T	A	Dividend	J	T	Buy	10/31/16	J		
50. BAIDU		None			Sold	06/16/16	J	A	
51. B B & T	A	Dividend	J	T					

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52. BAESYS	A	Dividend	J	T	Buy	10/31/16	J		
53. B/E AEROSPACE		None			Sold	11/07/16	J		
54. BALSHEM CORP	A	Dividend	J	T					
55. BANCO DO BRASIL (Y)									
56. BANK OF THE OZARKS (Y)									
57. BAXTER INTERNTL (Y)									
58. BASF	A	Dividend	J	T	Buy	10/31/16	J		
59. BCE	A	Dividend	J	T					
60. BLACKROCK	A	Dividend	J	T					
61. BLOCK H & R	A	Dividend	J	T	Buy (add'l)	02/08/16	J		
62. BOSTON BEER CO (Y)									
63. BRISTOL-MYERS SQUIBB		None			Sold	10/11/16	J	A	
64. BRITISH AMN TOBACO	A	Dividend	J	T					
65. BERKSHIRE HATHAWAY	A	Dividend	J	T	Buy	02/08/16	J		
66. CA INC	A	Dividend	J	T					
67. CANADIAN NATL RAILWAY		None			Buy	06/16/16	J		
68.					Sold	10/31/16	J	A	

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69. CARLSBERG		None			Buy	09/28/16	J		
70.					Sold	10/31/16	J		
71. CARNIVAL	A	Dividend	J	T					
72. CHECK POINT SOFTWARE		None			Sold	09/09/16	J		
73. CHEVRON	A	Dividend	J	T	Sold (part)	12/16/16	J		
74. CHIPOTLE MEXICAN GRILL		None			Buy	02/11/16	J		
75.					Sold	02/11/16	J	A	
76. CHUBB	A	Dividend	J	T	Buy (add'l)	01/28/16	J		
77.					Sold (part)	02/17/16	J	A	
78. CIGNA	A	Dividend	J	T	Buy	04/13/16	J		
79. CINN FINCL	A	Dividend	J	T	Sold (part)	04/04/16	J	A	
80. CISCO SYSTEMS	A	Dividend	J	T	Sold (part)	04/04/16	J	A	
81. CIE GENERALE	A	Dividend	J	T					
82. CITI GROUP	A	Dividend	J	T					
83. COCA COLA	A	Dividend	J	T	Sold (part)	07/07/16	J	A	
84. COTY	A	Dividend	J	T	Buy	10/12/16	J		
85. CME	A	Dividend	J	T	Buy	10/31/16	J		

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86. COLGATE PALMOLIVE	A	Dividend	J	T	Buy	10/31/16	J		
87. COLLIERS INTERNTL	A	Dividend	J	T	Buy	10/31/16	J		
88. COMM BK AUS	A	Dividend	J	T	Buy	10/31/16	J		
89. COMCAST	A	Dividend	J	T	Sold (part)	04/04/16	J	A	
90. COMPASS GROUP		None			Sold	10/31/16	J		
91. COMMUNICATONS SALES AND LEASING		None			Sold	02/19/16	J		
92. CONOCOPHILLIPS		None			Sold	02/05/16	J		
93. CONTINENTAL AG SPNRD		None			Sold	10/31/16	J		
94. CORRECTIONS CORP OF AMERICA		None			Sold	10/12/16	J		
95. CORVEL CORP		None			Sold	10/13/16	J		
96. COSTCO WHOLESALE		None			Sold	02/17/16	J	A	
97. CREDIT ACCEPTANCE CORP		None	J	T					
98. CROWN HOLDINGS	A	Dividend	J	T					
99. CREDIT SUISSE GP (Y)									
100. CVS HEALTH CORP	A	Dividend	J	T	Sold (part)	10/31/16	J	B	
101. CROWN CASTLE REIT (Y)									
102. CUMMINS (X)		None			Sold	04/21/16	J	A	

