

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hall, Sarah A.	2. Court or Organization Bankruptcy Court - Western District of OK	3. Date of Report 09/17/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Court Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address United States Bankruptcy Court 215 Dean A. McGee, 9th Floor Oklahoma City, OK 73102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Trustee	Trust @1 (See Part VII, lines 21-190)
2.	Director	Oklahoma Bar Association Bankruptcy Reorganization Committee
3.	Director	Federal Bar Association - Oklahoma City Chapter
4.	Director	The Historical Society for the United States District Court for the Western District of Oklahoma
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	██████ (self-employed lawyer) professional corporation - salary and member distribution - K-1
2. 2013	National Cooperative Refinery Association - royalty
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Morgan Stanley Smith Barney Bank Deposit Program		None	J	T					
2. LL&E Royalty Trust		None	J	T					
3. Oklahoma Dream 529 Plan		None	L	T					
4. - Pimco Total Return					Redeemed (part)	01/15/13	J		
5.					Redeemed	07/30/13	J		
6. - Pimco Real Return C					Redeemed	01/15/13	J		
7. - TIAA-CREF Scap Bld Index C					Redeemed	07/30/13	J		
8. Oklahoma Dream 529 Plan		None	L	T					
9. - Pimco Total Return C									
10. - Pimco Real Return C									
11. - TIAA-CREF Scap Bld Index C									
12. Massachusetts Mutual Limited Pay Whole Life Policy	A	Dividend	K	T					
13. Massachusetts Mutual Variable Life Select Policy		None	J	T					
14. - The Oppenheimer Capital Appreciation Fund									
15. - The Oppenheimer Small & Mid Cap Growth Fund									
16. Massachusetts Mutual Whole Life Policy	A	Dividend	K	T					
17. Massachusetts Mutual Ltd Pay Whole Life @ 65 Policy	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Massachusetts Mutual Ltd Pay Whole Life Policy [REDACTED]	A	Dividend	K	T					
19. Massachusetts Mutual Whole Life Policy (spouse)	A	Dividend	K	T					
20. Massachusetts Mutual Ltd Pay Whole Life @65 Policy [REDACTED]	A	Dividend	J	T					
21. TRUST #1	D	Dividend	M	T					
22. - MidFirst Bank Account									
23. - PIMCO Total Return Fund CL P					Sold (part)	01/29/13	K	A	
24.					Buy	05/29/13	J		
25.					Sold	08/16/13	J		
26. - Templeton Global Bond Fund					Sold (part)	01/29/13	K		
27.					Buy	05/29/13	J		
28.					Buy	08/20/13	J		
29.					Buy	12/02/13	J		
30. - Cash and CitiBank NA South Dakota Bank Deposit Program									
31. - Lord Abbott Short Duration Income Fnd CL F					Sold (part)	01/29/13	K	A	
32.					Buy	05/29/13	J		
33.					Buy	08/16/13	J		
34.					Buy	08/20/13	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35.					Buy	12/02/13	J		
36. - PIMCO Unconstrained Bond Fund					Sold	01/29/13	K		
37. - Vanguard Fixed Income Sec FD GNMA Portfolio					Sold	01/29/13	K		
38. - SPDR Gold TR Gold Shs					Sold	01/29/13	K		
39. - Amer Cent. Inflat. Adj Trea Inv					Sold	01/29/13	K		
40. - Franklin Income Adv.					Buy	01/29/13	J		
41.					Sold (part)	05/29/13	J	A	
42.					Sold (part)	08/20/13	J		
43.					Sold (part)	12/02/13	J	A	
44. - Altria Group, Inc.					Buy	01/29/13	J		
45.					Sold (part)	05/29/13	J	A	
46.					Sold (part)	12/02/13	J	A	
47. - American Capital Agency					Buy	01/29/13	J		
48.					Buy	05/29/13	J		
49.					Buy	08/20/13	J		
50.					Sold (part)	12/02/13	J		
51. - American Electric Power Co.					Buy	01/29/13	J		

