

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Cox, Susan E.	2. Court or Organization US District Court	3. Date of Report 08/10/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US Magistrate Judge -Full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 219 South Dearborn Ave. Room 1334 Chicago, IL 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Custodian	Custodial Account #1
2.	Custodian	Custodial Account #2
3.	Director	Helping Hand Rehabilitation Center
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 14

Name of Person Reporting

Cox, Susan E.

Date of Report

08/10/2015

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2014	Baxter International - Salary & Bonus
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ **NONE** *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 14

Name of Person Reporting

Cox, Susan E.

Date of Report

08/10/2015

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 14

Name of Person Reporting

Cox, Susan E.

Date of Report

08/10/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. First National Bank of LaGrange Accounts	A	Interest	K	T					
2. Fifth Third Bank Account	A	Interest			Closed	06/30/14	J		
3. Chase Bank	A	Interest	J	T	Open	06/30/14	J		
4. Wells Fargo Bank Account	A	Interest	K	T					
5. US Employees Credit Union Account	A	Interest	J	T					
6. Baxter International - Exercisable Stock Options		None	N	T					
7. Baxter International - Unvested Stock Options		None	M	T					
8. Baxter Int'l Common	C	Dividend	M	T	Buy (add'l)	12/19/14	N		
9.					Sold (part)	12/19/14	N	G	
10. Edward Lifesciences Common		None	K	T					
11. Farm - Wilson County, KS (1/12th Interest)	A	Rent	K	W					
12. BAXTER INT'L 401K PLAN									
13. Stable Income Fund	B	Dividend	M	T					
14. Composite Fund	D	Dividend	M	T					
15. S&P 500 Equity Index Fund	D	Dividend	M	T					
16. General Equity Fund	B	Dividend	K	T					
17. International EAFE Equity Index Fund	A	Dividend	L	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000
3. Value Method Codes	P3 = \$250,001 - \$500,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market

FINANCIAL DISCLOSURE REPORT

Page 5 of 14

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18. Small Cap Fund	C	Dividend	L	T					
19. Baxter Int'l Common	D	Dividend	M	T					
20. BROKERAGE ACCOUNT #1									
21. Aflac Inc.	A	Dividend			Sold	05/27/14	K	B	
22. American Tower Corp	A	Dividend	J	T	Buy	11/12/14	J		
23. Apache Corp	A	Dividend			Sold	05/27/14	J	A	
24. Berkshire Hathaway Inc C1 B		None	J	T					
25. Chemtrade Logistics	A	Dividend			Sold	05/27/14	J	A	
26. CSX Corp	A	Dividend	K	T					
27. China Mobile Limited	A	Dividend			Sold	10/14/14	J	B	
28. EBAY Common		None	J	T					
29. Enterprise Products LP	A	Dividend	J	T	Buy	11/12/14	J		
30. Fidelity Cash Reserves Fund	A	Interest	J	T					
31. Fidelity Floating Rate High Income	A	Int./Div.	J	T					
32. Fidelity Investment Grade Bond Fund (Y)									
33. Fidelity Select Industrials	A	Dividend	J	T	Buy	12/23/14	J		
34. Fidelity Select Natural Gas	A	Dividend	J	T					

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 14

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35. Fidelity Short Term Bond Fund	A	Int./Div.	J	T					
36. General Motors Corp	A	Dividend	J	T	Buy	06/02/14	J		
37. HCP Inc	A	Dividend	K	T	Buy	11/12/14	K		
38. Kohlberg Kravis Roberts	A	Dividend	J	T	Buy	11/12/14	J		
39. Las Vegas Sands Corp	A	Dividend	J	T					
40. Matthews Asia Dividend	A	Dividend	J	T					
41. Matthews China Fund	A	Dividend			Sold	10/14/14	J	A	
42. McDonalds Corp	A	Dividend	J	T					
43. Nestle	A	Dividend	J	T					
44. Northern Property Trust	A	Dividend	J	T					
45. Oakmark International	B	Dividend	K	T					
46. Pembina Pipeline	A	Dividend			Sold	10/14/14	J	D	
47. SPDR Financial Sector ETF	A	Dividend			Sold	10/14/14	J	B	
48. Starbucks	A	Dividend	J	T	Buy	06/02/14	J		
49. Templeton Global Bond Fund	A	Int./Div.			Sold	10/14/14	J	A	
50. Toyota Motor Corp	A	Dividend	J	T	Buy	06/02/14	J		
51. BROKERAGE ACCOUNT #2									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 7 of 14