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103. DAIWA HOUSE IND		None			Sold	10/31/16	J	A	
104. DAIMLER	A	Dividend	J	T	Buy	10/31/16	J		
105. DAVIDE CAMPARI MILANO		None			Sold	10/31/16	J	A	
106. DANAHER CORP	A	Dividend	J	T	Sold (part)	08/23/16	J	A	
107. DELPHI AUTOMOTIVE	A	Dividend	J	T					
108. DEUTSCHE POST	A	Dividend	J	T	Buy	10/31/16	J		
109. DEUTSCHE TELE	A	Dividend	J	T	Buy	10/31/16	J		
110. DIAGEO PLC	A	Dividend	J	T	Buy (add'l)	10/21/16	J		
111. DOMINION RES	A	Dividend	J	T					
112. DOW CHEMICAL	A	Dividend	J	T	Buy	10/31/16	J		
113. DIAMOND HILL INV	A	Dividend	J	T	Buy	02/22/16	J		
114. DISNEY	A	Dividend	J	T	Sold (part)	02/17/16	J		
115. DISCOVER FINCL	A	Dividend	J	T	Buy (add'l)	10/31/16	J		
116. DORMAN PRODUCTS		None	J	T					
117. DREW INDUSTRIES		None	J	T					
118. DUKE ENERGY	A	Dividend	J	T					
119. DUPONT	A	Dividend	J	T	Buy (add'l)	10/31/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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TUCKER, PETRESE B.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. EAGLE BANCORP		None	J	T					
121. EATON CORP	A	Dividend	J	T					
122. ELI LILLY & CO	A	Dividend	J	T	Sold (part)	07/17/16	J	B	
123. EMERSON ELECTRIC	A	Dividend	J	T	Sold (part)	01/27/16	J		
124. ENERSYS	A	Dividend	J	T					
125. EOG RESOURCES	A	Dividend	J	T	Sold (part)	10/31/16	J		
126. ESTERLINE TECHNOLOGIES		None			Sold	03/03/16	J		
127. EXXON MOBIL	A	Dividend	J	T					
128. ENTERGY	A	Dividend	J	T	Buy	10/31/16	J		
129. EXPONENT	A	Dividend	J	T	Buy	05/31/16	J		
130. EXTRA SPACE STORAGE	A	Dividend	J	T	Buy	10/31/16	J		
131. FACEBOOK		None			Sold	02/04/16	J	A	
132. FASTENAL CO	A	Dividend	J	T					
133. FEDERATED INVESTORS		None			Sold	02/05/16	J		
134. FEDEX (Y)									
135. FIDELITY NATL INFO SERVICES	A	Dividend	J	T	Sold (part)	10/31/16	J	A	
136. FIRST SERVICE SUB (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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TUCKER, PETRESE B.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. FLEETCOR TECH		None			Sold	02/17/16	J		
138. FRANKLIN RES		None			Sold	06/01/16	J		
139. FISERV	A	Dividend	J	T	Buy	05/11/16	J		
140. GALLAGHER ARTHUR J & CO		None			Sold	01/29/16	J		
141. GEA GROUP		None			Buy	04/21/16	J		
142.					Sold	10/21/16	J		
143. GENERAL ELECTRIC	A	Dividend	J	T	Sold (part)	04/04/16	J	A	
144. GENERAL MILLS	A	Dividend	J	T	Sold (part)	10/19/16	J	A	
145. GENERAL MOTORS	A	Dividend	J	T	Sold (part)	02/10/16	J		
146. GENERAL DYNAMICS	A	Dividend	J	T					
147. GILEAD SCIENCES		None	J	T	Buy	02/17/16	J		
148. GOLDMAN SACHS GROUP	A	Dividend	J	T					
149. GP STRATEGIES		None	J	T					
150. HALLIBURTON	A	Dividend	J	T	Sold (part)	03/29/16	J		
151. GLAXOSMITH KLINE (X)	A	Dividend	J	T					
152. HARTFORD FINCL SVCS		None			Sold	02/09/16	K	A	
153. HASBRO	A	Dividend	J	T	Sold (part)	07/08/16	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. HCA HOLDINGS		None			Sold	02/17/16	J		
155. HEICO CORP	A	Dividend	J	T					
156. HELEN OF TROY LTD		None	J	T					
157. HOME BANCSHARES	A	Dividend	J	T					
