

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Keenan, Barbara M.	2. Court or Organization U.S. Court of Appeals, 4th Circuit	3. Date of Report 5/1/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Judge-Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 200 South Washington Street Suite 305 Alexandria, VA 22314		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust #1 - See Sec. VII, p. 31, line 469
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	Retirement Income - Commonwealth of Virginia	\$143,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	Virginia Retirement System-Monthly Payment
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Federal Public Defender	April 18-19, 2013	Charlottesville, Virginia	Criminal Defense Conference - Federal Public Defender	Lodging - 126.50
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America-Bank Accounts	E	Interest	N	T					
2. BB&T -Bank Accounts	A	Interest	J	T					
3. Jefferson County, WV- farmland, 25% interest	A	Rent	M	Q					
4. Jefferson County, WV- farm + structures-25% int	B	Rent	O	Q					
5. MERRILL LYNCH BROKERAGE ACCT #1;									
6. BB&T Bank Corp. common stock	E	Dividend	N	T					
7. Cisco Systems-common stock	B	Dividend	L	T					
8. Coca Cola Corp.-common stock	A	Dividend	K	T					
9. Dell Inc.-common stock		None			Sold	10/30/13	J	A	
10. Freeport McMoran-common stock	B	Dividend	K	T					
11. Glaxo SmithKline-common stock	A	Dividend	J	T					
12. Merk & Co.-common stock	B	Dividend	K	T					
13. Pfizer Inc.-common stock	C	Dividend	M	T					
14. Time Warner Cable-common stock	A	Dividend	K	T					
15. Time Warner Inc.-common stock	B	Dividend	L	T					
16. Wells Fargo & Co.-common stock	A	Dividend	J	T					
17. Express Scripts Hldg. Co.		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Dominion Resources - common stock (X)	B	Dividend	L	T	Buy	8/19/13	L		
19. AOL - common stock	A	Dividend	J	T					
20. Bank of America Tax-Exempt Reserves CL	A	Interest	L	T					
21. MERRILL LYNCH BROKERAGE ACCT #2 (IRA)									
22. MFS Growth Fund	A	Dividend			Sold	5/22/13	K	D	
23. Pfizer	A	Dividend			Sold	5/22/13	L	E	
24. MainStay Marketfield Fund	A	Dividend	J	T	Buy	5/20/13	J		
25.					Buy (add'l)	5/30/13	J		
26.					Sold (part)	6/17/13	J	A	
27.					Sold (part)	8/26/13	J	A	
28.					Buy (add'l)	11/18/13	J		
29. Ivy Asset Strategy Fund	A	Dividend	J	T	Buy	5/20/13	J		
30.					Buy (add'l)	5/30/13	J		
31.					Sold (part)	8/26/13	J	A	
32.					Sold (part)	9/9/13	J	A	
33. MFS Diversified Income Fund	A	Dividend			Buy	5/20/13	J		
34.					Buy (add'l)	5/30/13	J		

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35. MFS Diversified Income Fund	A	Dividend			Sold	6/17/13	J	A	
36. Loomis Sayles Strategic Inc	A	Dividend			Buy	5/20/13	J		
37.					Buy (add'l)	5/30/13	J		
38.					Sold	6/17/13	J	A	
39. Blackrock Global Allocations Fund		None			Buy	5/20/13	J		
40.					Buy (add'l)	5/30/13	J		
41.		None			Sold	6/17/13	J	A	
42. Dreyfus International Bond Fund	A	Dividend	J	T	Buy	5/20/13	J		
43.					Buy (add'l)	5/30/13	J		
44.					Sold (part)	6/17/13	J	A	
45.					Buy (add'l)	8/26/13	J		