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52.					Buy	05/29/13	J		
53.					Sold (part)	12/02/13	J	A	
54. - Annaly Capital Mngmt Inc.					Buy	01/29/13	J		
55.					Buy	05/29/13	J		
56.					Buy	08/20/13	J		
57.					Sold (part)	12/02/13	J		
58. - AstraZeneca PLC ADS					Buy	01/29/13	J		
59.					Sold (part)	08/20/13	J	A	
60.					Sold (part)	12/02/13	J	A	
61.					Sold (part)	12/02/13	J		
62. - AT&T					Buy	01/29/13	J		
63.					Buy	05/29/13	J		
64.					Sold (part)	08/20/13	J		
65. - BCE Inc. (New)					Buy	01/29/13	J		
66.					Buy	05/29/13	J		
67.					Buy	08/20/13	J		
68.					Sold (part)	12/02/13	J		

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69. - BK Montreal					Buy	01/29/13	J		
70.					Buy	05/29/13	J		
71.					Sold (part)	08/20/13	J		
72.					Sold (part)	12/02/13	J		
73. - Black Hills Corp.					Buy	01/29/13	J		
74.					Sold (part)	05/29/13	J	A	
75.					Sold	07/24/13	J	A	
76. - Centurylink Inc.					Buy	01/29/13	J		
77.					Buy	05/29/13	J		
78.					Buy	08/20/13	J		
79.					Buy	12/02/13	J		
80. - Eaton Vance Natl Mun. Opp. Tr					Buy	01/29/13	J		
81.					Buy	05/29/13	J		
82.					Sold	06/11/13	J		
83. - Eaton Vance Tax Mgd Div Equ Fd					Buy	01/29/13	J		
84.					Buy	05/29/13	J		
85.					Sold (part)	08/20/13	J	A	

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86. - Entergy Corp. New					Buy	01/29/13	J		
87.					Sold	12/02/13	J		
88. - H&R Block Inc. (New)					Buy	01/29/13	J		
89.					Sold	02/22/13	J	A	
90. - Health Care REIT Inc.					Buy	01/29/13	J		
91.					Sold (part)	05/29/13	J	A	
92.					Buy	08/20/13	J		
93.					Sold (part)	12/02/13	J		
94. - Invesco Muni Income OPP					Buy	01/29/13	J		
95.					Buy	05/29/13	J		
96.					Sold	08/19/13	J		
97. - Leggett & Platt Inc.					Buy	01/29/13	J		
98.					Sold (part)	05/29/13	J	A	
99.					Buy	12/02/13	J		
100. - LTC Properties Inc.					Buy	01/29/13	J		
101.					Sold (part)	05/29/13	J	A	
102.					Buy	08/20/13	J		

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103.					Sold (part)	12/02/13	J	A	
104. - Main Street Capital Corp.					Buy	01/29/13	J		
105.					Buy	05/29/13	J		
106.					Sold (part)	08/20/13	J		
107.					Sold	12/02/13	J	A	
108. - MFA Financial Inc.					Buy	01/29/13	J		
109.					Buy	05/29/13	J		
110.					Buy	08/20/13	J		
111.					Buy	12/02/13	J		
112. - MFS Charter Income Tr SBI					Buy	08/19/13	J		
113.					Buy	05/29/13	J		
114.					Sold	08/29/13	J		
115. - National Retail Properties I					Buy	01/29/13	J		
116.					Sold (part)	05/29/13	J		
117.					Buy	08/20/13	J		
118.					Buy	12/02/13	J		
119. - Nuveen Build America Bonds Fd					Buy	01/29/13	J		

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120.					Buy	05/29/13	J		
121.					Sold	08/19/13	J		
122. - Omega Healthcare Inv Inc.					Buy	01/29/13	J		
123.					Sold (part)	05/29/13	J	A	
124.					Buy	08/20/13	J		
125.					Sold (part)	12/02/13	J	A	
126. - PIMCO Corporate & Income Opp					Buy	01/29/13	J		
127.					Buy	05/29/13	J		
128.					Sold (part)	08/20/13	J		
129. - PPL Corporation					Buy	01/29/13	J		
130.					Buy	05/29/13	J		
131.					Sold (part)	08/20/13	J	A	
132.					Buy	12/20/13	J		
133. - Reynolds American Inc.					Buy	01/29/13	J		
134.					Sold (part)	05/29/13	J	A	
135.					Sold (part)	08/20/13	J	A	
136. - Seadrill Ltd.					Buy	01/29/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Sold (part)	08/20/13	J	A	
138.					Buy	12/02/13	J		
139. - Senior Hsg Ppty Tr SBI					Buy	01/29/13	J		
140.					Sold (part)	05/29/13	J	A	
141.					Buy	08/20/13	J		