158. HOME DEPOT	A	Dividend	J	T	Sold (part)	02/17/16	J	A	
159. HONEYWELL INTL	A	Dividend	J	T	Sold (part)	10/31/16	J	B	
160. HSN		None			Sold	12/16/16	J		
161. IDEXX LAB		None			Sold	02/17/16	J		
162. ILLINOIS TOOL WORKS	A	Dividend	J	T	Sold (part)	08/11/16	J	A	
163. INTEL CORP	A	Dividend	J	T	Sold (part)	04/04/16	J	A	
164. IMPERIAL BRANDS	A	Dividend	J	T					
165. IBM	A	Dividend	J	T	Sold (part)	06/08/16	J		
166. INTERCONTINENTAL		None			Sold	10/31/16	J	A	
167. INTL BK RECON & DEVELOP		None			Buy	04/11/16	J		
168.					Sold	11/02/16	J		
169. INTUIT		None			Sold	02/17/16	J	A	
170. IRON MTN	A	Dividend	J	T	Buy	10/31/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. INSPIRITY	A	Dividend	J	T	Buy	11/07/16	J		
172. INGERSOL RAND	A	Dividend	J	T	Buy	10/31/16	J		
173. J M SMUCKER	A	Dividend	J	T	Buy (add'l)	05/25/16	J		
174.					Sold (part)	03/29/16	J	A	
175. JOHNSON & JOHNSON	A	Dividend	J	T					
176. JOHNSON CONTROLS	A	Dividend	J	T	Sold (part)	10/31/16	J		
177. JPMORGAN CHASE SER MTN		None	J	T					
178. JP MORGAN CHASE	A	Dividend	K	T	Sold (part)	11/16/16	J	A	
179. KDDI CORP		None			Sold	10/31/16	J	A	
180. KELLOGG		None			Sold	10/31/16	J	A	
181. KIMBERLY CLARK	A	Dividend	J	T	Sold (part)	10/25/16	J	A	
182. KINDER MORGAN	A	Dividend	J	T					
183. KLX	A	Dividend	J	T					
184. KASIKORNBANK (X)		None			Sold	08/09/16	J		
185. LEGACYTEXAS FINL FINCL	A	Dividend	J	T					
186. LEVEL 3 COMMUNICATIONS		None			Sold	02/17/16	J		
187. LINKEDIN (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. LITHIA MOTORS	A	Dividend	J	T					
189. LOCKHEED MARTIN	A	Dividend	J	T	Sold (part)	04/04/16	J	A	
190. LOWES	A	Dividend	J	T	Sold (part)	07/20/16	J	B	
191. LYONDELLBASELL IND	A	Dividend	J	T					
192. MADISON SQUARE GARDEN		None	J	T					
193. MANHATTAN ASSOCS		None	J	T					
194. MANITOWOC FOODSVC		None			Buy	10/11/16	J		
195.					Sold	10/31/16	J		
196. MARSH & MCLENNAN		None	J	T					
197. MASTERCARD		None			Sold	06/16/16	J	A	
198. MAXIM INTEGRATED PRODUCTS	A	Dividend	J	T					
199. MCDONALDS		None	J	T					
200. MCGRAW HILL FINCL (Y)									
201. MCKESSON	A	Dividend	J	T	Sold (part)	10/28/16	J	A	
202. MEDTRONIC		None	J	T					
203. MEAD JOHNSON NUTRITION		None			Sold	10/31/16	J	A	
204. MERCK & CO	A	Dividend	J	T	Sold (part)	11/30/16	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.					Buy (add'l)	10/31/16	J		
206. METLIFE (Y)									
207. MICROSOFT	A	Dividend	K	T	Sold (part)	10/31/16	J	B	
208.					Buy (add'l)	05/04/16	J		
209. MOLSON COOR BREWING		None			Sold	10/31/16	J	A	
210. MONROE MUFFLER BRAKE		None			Sold	12/12/16	J	A	
211. MONSANTO	A	Dividend	J	T	Sold (part)	10/31/16	J		
212. MONDELEZ INTL	A	Dividend	J	T	Buy	10/31/16	J		
213. MICROCHIP TECH	A	Dividend	J	T	Buy	10/31/16	J		
214. MUNCHENER	A	Dividend	J	T	Buy	10/31/16	J		
215. NASDAQ OMX GRP	A	Dividend	J	T					
216. NATIONAL GENERAL	A	Dividend	J	T					
217. NEENAH PAPER	A	Dividend	J	T					
218. NEOGEN		None	J	T					
219. NESTLE	A	Dividend	J	T					
220. NEWMARKET CORP	A	Dividend	J	T					
221. NEXTERA ENERGY	A	Dividend	J	T					