46. Oppenheimer Senior Floating Rate Fund	A	Dividend	J	T	Buy	5/20/13	J		
47.					Buy (add'l)	5/30/13	J		
48.					Sold (part)	6/17/13	J	A	
49.					Buy (add'l)	8/26/13	J		
50.					Buy (add'l)	8/27/13	J		
51.					Buy (add'l)	9/9/13	J		

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52. Oppenheimer International Growth Fund	A	Dividend	J	T	Buy	5/20/13	J		
53.					Buy (add'l)	5/30/13	J		
54.					Buy (add'l)	6/17/13	J		
55.					Sold (part)	7/30/13	J	A	
56.					Sold (part)	8/26/13	J	A	
57. Oppenheimer Developing Markets Fund	A	Dividend	J	T	Buy	5/20/13	J		
58.					Buy (add'l)	5/30/13	J		
59.					Buy (add'l)	6/17/13	J		
60.					Buy (add'l)	7/30/13	J		
61.					Sold (part)	8/26/13	J	A	
62.					Sold (part)	9/9/13	J	A	
63. JP Morgan Large Cap Growth Fund		None	J	T	Buy	5/20/13	J		
64.					Buy (add'l)	5/30/13	J		
65.					Buy (add'l)	6/17/13	J		
66.					Sold (part)	8/26/13	J	A	
67.					Sold (part)	9/9/13	J	A	
68. Altegris Managed Futures Strategy Fund		None			Buy	5/20/13	J		

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69.					Buy (add'l)	5/30/13	J		
70.					Buy (add'l)	6/17/13	J		
71.					Buy (add'l)	8/26/13	J		
72.					Sold (part)	10/15/13	J	A	
73.					Sold	11/18/13	J	A	
74. MFS New Discovery Fund	A	Dividend	J	T	Buy	5/20/13	J		
75.					Buy (add'l)	5/30/13	J		
76.					Sold (part)	6/17/13	J	A	
77.					Sold (part)	8/26/13	J	A	
78. MFS Value Fund	A	Dividend	J	T	Buy	5/20/13	J		
79.					Buy (add'l)	5/30/13	J		
80.					Buy (add'l)	6/17/13	J		
81.					Sold (part)	8/26/13	J	A	
82.					Sold (part)	9/9/13	J	A	
83. JP Morgan Equity Income Fund	A	Dividend	J	T	Buy	5/20/13	J		
84.					Buy (add'l)	5/30/13	J		
85.					Buy (add'l)	6/17/13	J		

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86.					Sold (part)	8/26/13	J	A	
87.					Sold (part)	9/9/13	J	A	
88. TCW Select Equities	A	Dividend	J	T	Buy	5/20/13	J		
89.					Buy (add'l)	5/30/13	J		
90.					Buy (add'l)	6/17/13	J		
91.					Sold (part)	8/26/13	J	A	
92. The Oakmark International Fund	A	Dividend	J	T	Buy	5/20/13	J		
93.					Buy (add'l)	5/30/13	J		
94.					Buy (add'l)	6/17/13	J		
95.					Sold (part)	8/26/13	J	A	
96.					Sold (part)	8/26/13	J	A	
97.					Sold (part)	9/9/13	J	A	
98. SPDR Gold Trust		None	J	T	Buy	5/22/13	J		
99.					Buy (add'l)	6/3/13	J		
100.					Sold (part)	6/19/13	J	A	
101. SSGA Active Multi Asset Fund	A	Dividend	J	T	Buy	5/22/13	J		
102.					Buy (add'l)	5/30/13	J		

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103.					Buy (add'l)	6/19/13	J		
104.					Buy (add'l)	8/28/13	J		
105. Vanguard Mega Cap 300	A	Dividend	J	T	Buy	5/22/13	J		
106.					Buy (add'l)	6/3/13	J		
107.					Sold (part)	6/19/13	J	A	
108.					Sold (part)	6/28/13	J	A	
109.					Sold (part)	9/11/13	J	A	
110. Vanguard Mega Cap Growth Fund	A	Dividend	J	T	Buy	5/22/13	J		
111.					Buy (add'l)	6/3/13	J		
112.					Sold (part)	6/19/13	J		
113.					Sold (part)	8/28/13	J	A	
114.					Sold (part)	8/29/13	J	A	
115.					Sold (part)	9/11/13	J	A	
116. Vanguard FTSE ALL WORLD	A	Dividend	J	T	Buy	5/22/13	J		
117.					Buy (add'l)	6/3/13	J		
118.					Buy (add'l)	6/19/13	J		