142.					Sold (part)	12/02/13	J		
143. - Sun Life Svcs CDA Ins.					Buy	01/29/13	J		
144.					Buy	05/29/13	J		
145.					Sold (part)	08/20/13	J	A	
146.					Sold (part)	12/02/13	J	A	
147. - Thomson Reuters Corp.					Buy	01/29/13	J		
148.					Sold (part)	05/29/13	J	A	
149.					Sold	06/06/13	J	A	
150. - Vanguard Natural Resources LLC					Buy	01/29/13	J		
151.					Sold	03/14/13	J		
152. - Vectren Cp					Buy	01/29/13	J		
153.					Sold (part)	05/29/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Sold (part)	08/20/13	J	A	
155. - Verizon Communications					Buy	01/29/13	J		
156.					Sold (part)	05/29/13	J	A	
157.					Sold (part)	08/20/13	J	A	
158. - Western Asst Blb CP Def Opp Fd					Buy	01/29/13	J		
159.					Sold	08/19/13	J		
160. - Teco Energy					Buy	02/22/13	J		
161.					Sold (part)	05/29/13	J	A	
162.					Sold (part)	08/20/13	J		
163.					Buy	12/02/13	J		
164. - Fifth Street Corp. Com					Buy	03/14/13	J		
165.					Buy	05/29/13	J		
166.					Sold (part)	08/20/13	J		
167.					Buy	12/02/13	J		
168. - Kimberly Clark Corp.					Buy	06/06/13	J		
169.					Sold (part)	08/20/13	J		
170.					Sold (part)	12/02/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. - Glaxosmithkline PLC Ads					Buy	06/11/13	J		
172. - WP Carey Inc.					Buy	06/11/13	J		
173.					Buy	12/02/13	J		
174. - Westar Energy Inc.					Buy	07/24/13	J		
175.					Sold (part)	08/20/13	J		
176.					Buy	12/02/13	J		
177. - DNP Select Income Inc.					Buy	08/20/13	J		
178.					Buy	12/02/13	J		
179. - Alerian MLP ETF					Buy	08/20/13	J		
180.					Buy	12/02/13	J		
181. - Lord Abbett Flt Rt F					Buy	08/16/13	J		
182.					Buy	08/20/13	J		
183.					Buy	12/02/13	J		
184. - Vector Group Ltd					Buy	12/02/13	J		
185. - Resources Capital Corp.					Buy	12/02/13	J		
186. - New York Mortgage Trust Inc.					Buy	12/02/13	J		
187. - Northstar Realty Fin Corp.					Buy	12/02/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. - Old Republic Intl Cp					Buy	12/02/13	J		
189. - Univ. Hlth Rlty Inc. TR SBI					Buy	12/02/13	J		
190. - HCP Incorporated					Buy	12/02/13	J		
191. IRA #2	E	Dividend	N	T					
192. - Lord Abbett Short Duration Income Fd Cl F					Sold (part)	01/29/13	J	A	
193.					Buy	05/29/13	J		
194.					Buy	08/20/13	J		
195.					Buy	12/02/13	J		
196. - Pimco Total Return Fund CL P					Sold	01/29/13	J	A	
197.					Buy	05/29/13	J		
198.					Sold	08/16/13	J		
199. - Templeton Global Bond Fund					Sold (part)	01/29/13	J		
200.					Buy	05/29/13	J		
201.					Buy	08/20/13	J		
202.					Buy	12/02/13	J		
203. - Cash and MS Liquid Asset Fund - Morgan Stanley									
204. - Vanguard Fixed Income Sec Fund GNMA Portfolio					Sold	01/29/13	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. - SPDR Gold Tr Gold Shs					Sold	01/29/13	J		
206. - Amer Cent Inflat Adj Trea Inv					Sold	01/29/13	J		
207. - PIMCO Unconstrained Bond P					Sold	01/29/13	J		
208. - Alria Group, Inc.					Buy	01/29/13	J		
209.					Sold (part)	05/29/13	J	A	
210.					Sold (part)	12/02/13	J	A	
211. - American Capital Agency					Buy	01/29/13	J		
212.					Buy	05/29/13	J		
213.					Buy	08/20/13	J		
214.					Sold (part)	12/02/13	J		
215. - American Electric Power Co.					Buy	01/29/13	J		
216.					Buy	05/29/13	J		
217.					Sold (part)	12/02/13	J	A	
218. - Annaly Capital Mngmt Inc.					Buy	01/29/13	J		
219.					Buy	05/29/13	J		
220.					Buy	08/20/13	J		
221.					Sold (part)	12/02/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. - Astrazeneca PLC Ads					Buy	01/29/13	J		