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52. Abbott Laboratories	A	Dividend	J	T	Sold (part)	11/12/14	J	B	
53. Apple Inc. Common	A	Dividend	K	T					
54. Baidu Inc		None			Sold	11/12/14	K	E	
55. Bank of New York Mellon Corp	A	Dividend	J	T	Buy	06/24/14	J		
56. Baxter Int'l Common	A	Dividend	J	T					
57. Berkshire Hathaway Inc C1 B		None	J	T	Buy	06/02/14	J		
58. Canadian Imperial Bank of Commerce	A	Dividend	J	T					
59. Direxion 20+ Year Treasury Bear		None	J	T					
60. Energy Transfer Partners	A	Dividend			Sold	05/27/14	K	D	
61. Express Scripts		None	J	T					
62. Fedex Corp	A	Dividend	J	T	Buy	11/12/14	J		
63. Fidelity Convertible Securities	A	Int./Div.	K	T	Buy	06/02/14	J		
64.					Buy (add'l)	06/24/14	J		
65. Fidelity Europe	A	Dividend			Buy	06/24/14	J		
66.					Sold	10/14/14	J	A	
67. Fidelity Floating Rate High Income	A	Int./Div.	J	T					
68. Fidelity Muni Money Market Fund	A	Interest	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 14

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69. Fidelity High Income	A	Int./Div.	J	T	Buy (add'l)	06/02/14	J		
70. First Trust Dow Jones Internet ETF	A	Dividend	J	T	Buy	12/23/14	J		
71. General Electric	A	Dividend	J	T	Buy	11/12/14	J		
72. Google Inc Cl A		None	J	T	Buy	11/12/14	J		
73. iShares US Industrials	A	Dividend	J	T	Buy	12/23/14	J		
74. IBM Common	A	Dividend	J	T					
75. JPMorgan Chase	A	Dividend	J	T					
76. Kohlberg Kravis Roberts	A	Dividend	J	T					
77. Magellan Midstream Partners	A	Dividend	K	T					
78. Matthews China Fund	A	Dividend			Sold	10/14/14	J	A	
79. Medical Properties Trust	B	Dividend			Sold	11/12/14	K	B	
80. Petro China	A	Dividend			Sold	11/12/14	K	A	
81. Pioneer High Yield Bond	B	Interest	J	T					
82. Powershares ETF Int'l Dividend	A	Dividend	J	T					
83. Sanofi ADR	A	Dividend	J	T	Buy	06/24/14	J		
84. Total ADR	A	Dividend			Buy	11/12/14	J		
85.					Sold	11/28/14	J	A	

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FINANCIAL DISCLOSURE REPORT

Page 9 of 14

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86. Transocean Limited	A	Dividend			Buy	11/12/14	J		
87.					Sold	12/17/14	J	A	
88. United Parcel Services	A	Dividend	K	T	Buy	11/12/14	K		
89. Vanguard Emerging Markets	A	Dividend			Sold	03/10/14	J	A	
90. Wisdom Tree Europe Hedged Equity ETF	A	Dividend	J	T	Buy	12/23/14	J		
91. BROKERAGE ACCOUNT #3									
92. Apple Common	A	Dividend	J	T					
93. Direxion 20+ Year Treasury Bear		None	J	T					
94. Fidelity Blue Chip Growth	A	Dividend	J	T	Buy	11/17/14	J		
95. Fidelity Cash Fund	A	Interest	J	T					
96. Fidelity Europe	A	Dividend			Buy	06/02/14	J		
97.					Sold	10/14/14	J	A	
98. Fidelity Floating Rate High Income	A	Int./Div.	J	T					
99. Fidelity High Income	A	Int./Div.	J	T					
100. Fidelity New Markets Income	A	Int./Div.			Sold	02/05/14	J	A	
101. Fidelity Select Natural Gas	A	Dividend	J	T					
102. Pioneer High Yield Bond	A	Interest	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 10 of 14

