AO 10
Rev. 1/2014

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2013

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Jones, Edith H.	5th Circuit Court of Appeals	06/30/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Circuit Judge, Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2013 **to** 12/31/2013
7. Chambers or Office Address		
12505 U.S. Courthouse 515 Rusk Houston, TX 77002-2600		

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Board Member	Sam Houston Area Council, Boy Scouts of America
2.	Advisory Board Member	Masters in Bankruptcy Program, St. John's Law School, New York
3.	President	Garland Walker Inn of Court
4.	Trustee	Trust #1
5.	Co-Trustee	Trust #2

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2013	[redacted] - salary
2.	2013	Prudential Ins. Co., Pension Annuity, Occidental Petrol
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	University of Pennsylvania Law-Federalist Society	2/20/13	Philadelphia, PA	Speech	Airfare, Hotel, Misc. travel costs
2.	University of Texas-Federalist Society	3/2/13	Austin, TX	Speech	Mileage, Meal
3.	St. Johns University- 21st Annual Duberstein Moot Court Competition	03/10-12/13	New York, NY	Panel Judge	Airfare, Hotel, Misc. travel costs
4.	University of Georgia, House Lecture	03/20/13	Athens, GA	Speech	Airfare, Hotel, Misc. travel costs
5.	Notre Dame Federalist Society	04/10/13	South Bend, IN	Speech	Airfare, Hotel, Misc. travel costs

6.	Bush Institute's New England H.S. Economic Debate	08/02/13	Plymouth Notch, VT	Debate Judge	Meals, Lodging
7.	Hidalgo County Federal Bar Association-Presentation	11/07/13	Harlingen, TX	Speech	Airfare, Meal, Misc. travel costs
8.	The Federalist Society-2013 National Lawyers Convention	11/14-16/13	Washington, DC	Panelist	Airfare, Hotel, Misc. travel costs

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Morgan Stanley Bank, N.A.	Portfolio Line of Credit	N
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Jones, Edith H.	06/30/2014

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. BANK ACCOUNTS									
2. Bank of America - Accounts	A	Interest	L	T					
3. J.P. Morgan Chase Bank - Accounts	A	Interest	K	T					
4. STOCKS, BONDS & NOTES									
5. AT&T Common Stock	A	Dividend	J	T					
6. Invesco Comstock A (ACSTX) (fmr. Invest. Co. of America)	B	Dividend	K	T					
7. Dow Chemical - common	A	Dividend	J	T					
8. Exxon Mobil Corp	B	Dividend	L	T	Sold (part)	05/28/13	K	D	
9. Nestle - common	B	Dividend	K	T	Sold (part)	05/28/13	K	D	
10. Newmont Gold - common	A	Dividend	J	T					
11. Putnam New Opportunity Fund A	A	Dividend	J	T	Sold	03/21/12	K	C	See Note 1
12. Intel Corporation	A	Dividend	J	T					
13. Procter & Gamble	B	Dividend	L	T					
14. Morgan Stanley Bank	B	Interest	M	T					
15. GMAC Bonds	B	Interest	L	T					
16. Potash Corp. Saskatchewan	A	Dividend			Sold	05/28/13	K	A	
17. Morgan Stanley Bonds	D	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4) A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Jones, Edith H.	06/30/2014

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
18. Tortoise Energy Corp.	A	Dividend	J	T					
19. Pepsico	A	Dividend	K	T					
20. NCR		None	J	T					
21. MS Global Infrastructure Q	B	Interest	K	T	Sold (part)	05/28/13	J	A	
22. Am. Inv. Co. (AIVSX)	B	Dividend	L	T	Sold (part)	05/28/13	K	B	
23. Franklin Insd. Tax Free Fund	B	Interest	K	T					
24. Mut. Shares Class B Tax Free	A	Dividend	K	T	Sold (part)	11/20/13	J	C	
25. Mut. Shares Class B Tax Free	A	Dividend	K	T	Sold (part)	12/16/13	J	C	
26. GPR Energy, LLC		None	K	U					
27. Syngenta AG ADR	B	Dividend	L	T					
28. Toyota	A	Dividend			Sold	05/28/13	K	D	
29. Magellan Midstream Partners	C	Dividend	M	T					
30. Verizon Comm	A	Dividend	J	T					
31. Fidelity Fund (FFFCX)	C	Int./Div.	M	T					
32. Ford Motor Credit Co.	C	Interest	M	T					
33. Smucker J M Co	A	Dividend	J	T					
34. Lord Abbett Sht. Fund (LDLAX)	C	Dividend	M	T	Buy	05/28/13	M		