119.					Sold (part)	8/28/13	J	A	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Sold (part)	9/11/13	J	A	
121. JP Morgan Strategic Income Opp Fund	A	Dividend	J	T	Buy	6/17/13	J		
122.					Buy (add'l)	8/26/13	J		
123.					Sold (part)	8/27/13	J	A	
124.					Buy (add'l)	9/9/13	J		
125.					Sold (part)	9/30/13	J	A	
126. Mainstay Unconstrained Bond Fund	A	Dividend	J	T	Buy	8/27/13	J		
127.					Buy (add'l)	9/9/13	J		
128.					Sold (part)	9/30/13	J	A	
129. Aberdeen Global High Income Fund	A	Dividend	J	T	Buy	9/30/13	J		
130. Blackrock Strategic Income Opportunities	A	Dividend	J	T	Buy	6/17/13	J		
131.					Buy (add'l)	8/26/13	J		
132.					Sold (part)	8/27/13	J	A	
133.					Buy (add'l)	9/9/13	J		
134.					Sold (part)	9/30/13	J	A	
135. Pioneer Multi Asset Real Return Fund	A	Dividend	J	T	Buy	6/17/13	J		
136.					Buy (add'l)	8/26/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	9/9/13	J		
138. JP Morgan Undisclosed Mrgrs Behavioral Value Fund; A		None			Buy	5/22/13	J		
139.					Buy (add'l)	6/3/13	J		
140.					Sold (part)	8/28/13	J	A	
141.					Sold (part)	9/11/13	J	A	
142.					Sold	11/18/13	J		
143. JP Morgan Undiscovered Mrgrs Behavioral Value Fund; Select	A	Dividend	J	T	Buy	11/18/13	J		
144. Lord Abbett Short Duration Income Fund	A	Dividend	J	T	Buy	5/22/13	J		
145.					Buy (add'l)	5/29/13	J		
146.					Sold (part)	6/14/13	J	A	
147.					Buy (add'l)	8/23/13	J		
148.					Buy (add'l)	8/26/13	J		
149.					Buy (add'l)	9/6/13	J		
150. Vanguard Total Bond Market Per Advisor	A	Dividend	J	T	Buy	5/22/13	J		
151.					Buy (add'l)	5/29/13	J		
152.					Buy (add'l)	8/23/13	J		
153.					Buy (add'l)	8/26/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	9/6/13	J		
155. Pfizer, Inc.-common stock	C	Dividend	K	T					
156. MFS Growth Fund Port Class A		None	K	T					
157. Bank of America Cash Reserves Class A	A	Interest	J	T					
158. FIA Card SVS NA Rasp MM Fund (X)	A	Interest	J	T					
159. MERRILL LYNCH BROKERAGE ACCT #3									
160. Coca Cola Co.-common stock	A	Dividend	K	T					
161. General Electric-common stock	B	Dividend	L	T					
162. Intel Corp.-common stock	B	Dividend	K	T					
163. IBM-common stock	B	Dividend	L	T					
164. Pfizer Inc.-common stock	C	Dividend	L	T					
165. Bank of America Muni Reserves Money Market	A	Interest	J	T					
166. Dominion Resources - common stock (X)	B	Dividend	L	T	Buy	8/19/13	L		
167. MERRILL LYNCH BROKERAGE ACCT #4									
168. Thornburg Intermediate Muni Fund	A	Dividend	J	T	Buy	5/23/13	J		
169.					Sold (part)	6/17/13	J	A	
170.					Buy (add'l)	8/26/13	J		

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Buy (add'l)	8/27/13	J		
172.					Buy (add'l)	9/9/13	J		
173. Lord Abbett Intermediate Tax Free Fund	A	Dividend	J	T	Buy	5/23/13	J		
174.					Sold (part)	6/17/13	J	A	
175.					Buy (add'l)	8/26/13	J		
176.					Buy (add'l)	8/27/13	J		
177.					Buy (add'l)	9/9/13	J		
178. MainStay Market Field Fund	A	Dividend	J	T	Buy	5/23/13	J		
179.					Sold (part)	6/17/13	J	A	
180.					Sold (part)	8/26/13	J	A	
181.					Sold (part)	10/15/13	J	A	
182.					Buy	11/18/13	J		
183. Ivy Asset Strategy Fund	A	Dividend	J	T	Buy	5/23/13	J		
184.					Sold (part)	8/26/13	J	A	
