

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Jones, David R.	2. Court or Organization U.S. Bankruptcy Court, Southern District of Texas	3. Date of Report 04/23/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address U.S. Courthouse 515 Rusk Avenue, Room 4505 Houston, Texas 77002		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	The Center for American and International Law	2/12/2014-2/14/2014	New Orleans, LA	Non-FJC educational seminar	Airfare, meals and lodging
2.	American College of Bankruptcy	5/9/2014-5/10-2014	New Orleans, LA	Non-FJC educational seminar	Airfare, meals and lodging
3.	State Bar of Texas	7/15/2014-7/15/2014	Austin, Texas	Non-FJC educational seminar planning meeting	Airfare
4.	University of Texas School of Law	7/24/2014-7/25/2014	Galveston, Texas	Non-FJC educational seminar	Airfare, meals and lodging
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BOA Bank Accounts	A	Interest	O	T					
2. ING Bank Account n/k/a Capital One 360	B	Interest	N	T					
3. ING Cap. Allocation Mutual Fund	A	Int./Div.	J	T					
4. Compass Bank Accounts	A	Interest	M	T					
5. Am/Ex Bank Account	A	Interest	M	T					
6. AEP common (IRA)	A	Dividend	J	T					
7. Chevron common (IRA)	A	Dividend	K	T					
8. Conoco Phillips/Phillips 66 common (IRA)	A	Dividend	K	T					
9. Exxon common (IRA)	A	Dividend	K	T					
10. Fedex common (IRA)	A	Dividend	K	T					
11. Royal Dutch common (IRA)	B	Dividend	K	T					
12. American Balance Fund (IRA)	D	Int./Div.	M	T	Buy (add'l)	12/24/14	J		
13. Capital World Growth & Income Fund (IRA)	B	Int./Div.	L	T	Buy (add'l)	12/15/14	J		
14. JP Morgan Chase Lkd to Dollar (IRA)	B	Interest	M	T	Buy	05/22/14	M		
15. Fundamental Investors Fund (IRA)	D	Int./Div.	M	T	Buy (add'l)	12/23/14	J		
16. Income Fund of America (IRA)	D	Int./Div.	M	T	Buy (add'l)	12/23/14	J		
17. Templeton Dragon Fund (IRA)	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,001 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Washington Mutual Fund (IRA)	D	Int./Div.	M	T	Buy (add'l)	12/22/14	J		
19. Allstate Corp. Dep. Shares (IRA)	D	Int./Div.	M	T					
20. Colony Tex Dec. Corp Tax Increment Contract (IRA)	A	Int./Div.	K	T					
21. GMS Money Market	A	Int./Div.	K	T					
22. Texas Municipal Bonds	G	Interest	P2	T	Redeemed (part)	12/18/14	L	A	
23.					Redeemed (part)	09/12/14	L	A	
24.					Redeemed (part)	03/03/14	K	A	
25.					Redeemed (part)	08/28/14	K	B	
26.					Redeemed (part)	09/03/14	L	A	
27.					Redeemed (part)	09/03/14	L	A	
28.					Redeemed (part)	09/02/14	K	A	
29.					Redeemed (part)	09/02/14	K	A	
30.					Sold (part)	10/08/14	K	B	
31.					Redeemed (part)	08/15/14	K	A	
32.					Redeemed (part)	05/22/14	K	C	
33.					Sold (part)	05/09/14	L	B	
34.					Sold (part)	05/08/14	M	C	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35.					Buy (add'l)	11/24/14	K		
36.					Buy (add'l)	04/24/14	K		
37.					Buy (add'l)	02/20/14	L		
38.					Buy (add'l)	08/04/14	L		
39.					Buy (add'l)	08/04/14	K		
40.					Buy (add'l)	05/20/14	L		
41.					Buy (add'l)	07/08/14	L		
42.					Buy (add'l)	07/30/14	L		
43.					Buy (add'l)	08/12/14	J		
44.					Buy (add'l)	10/09/14	K		
45.					Buy (add'l)	05/08/14	K		
46.					Buy (add'l)	05/09/14	K		
47.					Buy (add'l)	05/08/14	K		
48.					Buy (add'l)	09/12/14	L		
49.					Buy (add'l)	12/30/14	L		
50.					Buy (add'l)	01/24/14	J		
51.					Buy (add'l)	11/05/14	J		

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52.					Buy (add'l)	08/07/14	L		
53.					Buy (add'l)	01/24/14	J		
54.					Buy (add'l)	01/30/14	L		
55. Colorado Municipal Bonds	D	Interest	N	T	Buy (add'l)	01/24/14	J		
56.					Buy (add'l)	11/05/14	J		
57.					Buy (add'l)	01/24/14	J		
58. P&G common	B	Dividend	K	T					
59. Washington Mutual Fund	A	Int./Div.	N	T	Buy (add'l)	12/22/14	J		
60. Am Ex Annuity	D	Interest	M	T					
61. Fundamental Investors Fund (SIRA)	A	Int./Div.	K	T	Buy (add'l)	12/23/14	J		
62. AMCAP FUND Class A	D	Distribution	M	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **David R. Jones**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544