1. Income Gain Codes:	A ~\$1,000 or less (See Columns B1 and D4)	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	F ~\$50,001 - \$100,000 J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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TUCKER, PETRESE B.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34–60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. NEW FSV CORP	A	Dividend	J	T					
223. NETFLIX COM		None			Sold	02/17/16	J		
224. NOBLE ENERGY (Y)									
225. NORFOLK SOUTHERN		None	J	T	Sold (part)	04/04/16	J	A	
226. NORTHROP GRUMMAN	A	Dividend	J	T	Sold (part)	11/23/16	J	A	
227. NOVARTIS		None			Sold	03/14/16	J	A	
228. NOVO NORDISK A S	A	Dividend	J	T	Sold (part)	10/31/16	J		
229. NXP SEMICONDUCTORS		None			Sold	10/31/16	J	A	
230. NATIONAL GRID	A	Dividend	J	T	Buy	10/31/16	J		
231. NATIONAL GENERAL HLDGS (X)		None	J	T	Sold (part)	05/16/16	J	A	
232. NASDAQ OMX GRP (X)		None	J	T	Sold (part)	04/04/16	J	A	
233. NEILSEN HLDGS	A	Dividend	J	T	Buy	10/31/16	J		
234. OCCIDENTAL PETE	A	Dividend	J	T					
235. OIL STS INTL (Y)									
236. ON ASSIGNMENT INC		None	J	T					
237. ONEMAIN HOLDINGS (Y)									
238. OPEN TEXT CORP	A	Dividend	J	T	Sold (part)	10/05/16	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. OSI SYSTEM		None	J	T	Sold (part)	05/19/16	J		
240. ORKLA	A	Dividend	J	T	Buy	10/31/16	J		
241. ORACLE (X)		None			Buy (add'l)	02/04/16	J		
242.					Sold	02/17/16	J		
243. PEOPLES UNITED	A	Dividend	J	T	Buy	10/31/16	J		
244. PACKAGING CORP OF AM	A	Dividend	J	T	Buy	10/31/16	J		
245. PAREXEL INTRNL		None	J	T					
246. PATRICK INDS	A	Dividend	J	T	Buy	03/14/16	J		
247. PAYCHEX	A	Dividend	J	T	Sold (part)	11/04/16	J	A	
248. PPL CORP	A	Dividend	J	T	Buy	10/31/16	J		
249. PEPSICO	A	Dividend	J	T	Sold (part)	04/04/16	J	A	
250. PFIZER	A	Dividend	K	T	Sold (part)	08/31/16	J		
251. PHILIPS 66	A	Dividend	J	T	Buy	10/31/16	J		
252. PHILIP MORRIS INTL	A	Dividend	J	T					
253. PNC FINCL SERVICES	A	Dividend	J	T	Sold (part)	04/04/16	J		
254. PPG INDUSTRIES	A	Dividend	J	T	Sold (part)	04/04/16	J		
255. PRICE T ROWE GROUP	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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TUCKER, PETRESE B.