185.					Sold (part)	9/9/13	J	A	
186. MFS Diversified Income Fund	A	Dividend			Buy	5/23/13	J		
187.					Sold	6/17/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Loomis Sayles Strategic Income	A	Dividend			Buy	5/23/13	J		
189.					Sold	6/17/13	J	A	
190. Blackrock Global Allocation Fund		None	J	T	Buy	5/23/13	J		
191.					Sold (part)	6/17/13	J	A	
192. Dreyfus International Bond Fund	A	Dividend	J	T	Buy	5/23/13	J		
193.					Sold (part)	6/17/13	J	A	
194.					Buy (add'l)	8/26/13	J		
195.					Buy (add'l)	8/27/13	J		
196.					Buy (add'l)	9/9/13	J		
197. Oppenheimer Senior Floating Rate Fund	A	Dividend	J	T	Buy	5/23/13	J		
198.					Sold (part)	6/17/13	J	A	
199.					Buy (add'l)	8/26/13	J		
200.					Buy (add'l)	8/27/13	J		
201.					Buy (add'l)	9/9/2013	J		
202. Oppenheimer International Growth Fund	A	Dividend	J	T	Buy	5/23/13	J		
203.					Buy (add'l)	6/17/13	J		
204.					Sold (part)	7/3/13	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.					Sold (part)	8/26/13	J	A	
206. Oppenheimer Developing Markets Fund	A	Dividend	J	T	Buy	5/23/13	J		
207.					Buy (add'l)	6/7/13	J		
208.					Buy (add'l)	7/30/13	J		
209.					Sold (part)	8/26/13	J	A	
210.					Sold (part)	9/9/13	J	A	
211. JP Morgan Large Cap Growth Fund		None	J	T	Buy	5/23/13	J		
212.					Buy (add'l)	6/17/13	J		
213.					Sold (part)	8/26/13	J	A	
214.					Sold (part)	9/9/13	J	A	
215. Altegris Managed Futures Strategy Fund		None			Buy	5/23/13	J		
216.					Buy (add'l)	6/17/13	J		
217.					Buy (add'l)	8/27/13	J		
218.					Sold (part)	10/15/13	J	A	
219.					Sold	11/18/13	J		
220. MFS New Discovery Fund	A	Dividend	J	T	Buy	5/23/13	J		
221.					Sold (part)	6/17/13	J	A	

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(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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222.					Sold (part)	8/26/13	J	A	
223.					Sold (part)	9/9/13	J	A	
224. MFS Value Fund	A	Dividend	J	T	Buy	5/23/13	J		
225.					Buy (add'l)	6/17/13	J		
226.					Sold (part)	8/26/13	J	A	
227.					Sold (part)	9/9/13	J	A	
228. JP Morgan Equity Income Fund	A	Dividend	J	T	Buy	5/23/13	J		
229.					Buy (add'l)	6/17/13	J		
230.					Sold (part)	8/26/13	J	A	
231.					Sold (part)	9/9/13	J	A	
232. TCW Select Equities	A	Dividend	J	T	Buy	5/23/13	J		
233.					Buy (add'l)	6/17/13	J		
234.					Sold (part)	8/26/13	J	A	
235.					Sold (part)	9/9/13	J	A	
236. OakMark International Fund	A	Dividend	J	T	Buy	5/23/13	J		
237.					Buy (add'l)	6/17/13	J		
238.					Sold (part)	8/26/13	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239.					Sold (part)	8/27/13	J	A	
240.					Sold (part)	9/9/13	J	A	
241. SPDR Gold Trust		None	J	T	Buy	5/28/13	J		
242. SSGA Active Multi Asset	A	Dividend	J	T	Buy	5/28/13	J		
243.					Buy (add'l)	6/19/13	J		
244.					Buy (add'l)	8/28/13	J		
245. Vanguard Mega Cap 300	A	Dividend	J	T	Buy	5/28/13	J		
246.					Sold (part)	6/19/13	J	A	
247.					Sold (part)	8/28/13	J	A	
248.					Sold (part)	9/11/13	J	A	
249. Vanguard Mega Cap Growth	A	Dividend	J	T	Buy	5/28/13	J		
250.					Sold (part)	6/19/13	J	A	
251.					Sold (part)	8/28/13	J	A	