223.					Sold (part)	08/20/13	J	A	
224.					Sold (part)	12/02/13	J	A	
225. - AT&T					Buy	01/29/13	J		
226.					Buy	05/29/13	J		
227.					Sold (part)	08/20/13	J		
228. - BCE Inc. (New)					Buy	01/29/13	J		
229.					Buy	05/29/13	J		
230.					Buy	08/20/13	J		
231.					Sold (part)	12/02/13	J		
232. - BK Montreal					Buy	01/29/13	J		
233.					Buy	05/29/13	J		
234.					Sold (part)	08/20/13	J		
235.					Sold (part)	12/02/13	J	A	
236. - Black Hills Corp.					Buy	01/29/13	J		
237.					Sold (part)	05/29/13	J	A	
238.					Sold	07/24/13	J	B	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. - Centurylink Inc.					Buy	01/29/13	J		
240.					Buy	05/29/13	J		
241.					Buy	08/20/13	J		
242.					Buy	12/02/13	J		
243. - Eaton Vance Natl Mun. Opp. Tr					Buy	01/29/13	J		
244.					Buy	05/29/13	J		
245.					Sold	06/10/13	J		
246. - Eaton Vance Tax Mgd Div Equ Fd					Buy	01/29/13	J		
247.					Buy	05/29/13	J		
248.					Sold (part)	08/29/13	J	A	
249. - Entergy Corp. New					Buy	01/29/13	J		
250.					Sold	12/02/13	J		
251. - Franklin Income Adv					Buy	01/29/13	J		
252.					Sold (part)	08/20/13	J		
253.					Sold (part)	12/02/13	J	A	
254. - H&R Block Inc. (New)					Buy	01/29/13	J		
255.					Sold	02/22/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. - Health Care REIT Inc.					Buy	01/29/13	J		
257.					Sold (part)	05/29/13	J	A	
258.					Buy	08/20/13	J		
259.					Sold (part)	12/02/13	J		
260. - Invesco Muni Income OPP					Buy	01/29/13	J		
261.					Buy	05/29/13	J		
262.					Sold	08/29/13	J		
263. - Leggett & Platt Inc.					Buy	01/29/13	J		
264.					Sold (part)	05/29/13	J	A	
265.					Buy	12/02/13	J		
266. - LTC Properties Inc.					Buy	01/29/13	J		
267.					Sold (part)	05/29/13	J	A	
268.					Buy	08/20/13	J		
269.					Sold	12/02/13	J	A	
270. - Main Street Capital Corp.					Buy	01/29/13	J		
271.					Buy	05/29/13	J		
272.					Sold (part)	08/20/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273.					Sold	12/02/13	J	A	
274. - MFA Financial Inc.					Buy	01/29/13	J		
275.					Buy	05/29/13	J		
276.					Buy	08/20/13	J		
277.					Buy	12/02/13	J		
278. - MFS Charter Income Tr SBI					Buy	01/29/13	J		
279.					Buy	05/29/13	J		
280.					Sold	08/19/13	J		
281. - National Retail Properties I					Buy	01/29/13	J		
282.					Sold (part)	05/29/13	J	A	
283.					Buy	08/20/13	J		
284.					Buy	12/02/13	J		
285. - Nuveen Build America Bonds Fd					Buy	01/29/13	J		
286.					Buy	05/29/13	J		
287.					Sold	08/19/13	J		
288. - Omega Healthcare Inv Inc.					Buy	01/29/13	J		
289.					Sold (part)	05/29/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290.					Buy	08/20/13	J		
291.					Sold (part)	12/02/13	J	A	
292. - PIMCO Corporate & Income Opp.					Buy	01/29/13	J		
293.					Buy	05/29/13	J		
294.					Sold (part)	08/20/13	J		
295.					Buy	12/02/13	J		
296. - PPL Corporation					Buy	01/29/13	J		
297.					Buy	05/29/13	J		
298.					Sold (part)	08/20/13	J	A	
299.					Buy	12/02/13	J		
300. - Reynolds American Inc.					Buy	01/29/13	J		
301.					Sold (part)	05/29/13	J	A	
302.					Sold (part)	08/20/13	J	A	
303. - Seadrill Ltd.					Buy	01/29/13	J		
304.					Buy	05/29/13	J		
305.					Sold (part)	08/20/13	J	A	