Date of Report

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. PRA GROUP		None	J	T					
257. PRAXAIR	A	Dividend	J	T	Buy	10/31/16	J		
258. PROCTOR & GAMBLE	A	Dividend	J	T	Sold (part)	10/31/16	J	A	
259. PROVIDENT FINCL		None			Sold	06/17/16	J		
260. PRUDENTIAL PLC		None			Sold	10/31/16	J		
261. PRUDENTIAL FINCL (X)		None	J	T	Sold (part)	07/27/16	J		
262. PUBLIC STORAGE REIT	A	Dividend	J	T	Sold (part)	04/04/16	J	A	
263. QUALCOMM	A	Dividend	J	T					
264. QUINTILES TRANSNATIONAL		None			Sold	10/31/16	J	A	
265. RAYTHEON CO		None			Sold	04/20/16	J		
266. RELX NV		None			Sold	10/31/16	J	A	
267. REYNOLDS AMERICAN	A	Dividend	J	T	Sold (part)	10/31/16	J	B	
268. REGAL ENT	A	Dividend	J	T	Buy	10/31/16	J		
269. ROCHE HLDG	A	Dividend	J	T	Buy	10/31/16	J		
270. ROCKWELL INTL (X)		None			Sold	10/31/16	J	A	
271. REALITY INCM (X)	A	Dividend	J	T	Sold (part)	07/07/16	J	A	
272. RLI CORP ILLINOIS	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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TUCKER, PETRESE B.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. RYOHIN KEIKAKU CO LTD	A	Dividend	J	T	Sold (part)	10/31/16	J		
274. ROGERS COMM	A	Dividend	J	T	Buy	10/31/16	J		
275. ROYAL BANK	A	Dividend	J	T					
276. ROYAL DUTCH SHELL (X)	A	Dividend	J	T					
277. SAMPO PLC		None			Sold	10/31/16	J	A	
278. SANDISK		None			Sold	01/25/16	J		
279. SANLAM LTD		None			Sold	10/31/16	J		
280. SCHLUMBERGER LTD	A	Dividend	J	T	Sold (part)	10/31/16	J		
281. SCHWAB CHARLES		None			Sold	10/31/16	J	A	
282. SHERWIN WILLIAMS		None			Sold	02/17/16	J	A	
283. SHIRE PLC		None			Sold	10/31/16	J		
284. SPECTRA ENERGY	A	Dividend	J	T	Sold (part)	10/26/16	J	A	
285. STARBUCKS		None			Sold	02/17/16	J	A	
286. STARWOOD HOTELS & RESORTS		None			Sold	02/17/16	J		
287. SYMRISE AG		None			Sold	10/31/16	J	A	
288. SANOFI (X)	A	Dividend	J	T					
289. SCOR	A	Dividend	J	T	Buy	10/31/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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TUCKER, PETRESE B.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. SIEMENS	A	Dividend	J	T	Buy	10/31/16	J		
291. SKY	A	Dividend	J	T	Buy	10/31/16	J		
292. SOUTHERN	A	Dividend	J	T	Buy	10/31/16	J		
293. SSE	A	Dividend	J	T	Buy	10/31/16	J		
294. STATOIL ASA	A	Dividend	J	T	Buy	10/31/16	J		
295. SVENSKA HANDELS BANKEN	A	Dividend	J	T	Buy	10/31/16	J		
296. SWISSCOM	A	Dividend	J	T	Buy	10/31/16	J		
297. S&P GLOBAL (X)		None			Sold	10/31/16	J	A	
298. SNAP ON		None			Buy	06/29/16	J		
299.					Sold	10/31/16	J	A	
300. STATE STREET (X)		None			Sold	03/31/16	J		
301. TAIWAN S MANUFACTURING	A	Dividend	J	T					
302. TARGET CORP	A	Dividend	J	T	Sold (part)	11/16/16	J	A	
303. TE CONNECIVITY		None	J	T	Buy	10/31/16	J		
304. TELENOR		None			Sold	02/16/16	J		
305. TELSTRA	A	Dividend	J	T	Buy	10/31/16	J		
306. TEVA PHARMACUETICAL		None			Sold	10/04/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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TUCKER, PETRESE B.

