

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Waites, John E.	2. Court or Organization U.S. Bankruptcy Court, District of South Carolina	3. Date of Report 05/15/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address U.S. Bankruptcy Court - District of South Carolina 145 King Street, Room 225 Charleston, SC 29401		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Secretary, President-Elect	National Conference of Bankruptcy Judges
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ **NONE** *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2017	
2. 2017	
3. 2017	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ **NONE** *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. South Carolina Bar	1/19/2017 - 1/21/2017	Greenville, SC	Annual Convention	Registration fee, meals, transportation, lodging
2. Southeastern Bankruptcy Law Institute	3/30/2017 - 4/1/2017	Atlanta, GA	Seminar	Registration fee, meals
3. South Carolina Bankruptcy Law Association	4/7/2017 - 4/9/2017	Asheville, NC	Seminar	Registration fee, meals, lodging
4. National Association of Consumer Bankruptcy Attorneys	5/4/2017 - 5/7/2017	Orlando, FL	Seminar	Registration fee, meals, transportation, lodging

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5.	Bankruptcy Bar Association of the Southern District of Florida	5/11/2017 - 5/14/2017	Bonita Springs, FL	Seminar	Registration fee, meals, transportation, lodging
6.	National Association of Chapter Thirteen Trustees	7/12/2017 - 7/16/2017	Seattle, WA	Seminar	Registration fee, meals, transportation, lodging
7.	American Bankruptcy Institute	7/27/2017 - 7/30/2017	Hilton Head Island, SC	Seminar	Registration fee, meals, transportation, lodging
8.	South Carolina Association for Justice	8/3/2017 - 8/5/2017	Hilton Head Island, SC	Seminar	Registration fee, meals, lodging
9.	National Conference of Bankruptcy Judges	8/7/2017 - 8/8/2017	San Diego, CA	Officer Meeting	Meals, lodging
10.	National Conference of Bankruptcy Judges	10/6/2017 - 10/12/2017	Las Vegas, NV	Seminar	Transportation, lodging
11.	South Carolina Defense Trial Attorneys' Association	11/9/2017 - 11/12/2017	Cloister, GA	Seminar	Meals, lodging
12.	National Conference of Bankruptcy Judges	12/10/2017 - 12/11/2017	Washington, D.C.	Meeting with Members of the Judiciary	Meals, transportation, lodging

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	SCBT	Loan on 2006 Volvo (co-debtor on [REDACTED] loan)	J
2.	Chase	Mortgage on property in Columbia, SC, rental property [REDACTED]	L
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1.	S.C. Deferred Comp. Plan 401K/Profit Sharing	A	Interest	J	T				
2.	Future Scholar 529 - Columbia Leg Captl Preser E	A	Dividend	J	T				
3.	Future Scholar 529 - Columbia Leg Captl Preser E	A	Dividend	J	T	Buy (add'l)	04/14/17	J	
4.					Sold (part)	05/12/17	J	A	
5.	Future Scholar 529 - Columbia Leg Captl Preser E	A	Dividend	J	T	Buy (add'l)	04/14/17	J	
6.					Sold (part)	05/12/17	J	A	
7.	██████ Rental Property in Columbia, SC	D	Rent	M	S				
8.									
9.									
10.									
11.									
12.									
13.									
14.									
15.									
16.									
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Assessed value of Rental Property in Columbia, SC is \$124,500.00.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **John E. Waites**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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