306.					Buy	12/02/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Hall, Sarah A.

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09/17/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. - Senior Hsg Ppty Tr SBI					Buy	01/29/13	J		
308.					Sold (part)	05/29/13	J	A	
309.					Buy	08/20/13	J		
310.					Sold (part)	12/02/13	J		
311. - Sun Life Svcs CDA Inc.					Buy	01/29/13	J		
312.					Buy	05/29/13	J		
313.					Sold (part)	08/20/13	J	A	
314.					Sold (part)	12/02/13	J		
315. - Thomson Reuters Corp.					Buy	01/29/13	J		
316.					Sold (part)	05/29/13	J	A	
317.					Sold	06/06/13	J	A	
318. - Vanguard Natural Resources LLC					Buy	01/29/13	J		
319.					Sold	03/14/13	J		
320. - Vectren Cp					Buy	01/29/13	J		
321.					Sold (part)	05/29/13	J	A	
322.					Sold (part)	08/20/13	J	A	
323. - Verizon Communications					Buy	01/29/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324.					Sold	05/29/13	J	A	
325.					Sold (part)	08/20/13	J	A	
326. - Western Asst Glb CP Def Opp Fd					Buy	01/29/13	J		
327.					Sold	08/19/13	J		
328. - Teco Energy					Buy	02/22/13	J		
329.					Sold (part)	05/29/13	J	A	
330.					Sold (part)	08/20/13	J		
331.					Buy	12/02/13	J		
332. - Fifth Street Finance Corp. Com					Buy	03/14/13	J		
333.					Buy	05/29/13	J		
334.					Sold (part)	08/20/13	J		
335.					Buy	12/02/13	J		
336. - Kimberly Clark Corp.					Buy	06/06/13	J		
337.					Sold (part)	08/20/13	J	A	
338.					Sold	12/02/13	J	A	
339. - WP Carey Inc. Com					Buy	06/10/13	J		
340.					Sold (part)	08/20/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341.					Buy	12/02/13	J		
342. - Glaxosmithkline PLC ADS					Buy	06/10/13	J		
343.					Sold (part)	08/20/13	J	A	
344.					Buy	12/02/13	J		
345. - Westar Energy Inc.					Buy	07/24/13	J		
346.					Sold (part)	08/20/13	J		
347.					Buy	12/02/13	J		
348. - Lord Abbett Flt Rt F					Buy	08/16/13	J		
349.					Buy	08/20/13	J		
350.					Buy	12/02/13	J		
351. - DNP Select Income Inc.					Buy	08/20/13	J		
352.					Buy	12/02/13	J		
353. - Alerian MLP ETF					Buy	08/20/13	J		
354.					Buy	12/02/13	J		
355. - Vector Group Ltd					Buy	12/02/13	J		
356. - Resources Capital Corp.					Buy	12/02/13	J		
357. - New York Mortgage Trust Inc.					Buy	12/02/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. - Northstar Realty Fin Corp.					Buy	12/02/13	J		
359. - Old Republic Intl Cp					Buy	12/02/13	J		
360. - Univ. Hlth Rlty Inc TR SBI					Buy	12/02/13	J		
361. - HCP Incorporated					Buy	12/02/13	J		
362. TRUST #2 (sep ira #2)	E	Dividend	O	T					
363. - MidFirst Bank									
364. - PIMCO Total Return Fund Cl P					Buy	05/29/13	J		
365.					Sold	08/16/13	K		
366. - Templeton Global Bank Fund Advisor Class					Buy	05/29/13	J		
367.					Buy	08/20/13	J		
368.					Buy	12/02/13	J		
369. - Cash and MS Liquid Asset Fund - Morgan Stanley					Open	03/28/13	K		
370. - Lord Abbett Short Duration Income FD Cl F					Buy	05/29/13	J		
371.					Buy	08/20/13	J		
372.					Buy	12/02/13	J		
373. - Main Street Capital Corp.					Buy	05/29/13	J		
374.					Sold (part)	08/20/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375.					Sold (part)	12/02/13	J	A	