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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
35. Pioneer Mlti Ast Ult. (MCFRX)	A	Dividend	M	T	Buy	05/28/13	M		
36. Templeton Global Bond FdC (TEGBX)	C	Dividend	M	T	Buy	05/28/13	M		
37. Goldman Sachs MLP Inc.	A	Dividend	K	T	Buy	05/28/13	K		
38. Invesco STIT Money Mkt	A	Dividend			Sold	12/10/13	J	A	
39. Mainstay Convert. Fund	A	Dividend			Sold	12/09/13	J	A	
40. Mainstay Lg Cap Growth Fund	A	Dividend			Sold	12/09/13	J	B	
41. MFS Value Fund	A	Dividend			Sold	12/09/13	J	B	
42. Thornburg Int'l. Fund	A	Dividend			Sold	10/25/13	J	A	
43. Ivy High Income Fund	A	Dividend			Sold	12/09/13	J	A	
44. Pimco Total Rtn Inst'l.	B	Dividend			Sold	12/09/13	L	A	
45. Templeton Global Bond Fund	B	Dividend			Sold	12/09/13	J	A	
46. Vanguard Short Term Inv. Grade	A	Dividend			Sold	12/10/13	J	A	
47. Hartford Foating Rate Fund (X)	A	Dividend			Sold	12/09/13	J	A	
48. Hartford World Bond Fund					Buy	07/24/13	J		See Note 2
49. Hartford World Bond Fund	A	Dividend			Sold	12/09/13	J	A	
50. Causeway Int'l. Value Fd.					Buy	10/23/13	J		See Note 2
51. Causeway Int'l. Value Fd.	A	Dividend			Sold	12/09/13	J	A	

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52. Gateway Fund. Cl.					Buy	10/28/13	J		See Note 2
53. Gateway Fund Cl.	A	Dividend			Sold	12/09/13	J	A	
54. Lazard Int'l. Eqty					Buy	10/25/13	J		See Note 2
55. Lazard Int'l. Eqty.	A	Dividend			Sold	12/09/13	J	A	
56. MFS Value Fund					Buy	01/30/13	J		See Note 2
57. MFS Value Fund	A	Dividend			Sold	12/09/13	J	A	
58.									
59. Trust #1 (X)	B		L	T					
60. -Invesco Comstock A (ACSTX)									
61. -Syngenta AG									
62. -Magellan Midstream Partners									
63. -GMAC Bonds									
64.									
65. Trust #2 (X)	G		P1	T					
66. -USAA Cornerstone (USCRX)									
67. -Vanguard stock Market Index fund									
68. -American Elec Power, Inc.									

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69. -Bank of America									
70. -Coca-Cola									
71. -DE Master Blenders									
72. -DuPont									
73. -Eastman Chemical									
74. -Emerson Elec. Co.									
75. -Gardner Denver, Inc.					Sold	07/31/13	J	A	
76. -General Electic Co.									
77. -Hershey Co.									
78. -Hillshire Brands Co.									
79. -Nat. Fuel Gas Co.									
80. -Pfizer, Inc.									
81. -Scana Corp.									
82. -Harris County Toll Rd. Bond									
83. -Conroe, TX ISD Bond									
84. -Spring, TX ISD Bond									
85. -AmCap Fund (AMCPX)									

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86. -Fidelity Puritan Trust (FPURX)									
87. -Fidelity Sec's Fd. (FBGRX)									
88. -Columbia Funds (AUGAX)									
89. -Putnam USG (PGSIX)									
90. -Wash. Mut. Inv's Fund (AWSHX)									
91. -First Tr. En. Income Fd									
92. -Wells Fargo Bank Acct.									
93. -Jefferson Bank Acct.									
94. -Frost Bank Account									
95. -Broadway Bank Account									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Section VII. Investments and Trusts

Note 1. Putnam New Op. Fund, line 11, was sold in 2012, but the same was inadvertently omitted from last year's report.

Note 2. Lines 48, 50, 52, 54, 56, which introduce paired buy/sell transactions in the succeeding lines. The relevant information is included on both lines pertinent to each mutual fund holding.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Edith H. Jones

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544