

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                                          |                                                                  |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting</b> (last name, first, middle initial)<br><br>Thompson , Kathleen                                                                                                                      | <b>2. Court or Organization</b><br><br>U.S. Bankruptcy Court                                                                                                                                                                                                                             | <b>3. Date of Report</b><br><br>04/12/2016                       |
| <b>4. Title</b> (Article III judges indicate active or senior status;<br>magistrate judges indicate full- or part-time)<br><br>U.S. Bankruptcy Judge                                                          | <b>5a. Report Type</b> (check appropriate type)<br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2015<br>to<br>12/31/2015 |
| <b>7. Chambers or Office Address</b><br><br>21041 Burbank Blvd.<br>Woodland Hills, CA 91367                                                                                                                   |                                                                                                                                                                                                                                                                                          |                                                                  |
| <p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts,<br/>checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                                                                          |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

|    | <u>POSITION</u> | <u>NAME OF ORGANIZATION/ENTITY</u> |
|----|-----------------|------------------------------------|
| 1. | Trustee         | Trust #1                           |
| 2. |                 |                                    |
| 3. |                 |                                    |
| 4. |                 |                                    |
| 5. |                 |                                    |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

|    | <u>DATE</u> | <u>PARTIES AND TERMS</u> |
|----|-------------|--------------------------|
| 1. |             |                          |
| 2. |             |                          |
| 3. |             |                          |

**FINANCIAL DISCLOSURE REPORT**

Page 2 of 7

Name of Person Reporting

Thompson , Kathleen

Date of Report

04/12/2016

**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME  
(yours, not spouse's)

1. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

**IV. REIMBURSEMENTS** *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

5. \_\_\_\_\_

**FINANCIAL DISCLOSURE REPORT**

Page 3 of 7

Name of Person Reporting

Thompson , Kathleen

Date of Report

04/12/2016

**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

# FINANCIAL DISCLOSURE REPORT

Page 4 of 7

Name of Person Reporting

Thompson , Kathleen

Date of Report

04/12/2016

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. **Sun Life Financial (whole life common shares)                                                                          | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 2. **Wells Fargo Bank                                                                                                       | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 3. **IRA #1 Edward Jones                                                                                                    |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 4. **AAPL                                                                                                                   | A                                       | Dividend                                      | L                                               | T                                         | Buy                                             | 01/26/15                | J                               | A                              |                                                                   |
| 5. **Edward Jones IRA #2                                                                                                    |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 6. **DODIX (Dodge & Cox Income Fund)                                                                                        | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 7. **DODFX (Dodge & Cox Intl Stock Fund)                                                                                    | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 09/14/15                | J                               | A                              |                                                                   |
| 8. **NAMAX (Columbia Mid Cap Value)                                                                                         | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 9. **EIBIX (Eaton Vance Ind. of Boston)                                                                                     | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 10. **HDGIX (Hartford Div & Growth)                                                                                         | A                                       | Dividend                                      | J                                               | T                                         | Sold                                            | 09/29/15                | J                               | A                              |                                                                   |
| 11. **LSIIX (Loomis Sayles Inv Grade Bond Fund)                                                                             | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 12. **MEIIX (MFS Value Fund CI I)                                                                                           | A                                       | Dividend                                      | J                                               | T                                         | Sold                                            | 05/29/15                | J                               | A                              |                                                                   |
| 13. **IGLIX (ING Global Real Estate Fund CI I, name change to Voya Global)                                                  | A                                       | Dividend                                      | J                                               | T                                         | Sold                                            | 05/29/15                | J                               | A                              |                                                                   |
| 14. **JFMXX (JP Morgan Fed Mon Mkt Fund)                                                                                    | A                                       | Interest                                      | J                                               | T                                         | Buy                                             | 05/29/15                | J                               | A                              |                                                                   |
| 15. **TGVIX (Thornburg Intl Value Fund)                                                                                     | A                                       | Dividend                                      | J                                               | T                                         | Sold                                            | 05/29/15                | J                               | A                              |                                                                   |
| 16. **PRDGX (T Rowe Price Div Growth)                                                                                       | A                                       | Dividend                                      | J                                               | T                                         | Sold                                            | 05/29/15                | J                               | A                              |                                                                   |
| 17. **CRSOX (Credit Suisse Comm Ret Str CI)                                                                                 | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 05/29/15                | J                               | A                              |                                                                   |