376. - Eaton Vance Natl Mun Opp Tr					Sold (part)	05/29/13	J		
377.					Sold	06/07/13	K		
378. - Eaton Vance Tax Mgd Div. Equ. Fd					Buy	05/29/13	J		
379.					Sold (part)	08/29/13	J	A	
380. - Western Asst Glb CP Def Opp Fd					Sold (part)	08/19/13	K		
381. - MFS Charter Income TR SBI					Buy	05/29/13	J		
382.					Sold	08/19/13	K		
383. - Invesco Muni Income Opp					Buy	05/29/13	J		
384.					Sold	08/19/13	K		
385. - Franklin Income Adv					Buy	05/29/13	J		
386.					Sold	08/20/13	J	A	
387.					Buy	12/02/13	J		
388. - Nuveen Build American Bond Fd					Buy	05/29/13	J		
389.					Sold	08/19/13	K		
390. - PIMCO Corporate & Income Oppor					Buy	05/29/13	J		
391.					Sold	08/20/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392.					Buy	12/02/13	J		
393. - LTC Properties, Inc.					Sold	05/29/13	J	A	
394.					Buy	08/20/13	J		
395.					Sold (part)	12/02/13	J	A	
396. - Black Hills Corp.					Sold	05/29/13	J	A	
397.					Sold	07/24/13	J	C	
398. - MFA Financial Inc.					Buy	05/29/13	J		
399.					Buy	08/20/13	J		
400.					Buy	12/02/13	J		
401. - Altria Group Inc.					Buy	05/29/13	J		
402.					Sold (part)	12/02/13	J	A	
403. - PPL Corporation					Buy	05/29/13	J		
404.					Sold	08/20/13	J	A	
405.					Buy	12/02/13	J		
406. - Omega Healthcare Inv.					Sold (part)	05/29/13	J	A	
407.					Buy	08/20/13	J		
408.					Sold (part)	12/02/13	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409. - Senior Hsg Pty Tr SBI					Buy	05/29/13	J		
410.					Buy	08/20/13	J		
411.					Sold (part)	12/02/13	J	A	
412. - Thomson Reuters Corp.					Sold (part)	05/29/13	J	A	
413.					Sold	06/06/13	J	B	
414. - Leggett & Platt Inc.					Sold (part)	05/29/13	J	A	
415.					Buy	08/20/13	J		
416.					Buy	12/02/13	J		
417. - H&R Block Inc.					Sold	02/22/13	J	C	
418. - Annaly Capital Mngmt Inc.					Buy	05/29/13	J		
419.					Buy	08/20/13	J		
420.					Sold (part)	12/02/13	J		
421. - AT&T Inc.					Buy	05/29/13	J		
422.					Sold (part)	08/20/13	J		
423.					Buy	12/02/13	J		
424. - Seadrill Ltd.					Buy	05/29/13	J		
425.					Sold (part)	08/20/13	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426.					Buy	12/02/13	J		
427. - American Electric Power Co.					Buy	05/29/13	J		
428.					Sold (part)	12/02/13	J	A	
429. - Vectren CP					Buy	05/29/13	J		
430.					Sold (part)	08/20/13	J	A	
431. - American Capital Agency					Buy	05/29/13	J		
432.					Buy	08/20/13	J		
433.					Sold (part)	12/02/13	J		
434. - Sun Life Finl Svcs CDA Inc.					Sold (part)	05/29/13	J	A	
435.					Sold (part)	08/20/13	J	A	
436.					Sold (part)	12/02/13	J	B	
437. - BCE Inc. (New)					Buy	05/29/13	J		
438.					Buy	08/20/13	J		
439.					Buy	12/02/13	J		
440. - National Retail Properties I					Buy	05/29/13	J		
441.					Buy	08/29/13	J		
442.					Buy	12/02/13	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

443. - Entergy Corp New					Buy	05/29/13	J		
444.					Sold	12/02/13	J		
445. - Verizon Communications					Buy	05/29/13	J		
446.					Sold (part)	08/20/13	J	A	
447. - Health Care REIT Inc.					Buy	05/29/13	J		
448.					Buy	08/20/13	J		
449.					Sold (part)	12/02/13	J		
450. - Reynolds American Inc.					Buy	05/29/13	J		