252.					Sold (part)	9/11/13	J	A	
253. Vanguard FTSE ALL WORLD	A	Dividend	J	T	Buy	5/28/13	J		
254.					Sold (part)	6/19/13	J	A	
255.					Sold (part)	8/28/13	J	A	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256.					Sold (part)	9/11/13	J	A	
257. JP Morgan Strategic Income Opp Fund	A	Dividend	J	T	Buy	6/17/13	J		
258.					Buy (add'l)	8/26/13	J		
259.					Sold (part)	8/27/13	J	A	
260.					Buy (add'l)	9/9/13	J		
261.					Sold (part)	9/30/13	J	A	
262. MainStay Unconstrained Bond Fund	A	Dividend	J	T	Buy	8/27/13	J		
263.					Buy (add'l)	9/9/13	J		
264.					Sold (part)	9/30/13	J	A	
265. Aberdeen Global High Income Fund	A	Dividend	J	T	Buy	9/30/13	J		
266. Blackrock Strategic Income Opprtnts Fund	A	Dividend	J	T	Buy	6/17/13	J		
267.					Buy (add'l)	8/26/13	J		
268.					Sold (part)	8/27/13	J	A	
269.					Buy (add'l)	9/9/13	J		
270.					Sold (part)	9/30/13	J	A	
271. Pioneer Multi Asset Return Fund	A	Dividend	J	T	Buy	6/17/13	J		
272.					Buy (add'l)	8/26/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273.					Buy (add'l)	8/27/13	J		
274. JP Morgan Undiscovered Mgrs Behavioral Value Fund; A		None			Buy	5/28/13	J		
275.					Sold (part)	6/19/13	J	A	
276.					Sold (part)	8/28/13	J	A	
277.					Sold (part)	9/11/13	J	A	
278.					Sold	11/18/13	J		
279. JP Morgan Undiscovered Mgrs Behavioral Value Fund; select	A	Dividend	J	T	Buy	11/18/13	J		
280. Merrill Lynch Bank Deposit Program (X)	A	Interest	J	T					
281. WELLS FARGO BROKERAGE ACCT									
282. Altria Group - common stock	A	Dividend	J	T					
283. AOL, Inc. - common stock		None	J	T					
284. Boeing Co. - common stock	A	Dividend	K	T					
285. Cisco Systems Inc. - common stock	A	Dividend	J	T					
286. Express Scripts Holding Co. - common stock		None	J	T					
287. Freeport - McMoran Copper and Gold inc. - common stock	B	Dividend	K	T					
288. General Electric Co. - common stock	A	Dividend	K	T					
289. Hewlett-Packard Co. - common stock	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. IBM - common stock	A	Dividend	J	T					
291. Kraft Food Group - common stock	A	Dividend	J	T					
292. Marriott Int'l - common stock	A	Dividend	K	T					
293. Marriott Vacations Worldwide Corp. - common stock		None	J	T					
294. Merck & Co. - common stock	A	Dividend	J	T					
295. Mondelez Int'l Inc. - common stock	A	Dividend	J	T					
296. Mylan Inc. - common stock		None	J	T					
297. Pfizer - common stock	D	Dividend	O	T					
298. Philip Morris International - common stock	A	Dividend	K	T					
299. Reynolds American Inc. - common stock	A	Dividend	J	T					
300. Time Warner Cable - common stock	A	Dividend	J	T					
301. Time Warner Inc. - common stock	A	Dividend	J	T					
302. 3 M Co. - common stock	A	Dividend	K	T					
303. MFS Municipal - Open End Mutual Fund	C	Interest	L	T					
304. Sentinel Short Maturity Govt Fund - Open End Mutual Fund	A	Interest	K	T					
305. Eaton Vance Senior -Floating Rate Fund - Closed End Mut.	A	Dividend	J	T					
306. Federal Premier Municipal Income Fund	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. Henrico County Bond (X)	A	Interest			Redeemed	10/1/13	K	A	
