

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Doyle, Carol A.	2. Court or Organization Northern District of Illinois	3. Date of Report 05/01/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address Room 738 219 S. Dearborn Chicago, IL 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2016

Salary from [REDACTED]

2.

3.

4.

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. National Conference of
Bankruptcy Judges

October 26-29, 2016

San Francisco, CA

NCBJ Annual Meeting and
Conference

Hotel

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		Tuition, room, and board	None
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. The Vanguard Group 500 Index Fund	A	Dividend	K	T					
2. Wells Fargo Montana NA, CD IRA	A	Interest	J	T					
3. Nicholas II Mutual Fund IRA	B	Dividend	K	T					
4. American Cent. Fund IRA (H)									
5. - American Cent. Ultra Fund IRA	B	Dividend	M	T					
6. - American Cent. Growth Fund IRA	C	Dividend	L	T					
7. Federated Capital Appreciation Fund A	B	Dividend	K	T					
8. ICMA Retirement Corp. A 457 Retirement Account (H)									
9. - ICMA VT Vantagepoint Growth Fund	A	Dividend	L	T					
10. - ICMA VT Vantagepoint Milestone 2015	A	Dividend	K	T					
11. - ICMA Vantage Trust PLUS Fund	A	Dividend	M	T					
12. - ICMA VT Vantagepoint Equity Income Fund	C	Dividend	L	T					
13. - ICMA VT Allianz NFJ Div Value Admin Fund	C	Dividend	L	T					
14. - ICMA VT Fidelity Contra Fund	A	Dividend	L	T					
15. - ICMA VT TRPrice Growth Stock Adv	D	Dividend	K	T					
16. JP Morgan Chase, f/k/a Bank One, Checking & Money Market Acc	A	Interest	K	T					
17. Fifth Third Bank Checking, Savings and CD Accounts	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$500,000.00	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000.00	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Met Life common stock	A	Dividend	J	T					
19. Utah Educational Savings Plan Age-Based Moderate Portfolio	B	Dividend	L	T	Sold (part)	10/21/16	K	A	
20.					Sold (part)	06/21/16	K	A	
21. Fidelity Investments (H)									
22. -Spartan Int'l Index Fidelity Advantage Class	B	Dividend	K	T					
23. -Fidelity Total Bond	B	Dividend	M	T					
24. - Frost Total Return Bond Investor	A	Dividend	K	T					
25. -SPDR S&P 500 ETF Trust	D	Dividend	N	T					
26. -SPDR S&P Mid Cap 400 ETF Trust	A	Dividend	L	T					
27. Morgan Stanley Inherited IRA (H)									
28. -Baxalta Inc. Stock	A	Dividend			Sold	01/15/16	J	A	
29. - Baxter Intl. Inc. Stock	A	Dividend			Sold	01/15/16	J	A	
30. - General Electric Co. Stock	A	Dividend			Sold	01/15/16	J	A	
31. - General Mills, Inc. Stock	A	Dividend			Sold	01/15/16	J	A	
32. - Nextera Energy Inc. Stock	A	Dividend			Sold	01/15/16	J	A	
33. -Procter & Gamble Stock	A	Dividend			Sold	01/15/16	J	A	
34. - Target Corp. Stock	A	Dividend			Sold	01/15/16	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. -Verizon Comm. Stock	A	Dividend			Sold	01/15/16	J	A	
36. -Walgreen Boots Alliance, Inc. Stock	A	Dividend			Sold	01/15/16	J	A	
37. -Morgan Stanley Bank N.A. Cash account	A	Interest	J	T	Open	01/15/16	J		
38. -American Income FD of America A	A	Dividend	J	T	Buy	01/21/16	J		
39. -American Inv. Co of Amer C	A	Dividend	J	T	Buy	01/21/16	J		
40. -American New Perspective C	A	Dividend	J	T	Buy	01/21/16	J		
41. -Invesco Equal Weighted S&P 500C	A	Dividend	J	T	Buy	01/21/16	J		
42. -MFS Utilities A	A	Dividend	J	T	Buy	01/21/16	J		
43. -Pimco Total Return C	A	Dividend	J	T	Buy	01/21/16	J		
44. Trust No. 1 (H)									
45. -BP Global Stock	A	Dividend			Sold	10/18/16	J	A	
46. -CVS Health Corp. Stock	A	Dividend			Sold	10/24/16	J	A	
47. -Exelon Corp. Stock	A	Dividend			Sold	10/19/16	J	A	
48. -Exxon Mobil Corp. Stock	C	Dividend			Sold	12/09/16	K	A	
49. -Ingredion, Inc. Stock	A	Dividend			Sold	12/28/16	J	B	
50. -RPM International, Inc. Stock	A	Dividend			Sold	10/19/16	J	A	
51. -Terex Corp. Stock	A	Dividend			Sold	11/04/16	J	A	

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52. -Viad Corp. Stock	A	Dividend			Sold	10/19/16	J	A	
53. -American Funds - Income Fund of America A	A	Dividend			Sold	11/02/16	J	A	
54. -JPMorgan Chase Bank Account	A	Interest	M	T					
55. -Wells Fargo Asset Management (H)									
56. --Wells Fargo Opportunity - A fund	A	Dividend			Sold	10/18/16	J	A	
57. --Wells Fargo Diversified Capital Builder - A Fund	A	Dividend			Sold	10/18/16	J	A	
58. --Wells Fargo High Yield Bond - A fund	A	Dividend			Sold	10/18/16	J	A	
59. - Jackson Nat. Life Ins. Fixed Annuity		None	J	T	Distributed (part)	11/07/16	J	A	
60. -Residential Property Arlington Hts., IL		None			Sold	11/16/16	N	A	M. Kokines
61. -American Century Heritage Fund		None			Sold	11/08/16	J	A	
62. American Century Ginnie Mae Fund Inherited IRA	A	Dividend	J	T	Distributed (part)	12/31/16	J	A	
63. Vanguard Inherited IRA (H)									
64. -Vanguard Target Retirement	A	Dividend	J	T	Distributed (part)	10/29/16	J	A	
65. -Wellesley Inc. Fund Adm	A	Dividend	K	T					
66. -S-T Investment Great Inv.	A	Dividend	J	T	Distributed (part)	10/28/16	J	A	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The American Cent. Fund IRA listed on Line 4 of my 2015 report was closed in 2015.

The Charles Schwab & Co IRA listed on Line 23 of my 2015 report was closed in 2015.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Carol A. Doyle**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
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Washington, D.C. 20544