451.					Sold (part)	08/20/13	J	A	
452. - BK Montreal					Buy	05/29/13	J		
453.					Sold (part)	08/20/13	J	A	
454.					Sold (part)	12/02/13	J	A	
455. - Vanguard Natural Resources LLC					Sold	03/14/13	J	A	
456. - Astrazeneca PLC ADS					Buy	05/29/13	J		
457.					Sold (part)	08/20/13	J	A	
458. - Centurylink Inc.					Buy	05/29/13	J		
459.					Buy	08/20/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

460.					Buy	12/02/13	J		
461. - Teco Energy					Buy	02/22/13	J		
462.					Sold (part)	05/29/13	J	A	
463.					Sold (part)	08/20/13	J		
464.					Buy	12/02/13	J		
465. - Fifth Street Finance Corp. Com					Buy	03/14/13	J		
466.					Buy	05/29/13	J		
467.					Sold (part)	08/20/13	J		
468.					Buy	12/02/13	J		
469. - Kimberly Clark Corp.					Buy	06/06/13	J		
470.					Sold (part)	08/20/13	J	A	
471.					Sold (part)	12/02/13	J	A	
472. - Glaxosmithkline PLC ADS					Buy	06/07/13	J		
473.					Sold (part)	08/20/13	J	A	
474.					Buy	12/02/13	J		
475. - WP Carey Inc.					Buy	06/07/13	J		
476.					Sold (part)	08/20/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

477.					Buy	12/02/13	J		
478. - Westar Energy Inc.					Buy	07/24/13	J		
479.					Sold (part)	08/20/13	J		
480.					Buy	12/02/13	J		
481. - Lord Abbett Flt Rt F					Buy	08/16/13	K		
482.					Buy	08/20/13	J		
483.					Buy	12/02/13	J		
484. - DNP Select Income Inc.					Buy	08/20/13	J		
485.					Buy	12/02/13	J		
486. - Alerian MLP ETF					Buy	08/20/13	K		
487.					Buy	12/02/13	J		
488. - Vector Group Ltd					Buy	12/02/13	J		
489. - Resources Capital Corp.					Buy	12/02/13	J		
490. - New York Mortgage Trust Inc.					Buy	12/02/13	J		
491. - Northstar Realty Fin Corp.					Buy	12/02/13	J		
492. - Old Republic Intl Cp					Buy	12/02/13	J		
493. - Univ. Hlth Rlty Inc TR SBI					Buy	12/02/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

494. - HCP Incorporated					Buy	12/02/13	J		
495. - Overriding royalty interest Scott, KS									
496. BROKERAGE ACCOUNT #1	A	Dividend	K	T					
497. - Extended Insurance Sweep Account	A	Interest	J	T	Distributed (part)	01/02/13	J		
498.					Buy (add'l)	01/15/13	J		
499.					Buy (add'l)	01/29/13	J		
500.					Buy (add'l)	02/08/13	J		
501.					Buy (add'l)	02/15/13	J		
502.					Buy (add'l)	02/27/13	J		
503.					Buy (add'l)	03/11/13	J		
504.					Buy (add'l)	03/15/13	J		
505.					Buy (add'l)	03/27/13	J		
506.					Buy (add'l)	04/29/13	J		
507.					Buy (add'l)	05/29/13	J		
508.					Buy (add'l)	07/29/13	J		
509.					Buy (add'l)	08/13/13	J		
510.					Buy (add'l)	09/27/13	J		

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
511.					Distributed (part)	10/23/13	J		
512.					Buy (add'l)	10/29/13	J		
513.					Buy (add'l)	11/27/13	J		
514.					Buy (add'l)	12/27/13	J		
515. - El DuPont De Nemours & Co.	A	Dividend			Sold	03/06/13	J	A	
516. - Express Scripts Holding Co.					Sold	03/06/13	J	A	
517. - PMX Communities Inc.			J	T					
518. - Proctor & Gamble Co. Call					Sold	02/14/13	J	B	
519.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Sarah A. Hall**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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