308. MERRIL LYNCH BROKERAGE ACCT (IRA)									
309. AIM International		None			Sold	5/22/13	J	A	
310. Allianz NFJ Divident	A	Dividend			Sold	5/22/13	J	A	
311. Blackrock Global Growth Fund		None			Sold	5/22/13	J	A	
312. Davis Financial FD		None			Sold	5/22/13	J	A	
313. Jennison 20/20 Focus		None			Sold	5/22/13	J	A	
314. JP Morgan Equity Income Fund	A	Dividend			Sold	5/22/13	J	A	
315. MFS International New Discovery Fund		None			Sold	5/22/13	J	A	
316. Thornburg Core Growth Fund		None			Sold	5/22/13	J	A	
317. Touchstone Large Cap Growth Fund		None			Sold	5/22/13	J	A	
318. Invesco International Small Company (X)		None			Sold	5/22/13	J	A	
319. Mainstay Marketfield Fund	A	Dividend	J	T	Buy	5/23/13	J		
320.					Buy (add'l)	5/29/13	J		
321.					Sold (part)	6/14/13	J	A	
322.					Sold (part)	8/23/13	J	A	
323.					Buy (add'l)	8/27/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324.					Buy (add'l)	9/9/13	J		
325.					Sold (part)	10/14/13	J	A	
326.					Buy (add'l)	11/15/13	J		
327. Ivy Asset Strategy Fund	A	Dividend	J	T	Buy	5/22/13	J		
328.					Buy (add'l)	5/29/13	J		
329.					Sold (part)	8/23/13	J	A	
330.					Sold (part)	9/6/13	J	A	
331. MFS Diversified Income Fund	A	Dividend			Buy	5/22/13	J		
332.					Buy (add'l)	5/29/13	J		
333.					Sold	6/14/13	J	A	
334. Loomis Sayles Strategic Inc.	A	Dividend			Buy	5/22/13	J		
335.					Buy (add'l)	5/30/13	J		
336.					Sold	6/14/13	J	A	
337. Blackrock Global Allocation FD		None			Buy	5/23/13	J		
338.					Buy (add'l)	5/30/13	J		
339.					Sold	6/14/13	J	A	
340. Dreyfus International Bond Fund	A	Dividend	J	T	Buy	5/22/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
341.					Buy (add'l)	5/29/13	J		
342.					Sold (part)	6/17/13	J	A	
343.					Buy (add'l)	8/23/13	J		
344.					Buy (add'l)	8/26/13	J		
345.			J		Buy (add'l)	9/6/13	J		
346. Oppenheimer Senior Floating Rate Fund	A	Dividend	J	T	Buy	5/22/13	J		
347.					Buy (add'l)	5/29/13	J		
348.					Sold (part)	6/14/13	J	A	
349.					Buy (add'l)	8/23/13	J		
350.					Buy (add'l)	8/26/13	J		
351.					Buy (add'l)	9/8/13	J		
352. Oppenheimer International Growth Fund	A	Dividend	J	T	Buy	5/22/13	J		
353.					Buy (add'l)	5/29/13	J		
354.					Buy (add'l)	6/14/13	J		
355.					Sold (part)	7/29/13	J	A	
356.					Sold (part)	8/23/13	J	A	
357. Oppenheimer Developing Markets Fund	A	Dividend	J	T	Buy	5/22/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358.					Buy (add'l)	5/29/13	J		
359.					Buy (add'l)	6/14/13	J		
360.					Buy (add'l)	7/29/13	J		
361.					Sold (part)	8/23/13	J	A	
362.					Sold (part)	9/6/13	J	A	
363. JP Morgan Large Cap Growth FD		None	J	T	Buy	5/22/13	J		
364.					Buy (add'l)	5/29/13	J		
365.					Buy (add'l)	6/14/13	J		
366.					Sold (part)	8/23/13	J	A	
367.					Sold (part)	9/6/13	J	A	
368. Altegris Managed Futures Strategy FD		None			Buy	5/22/13	J		
369.					Buy (add'l)	5/29/13	J		
370.					Buy (add'l)	6/14/13	J		
371.					Buy (add'l)	8/23/13	J		
372.					Sold (part)	10/14/13	J	A	
373.					Sold	10/15/13	J	A	
374. MFS New Discovery FD	A	Dividend	J	T	Buy	5/22/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375.					Buy (add'l)	5/29/13	J		