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12/07/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. TEXAS INSTRUMENTS	A	Dividend	J	T	Sold (part)	08/23/16	J	A	
308. TENCENT HOLDINGS		None			Buy	06/17/16	J		
309.					Sold	10/31/16	J	A	
310. THE MOSAIC CO	A	Dividend	J	T					
311. THERMO FISHER SCIENTIFIC	A	Dividend	J	T	Sold (part)	10/31/16	J	A	
312. TIME WARNER	A	Dividend	J	T					
313. TRAVELERS	A	Dividend	J	T	Sold (part)	04/06/16	J	A	
314. TREEHOUSE FOODS (Y)									
315. TYCO	A	Dividend	J	T					
316. TYLER TECHS	A	Dividend	J	T					
317. TE CONNECTIVITY	A	Dividend	J	T	Buy (add'l)	10/31/16	J		
318. TERNA SPA	A	Dividend	J	T	Buy	10/31/16	J		
319. TOTAL	A	Dividend	J	T	Buy	10/31/16	J		
320. UNILEVER PLC	A	Dividend	J	T					
321. ULTIMATE SOFTWARE GRP		None			Sold	02/17/16	J		
322. UNION PACIFIC	A	Dividend	J	T	Sold (part)	06/16/16	J		
323.					Buy (add'l)	10/31/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

TUCKER, PETRESE B.

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12/07/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324. UNITED TECHS CORP	A	Dividend	J	T	Sold (part)	10/31/16	J		
325. UNITEDHEALTH GROUP	A	Dividend	J	T	Buy (add'l)	10/31/16	J		
326. US BANCORP	A	Dividend	J	T					
327. UNION PACIFIC	A	Dividend	J	T					
328. UNIBAIL RODAMCO	A	Dividend	J	T	Buy	10/31/16	J		
329. UNITED PARCEL	A	Dividend	J	T					
330. VANTIV (X)		None			Sold	10/31/16	J	A	
331. VASCULAR SOLUTIONS	A	Dividend	J	T	Buy (add'l)	08/08/16	J		
332. VENTAS INC REIT	A	Dividend	J	T					
333. VERIZON COMMUNICATIONS	A	Dividend	J	T	Sold (part)	12/22/16	J	A	
334. VERTEX PHARMACUETICALS		None			Sold	02/17/16	J		
335. VISA		None			Sold	02/17/16	J	B	
336. VODAFONE GRP	A	Dividend	J	T					
337. VF CORP	A	Dividend	J	T	Buy	10/31/16	J		
338. WASTE MGMT	A	Dividend	J	T	Buy	10/31/16	J		
339. WAGeworks	A	Dividend	J	T	Buy	10/05/16	J		
340. WEC ENERGY GRP	A	Dividend	J	T	Sold (part)	08/31/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000	E –\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J –\$15,000 or less N –\$250,001 - \$500,000 P3 –\$25,000,001 - \$50,000,000	K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000 P4 –More than \$5,000,000	M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market	

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Name of Person Reporting

TUCKER, PETRESE B.