|                         |                                  |                             |                                |                                 |                         |
|-------------------------|----------------------------------|-----------------------------|--------------------------------|---------------------------------|-------------------------|
| 1. Income Gain Codes:   | A = \$1,000 or less              | B = \$1,001 - \$2,500       | C = \$2,501 - \$5,000          | D = \$5,001 - \$15,000          | E = \$15,001 - \$50,000 |
| (See Columns B1 and D4) | F = \$50,001 - \$100,000         | G = \$100,001 - \$1,000,000 | H1 = \$1,000,001 - \$5,000,000 | H2 = More than \$5,000,000      |                         |
| 2. Value Codes          | J = \$15,000 or less             | K = \$15,001 - \$50,000     | L = \$50,001 - \$100,000       | M = \$100,001 - \$250,000       |                         |
| (See Columns C1 and D3) | N = \$250,001 - \$500,000        | O = \$500,001 - \$1,000,000 | P1 = \$1,000,001 - \$5,000,000 | P2 = \$5,000,001 - \$25,000,000 |                         |
|                         | P3 = \$25,000,001 - \$50,000,000 |                             | P4 = More than \$50,000,000    |                                 |                         |
| 3. Value Method Codes   | Q = Appraisal                    | R = Cost (Real Estate Only) | S = Assessment                 | T = Cash Market                 |                         |
| (See Column C2)         | U = Book Value                   | V = Other                   | W = Estimated                  |                                 |                         |

# FINANCIAL DISCLOSURE REPORT

Page 5 of 7

Name of Person Reporting

Thompson , Kathleen

Date of Report

04/12/2016

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                        |   |          |   |   |      |          |   |   |  |
|--------------------------------------------------------|---|----------|---|---|------|----------|---|---|--|
| 18. **MWTIX (MetroWest Tot Ret. Bd C1 1)               | A | Dividend | J | T |      |          |   |   |  |
| 19. **PRCIX (T. Rowe Price New Income)                 | A | Dividend | J | T | Sold | 08/05/15 | J | A |  |
| 20. **SAGIX (Cba Aggressive Growth)                    | A | Dividend | J | T | Sold | 05/22/15 | J | A |  |
| 21. **MFEIX (MFS Growth Fund C1 1)                     | A | Dividend | J | T | Sold | 05/29/15 | J | A |  |
| 22. **MINIX (MFS International Value Fund C1 I)        | A | Dividend | J | T | Sold | 05/29/15 | J | A |  |
| 23. **MGOYX (Munder Mid Cap Core Growth Fund)          | A | Dividend | J | T | Sold | 05/22/15 | J | A |  |
| 24. **HLLVX (JPMorgan Short Duration Bond Fund)        | A | Dividend | J | T | Buy  | 05/29/15 | J | A |  |
| 25. **DISRX (Dreyfus International Stock Fund)         | A | Dividend | J | T |      |          |   |   |  |
| 26. **TGBAX (Templeton Global Bond Fund Advisor Class) | A | Dividend | J | T |      |          |   |   |  |
| 27. **FVHIX (Franklin High Income Fund Advisor Class)  | A | Dividend | J | T |      |          |   |   |  |
| 28. **WBFFX (Capital World Bond Fund C1 F1)            | A | Dividend | J | T | Buy  | 05/29/15 | J | A |  |
| 29. **BBTBX (Bridge Builder Bond Fund Solicited)       | A | Dividend | J | T |      |          |   |   |  |
| 30. **BBCPX (Bridge Builder Core Plus Bond)            | A | Dividend | J | T | Buy  | 08/03/15 | J | A |  |
| 31. **BBGLX (Bridge Builder Large Growth)              | A | Dividend | J | T | Buy  | 05/22/15 | J | A |  |
| 32. **BBSGX (Bridge Builder Smallmid Growth)           | A | Dividend | J | T | Buy  | 05/22/15 | J | A |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

Page 6 of 7

Name of Person Reporting

Thompson , Kathleen

Date of Report

04/12/2016

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Section 1. The only asset in Trust #1 is my personal residence.

Section VII. Investments and Trusts

\*\*= an asset as of 12/31/15

Line 4 - Lists the only asset (APPL) in IRA #1 Edward Jones.

Line 5 - This is a heading for the assets in IRA #2 Edward Jones that are listed on Line 6 and following.

# FINANCIAL DISCLOSURE REPORT

Page 7 of 7

Name of Person Reporting

Thompson , Kathleen

Date of Report

04/12/2016

## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Kathleen Thompson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544