376.					Sold (part)	6/14/13	J	A	
377.					Sold (part)	8/23/13	J	A	
378.					Sold (part)	9/6/13	J	A	
379. MFS Value FD	A	Dividend	J	T	Buy	5/22/13	J		
380.					Buy (add'l)	5/29/13	J		
381.					Buy (add'l)	6/14/13	J		
382.					Sold (part)	8/23/13	J	A	
383.					Sold (part)	9/6/13	J	A	
384. JP Morgan Equity Income FD	A	Dividend	J	T	Buy	5/22/13	J		
385.					Buy (add'l)	5/29/13	J		
386.					Buy (add'l)	6/14/13	J		
387.					Sold (part)	8/23/13	J	A	
388.					Sold (part)	9/9/13	J	A	
389. TCW Select Equities	A	Dividend	J	T	Buy	5/22/13	J		
390.					Buy (add'l)	5/29/13	J		
391.					Buy (add'l)	6/14/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392.					Sold (part)	8/23/13	J	A	
393.					Sold (part)	9/6/13	J	A	
394. The Oakmark International FD	A	Dividend	J	T	Buy	5/22/13	J		
395.					Buy (add'l)	5/29/13	J		
396.					Buy (add'l)	6/14/13	J		
397.					Sold (part)	8/23/13	J	A	
398.					Sold (part)	9/6/13	J	A	
399. SPDR Gold Trust		None	J	T	Buy	5/22/13	J		
400.					Buy (add'l)	5/29/13	J		
401. SSGA Active Multi Asset Real Return	A	Dividend	J	T	Buy	5/22/13	J		
402.					Buy (add'l)	5/29/13	J		
403.					Buy (add'l)	6/14/13	J		
404.					Buy (add'l)	8/23/13	J		
405.					Buy (add'l)	8/26/13	J		
406. Vanguard Mega Cap 300	A	Dividend	J	T	Buy	5/22/13	J		
407.					Buy (add'l)	5/29/13	J		
408.					Sold (part)	6/14/13	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409.					Sold (part)	8/23/13	J	A	
410.					Sold (part)	9/6/13	J	A	
411. Vanguard Mega Cap Growth	A	Dividend	J	T	Buy	5/22/13	J		
412.					Buy (add'l)	5/29/13	J		
413.					Sold (part)	6/14/13	J	A	
414.					Sold (part)	8/23/13	J	A	
415.					Sold (part)	9/9/13	J	A	
416. Vanguard FTSE ALL WORLD	A	Dividend	J	T	Buy	5/22/13	J		
417.					Buy (add'l)	5/29/13	J		
418.					Sold (part)	6/14/13	J	A	
419.					Sold (part)	8/23/13	J	A	
420.					Sold (part)	9/6/13	J	A	
421. JP Morgan Strategic Income Opp Fund	A	Dividend	J	T	Buy	6/14/13	J		
422.					Buy (add'l)	8/23/13	J		
423.					Sold (part)	8/26/13	J	A	
424.					Buy (add'l)	9/6/13	J		
425.					Sold (part)	9/27/13	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Keenan, Barbara M.

Date of Report

5/1/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426. Mainstay Unconstrained Bond Fund	A	Dividend	J	T	Buy	8/26/13	J		
427.					Sold (part)	9/27/13	J	A	
428. Aberdeen Global High Income FD	A	Dividend	J	T	Buy	9/27/13	J		
429. Blackrock Strategic Income Opportunities	A	Dividend	J	T	Buy	6/14/13	J		