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12/07/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341. WELLSTOWER	A	Dividend	J	T	Sold (part)	02/17/16	J		
342. WELLS FARGO & CO	A	Dividend	K	T	Sold (part)	12/15/16	J	A	
343. WESCO AIRCRAFT HOLDINGS		None	J	T					
344. WEST PAC		None	J	T	Buy	10/31/16	J		
345. WEX		None	J	T					
346. WHIRLPOOL (X)	A	Dividend	J	T					
347. WISCONSIN ENERGY (Y)									
348. WOLTERS KLUWR NV SPN		None			Sold	10/31/16	J	A	
349. WORLD FUEL SERVICES	A	Dividend	J	T					
350. XCEL ENERGY	A	Dividend	J	T	Buy	01/06/16	J		
351. ZOETIS		None			Sold	10/31/16	J	A	
352. GUGGENHEIM ENERGY & INCOME FUND	B	Dividend	L	T					
353. WELLS FARGO & CO NON CUM PFD CL A		None			Sold	12/31/16	J		
354. US TREASURY NOTE .25% FEB 29 2016	A	Interest			Sold	02/29/16	J		
355. US TREASURY NOTE .375% MAR 31 2016	A	Interest			Sold	03/31/16	K		
356. US TREASURY NOTE .375% APR 30 2016	A	Interest			Sold	04/30/16	J		
357. US TREASURY NOTE .25% MAY 15 2016	A	Interest			Sold	05/15/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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TUCKER, PETRESE B.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. US TREASURY NOTE .625% JUL 15 2016	A	Interest			Sold	07/15/16	J		
359. US TREASURY NOTE .625% FEB 15 2017	A	Interest			Sold	10/24/16	J		
360. US TREASURY NOTE .75% MAR 15 2017	A	Interest			Sold	10/31/16	J		
361. US TREASURY NOTE .5% APR 30 2017	A	Interest			Sold	10/31/16	J		
362. US TREASURY NOTE .625% MAY 31 2017	A	Interest			Sold	10/31/16	J		
363. FEDERAL HOME LN MTGE CORP 1% SEP 29 2017	A	Interest			Sold	10/31/16	J		
364. US TREASURY NOTE 1.5% FEB 28 2019	A	Interest			Sold	04/11/16	K	A	
365. US TREASURY NOTE 1.375% AUG 31 2020	A	Interest			Sold	10/31/16	J	A	
366. US TREASURY NOTE .75% FEB 28 2018	A	Interest			Buy	07/19/16	J		
367.					Sold	10/31/16	J		
368. WELLS FARGO & CO SER MTN 1.4% SEP 8 2017	A	Interest			Sold	10/31/16	J		
369. MORGAN STANLEY GLB 1.875% JAN 5 2018	A	Interest			Sold	10/31/16	J		
370. GOLDMAN SACHS GRP SER GLB 2.375% JAN 22 2018	A	Interest			Sold	10/31/16	J		
371. JP MORGAN CHASE & CO SER H 1.7% MAR 1 2018	A	Interest			Sold	10/31/16	J		
372. BLACKROCK ALLOCATION TARGET	C	Interest			Sold	10/31/16	L		
373. US TREASURY .875% APR 15 2020	A	Interest			Buy	05/04/16	J		
374.					Sold	10/31/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

TUCKER, PETRESE B.

Date of Report

12/07/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375. US TREASURY 1% DEC 31 2020	A	Interest			Buy	01/19/16	J		
376.					Sold	10/31/16	J		
377. USD KFW 1.25% SEP 30 2019	A	Interest			Buy	10/24/16	J		
378.					Sold	11/01/16	J		
379. USD KFW 1.87% JUN 30 2020	A	Interest			Buy	04/11/16	J		
380.					Sold	10/20/16	J		
381. INTL BK RECON & DEVELOP 1.875% MAR 15 2019	A	Interest			Buy	04/11/16	J		
382.					Sold	11/02/16	J		
383. US TREASURY .25% FEB 29 2020 (X)	A	Interest			Sold	02/29/16	J		
384. US TREASURY .375% APR 30 2020 (X)	A	Interest			Sold	05/02/16	J		
385. US TREASURY .375% MAR 31 2020 (X)	A	Interest			Sold	03/31/16	K		
386. US TREASURY .625% JUL 15 2020 (X)	A	Interest			Sold	07/15/16	J		
387. US TREASURY .75% AUG 31 2020	A	Interest			Buy	09/15/16	J		
388.					Sold	10/31/16	J		
389. US TREASURY .875 % MAR 31 2020	A	Interest			Buy	04/11/16	K		
390.					Sold	10/31/16	K		
391. US TREASURY 1% OCT 31 2020	A	Interest			Buy	05/04/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

TUCKER, PETRESE B.

Date of Report

12/07/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392.					Sold	09/15/16	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

TUCKER, PETRESE B.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

TUCKER, PETRESE B.

Date of Report

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ PETRESE B. TUCKER**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544