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103. S&P Midcap 400	A	Dividend	J	T					
104. BROKERAGE ACCOUNT #4									
105. Apple Common	A	Dividend	J	T					
106. Direxion 20+ Year Treasury Bear		None	J	T					
107. Fidelity Blue Chip Growth	A	Dividend	J	T	Buy	11/17/14	J		
108. Fidelity Cash Fund	A	Interest	J	T					
109. Fidelity Europe	A	Dividend			Buy	06/02/14	J		
110.					Sold	10/14/14	J	A	
111. Fidelity High Income Fund	B	Int./Div.	K	T					
112. Fidelity New Markets Income	A	Int./Div.			Sold	02/05/14	J	A	
113. Fidelity Select Natural Gas	A	Dividend	J	T					
114. S&P Midcap 400	A	Dividend	J	T					
115. CUSTODIAL ACCOUNT #1									
116. First National Bank of LaGrange Account	A	Interest	J	T					
117. CUSTODIAL ACCOUNT #2									
118. First National Bank of LaGrange Account	A	Interest	J	T					
119. IL Bright Directions 529 Plan #1 - Fund 80A	B	Int./Div.	L	T					

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FINANCIAL DISCLOSURE REPORT

Page 11 of 14

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

120. IL Bright Directions 529 Plan #2 - Fund 60A	B	Int./Div.	L	T					
121. TRUST #1 (X)	A	Dividend	M	T					
122. - Alcatel-Lucent									
123. - Bank of America									
124. - Dunkin Brands									
125. - EOG Resources									
126. - JP Morgan Chase									
127. - Rockwell Automation									
128. - Unilife Corp.									
129. TRUST #2 (X)	E	Int./Div.	P1	T					
130. - Alcatel-Alsthom									
131. - American Express Bond									
132. - Anadarko Petroleum									
133. - Apple									
134. - Bank of America									
135. - Bristol Myers Squibb									
136. - Casey's General Stores									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 14

Name of Person Reporting

Cox, Susan E.

Date of Report

08/10/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - Caterpillar									
138. - Danaher									
139. - Dunkin Brands									
140. - EOG Resources									
141. - Exxon Mobil									
142. - Facebook									
143. - FEDEX Bond									
144. - Freeport McMoran									
145. - Praxair									
146. - Resmed									
147. - Southern Company									
148. - Starbucks									
149. - Wm. Blair Small Cap Fund									
150. - Wm. Blair Value Discovery									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 14

Name of Person Reporting

Cox, Susan E.

Date of Report

08/10/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

- 1) Part VII, Line 31: Fidelity Investment Grade Bond Fund: On the 2013 Disclosure, this asset was listed as a "Partial Sale", whereas it was actually a Total Sale in 2013. The amounts listed in Columns B, C and D on the 2013 report are correct as filed.
- 2) Part VII, Line 120: Trust #1: Filer's [REDACTED] became a 25% beneficiary of this Trust on 11/14/14 when [REDACTED] passed away. The values listed are for 100% of the Trust and there were no transactions in the Trust between 11/14/14 and 12/31/14. Filer's [REDACTED] had no control over the assets of the Trust. [REDACTED] was not a Trustee of the Trust and received no income and no assets from the Trust in 2014.
- 3) Part VII, Line 128: Trust #2: Filer's [REDACTED] became a 29% beneficiary of this Trust on 11/14/14 when [REDACTED] passed away. The values listed are for 100% of the Trust and there were no transactions in the Trust between 11/14/14 and 12/31/14. Filer's [REDACTED] had no control over the assets of the Trust. [REDACTED] was not a Trustee of the Trust and received no income and no assets from the Trust in 2014.

FINANCIAL DISCLOSURE REPORT

Page 14 of 14

Name of Person Reporting

Cox, Susan E.

Date of Report

08/10/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Susan E. Cox**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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