430.					Buy (add'l)	8/23/13	J		
431.					Sold (part)	8/26/13	J	A	
432.					Buy (add'l)	9/26/13	J		
433.					Sold (part)	9/27/13	J	A	
434. Pioneer Multi Asset Real Return Fund	A	Dividend	J	T	Buy	6/14/13	J		
435.					Buy (add'l)	6/23/13	J		
436.					Buy (add'l)	8/26/13	J		
437.					Buy (add'l)	9/6/13	J		
438. JP Morgan Undisclosed Mgrs Behavioral Value Fund; A		None			Buy	5/28/13	J		
439.					Buy (add'l)	5/29/13	J		
440.					Sold (part)	6/14/13	J	A	
441.					Sold (part)	9/6/13	J	A	
442.					Sold	11/18/13	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
443. JP Morgan Undisc. Mgrs Behavioral Value Fund, Select	A	Dividend	J	T	Buy	11/18/13	J		
444. Lord Abbett Short Duration Income Fund	A	Dividend	J	T	Buy	5/22/13	J		
445.					Buy (add'l)	5/29/13	J		
446.					Sold (part)	6/14/13	J	A	
447.					Buy (add'l)	8/23/13	J		
448.					Buy (add'l)	8/26/13	J		
449.					Buy (add'l)	9/6/13	J		
450. Vanguard Total Bond Mkt Per Advisor	A	Dividend	J	T	Buy	5/22/13	J		
451.					Buy (add'l)	5/29/13	J		
452.					Buy (add'l)	8/23/13	J		
453.					Buy (add'l)	8/26/13	J		
454.					Buy (add'l)	9/6/13	J		
455. COMMONWEALTH OF VA - DEFINED CONTRIBUTION PLAN									
456. Treasury Bill-Money Mkt Fnd		None	M	T					
457. S&P 500 Index		None	K	T					
458. Active Inflation Pro Bond Fund		None	L	T					
459. International Equity Index Fund		None	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
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3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

460. Active Global Equity Fund		None	K	T					
461. 401(a) Cash Match-S&P 500 Index Fund		None	J	T					
462. COMMONWEALTH OF VIRGINIA -DEFINED CONTRIBUTION PLAN									
463. Treasury Bill Money Market Fund		None	M	T					
464. S&P 500 Index Fund		None	K	T					
465. Active Inflation Pro Bond Fund		None	L	T					
466. International Equity Index Fund		None	K	T					
467. Active Global Equity Fund		None	K	T					
468. 401(a) Cash Match S&P Index		None	J	T					
469. TRUST #1:									
470. BB&T Corp. Accounts	E	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Keenan, Barbara M.

Date of Report

5/1/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The appraisal date for the assets in Part VII, page 4, lines 3 and 4 is 2009.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Barbara M. Keenan**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544