

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Morgan, Henry C.	2. Court or Organization U.S. District Court, EDVA	3. Date of Report 11/17/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 600 Granby Street, Room 307 Norfolk, Virginia 23510		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2015	Regent University, Adjunct Professor	\$6,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Virginia CLE	01/06/2015 - 01/08/2015	Charlottesville, VA	Educational Seminar	Transportation, Lodging, Meals
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. UBS Financial Services Accounts (H)									
2. UBS Financial Services - IRA Rollover (H)	D	Int./Div.	M	T					
3. - Diageo Capital PLC (Common)									
4. - JPMorgan Chase & Co (Common)									
5. - Marathon Oil Corp. (Common)									
6. - Mylan N V Eur (Common)					Buy	08/12/15	J		
7. - Price T Rowe Group Inc (Common)									
8. - Qualcomm, Inc. (Common)					Buy	01/05/15	J		
9. - Qualcomm, Inc. (Common)					Sold	08/17/15	J		
10. - Quest Diagnostics Inc. (Common)					Redeemed	04/09/15	J		
11. - TowneBank Portsmouth VA (Convertible Note)					Buy (add'l)	12/18/15	K		
12. - United Technologies Corp. (Common)					Buy	01/05/15	J		
13. - Verizon Communications (Common)									
14. UBS Financial Services, Roth IRA 1 (H)	A	Int./Div.	O	T					
15. -TowneBank Portsmouth VA (Common)					Buy (add'l)	12/18/15	K		
16. UBS Financial Services, Roth IRA 2 (H)	D	Int./Div.	N	T					
17. - Apple Inc. (Common)									

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q ~Appraisal	R ~Cost (Real Estate Only)	S ~Assessment	T ~Cash Market	
(See Column C2)	U ~Book Value	V ~Other	W ~Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - Boeing Company (Common)									
19. - EcoLab Inc. (Common)									
20. - Emerson Electric Co. (Common)									
21. - Google Inc. (Common)									
22. - Intel Corp (Common)									
23. - iRobot Corp. (Common)									
24. - Microsoft Corp. (Common)									
25. - Rare Element Resources Ltd. (Common)									
26. - Seadrill Ltd US (Common)									
27. - Yum! Brands Inc. (Common)					Sold	10/09/15	J		
28. - TowneBank Portsmouth VA (Common)					Buy (add'l)	12/18/15	K		
29. TowneBank Checking Account	A	Interest	K	W					
30. Davenport Trust Company Accts. (H)	D	Int./Div.	M	T					
31. - Abbott Labs (Common)									
32. - Abbvie Inc. (Common)					Buy	02/04/15	J		
33. - Altria Group (Common)									
34. - Ambarella Inc (Common)					Buy (add'l)	02/04/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. - Ambarella Inc (Common)					Sold (part)	06/08/15	J	C	
36. - Ambarella Inc (Common)					Sold	06/22/15	J	D	
37. - Amgen Inc. (Common)					Buy	06/22/15	J		
38. - Apple Inc (Common)									
39. - AT&T (Common)					Sold (part)	03/11/15	J		
40. - BCE, Inc. (Common)					Sold	06/22/15	J		
41. - BP PLC (Common)					Buy	04/09/15	J		
42. - BP PLC (Common)					Sold	07/30/15	J		
43. - Broadcom (Common)					Buy	08/18/15	J		
44. - Chevron (Common)					Sold	07/30/15	J		
45. - DNP Select (Common) (Y)									
46. - Dollar Tree Corporation (Common)									
47. - Ellie Mae, Inc. (Common)					Buy	06/08/15	J		
48. - Energy Transfer Partnership (Partnership) (X)					Sold	11/18/15	J		
49. - Fleetmatics (Common)					Buy	05/01/15	J		
50. - Fleetmatics (Common)					Sold	12/14/15	J		
51. - Home Depot (Common) (Y)									

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2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
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52. - iRobot Corp (Common) (X)									
53. - Magellan Health Inc. (Common)					Buy	05/15/15	J		
54. - Magellan Health Inc. (Common)					Sold	07/30/15	J		
55. - Merck & Company (Common)									
56. - National Grid PLC (X)									
57. - Packaging Corp of America (Common)					Buy	11/19/15	J		
58. - Palo Alto Networks Inc (Common)					Sold	07/07/15	K	C	
59. -Philip Morris Untl Inc. (Common)					Buy	12/07/15	J		
60. - Qorvo Inc. (Common)					Buy	04/14/15	J		
61. - Qorvo Inc. (Common)					Sold	07/30/15	J		
62. - Roche Holding Limited (Common)(Y)									
63. - Skyworks Solutions Inc. (Common)					Sold	07/07/15	K	D	
64. - TowneBank Portsmouth (Common)					Sold (part)	06/22/15	K	D	
65. -Tyson Foods Inc. (Common)(X)					Buy	02/10/15	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII. Line 51 (2014 Amended Report) which is now Line 52 (2015 Amended Report) has been corrected to reflect (X).

Part VII. Line 49 (2014 Amended Report) which is now Line 45 (2015 Amended Report) has been corrected to reflect (Y).

Part VII. Lines 62 and 63 (2014 Amended Report) should have reflected Sold on 02/24/14 as opposed to Sold (part). Although there were two separate transactions, all of the common stock for Seadrill Ltd. was sold on 02/24/14.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Henry C. Morgan**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544