

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) -----SIRAGUSA, CHARLES J.	2. Court or Organization U.S. DISTRICT COURT, WDNY	3. Date of Report 07/10/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S.DISTRICT JUDGE (ACTIVE)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 1360 UNITED STATES COURTHOUSE 100 STATE STREET ROCHESTER, NEW YORK 14614		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. ADVISORY BOARD MEMBER	COBBLESTONE ARTS CENTER
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. PENSION	NEW YORK STATE RETIREMENT SYSTEM (STARTED TO RECIEIVE BENEFITS AS OF NOVEMBER 1, 2005)
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2011	BROWN & TARANTINO
2. 2011	ATTORNEY (PRIVATE PRACTICE)
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. M & T BANK	A	Interest	L	T					
2. ACCOUNT # 1:									
3. -COVIDIEN PLC (Y)									
4. -SEAGATE TECHNOLOGY (Y)									
5. -SB MONEY MARKET FUNDS CASH PORT CL A									SEE PART VIII #1
6. -WEATHERFORD INTL LTD		None	J	T					
7. -TE CONNECTIVITY LTD-CHF	A	Dividend	J	T					SEE PART VIII #2
8. -TYCO INTERNATIONAL LTD. NEW	A	Dividend	J	T	Buy (add'l)	5/13/11	J		
9. -UBS AG-CHF (Y)									SEE PART VIII #3
10. -ASML HLDG NV NEW YORK REG (Y)									
11. -AMC NETWORKS INC		None	J	T	Spinoff (from line 36)	7/1/11	J		
12. -CORE LABORATORIES	A	Dividend			Sold (part)	3/30/11	J	A	
13. -CORE LABORATORIES		None			Sold	7/6/11	J	A	
14. -AKAMAI TECHNOLOGIES (Y)									
15. -AMAZON COM INC		None	J	T					
16. -AMGEN INC. (Y)									
17. -ANADARKO PETROLEUM CORP.	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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18. -ANHEUSER-BUSCH INBEV SPONS	A	Dividend	J	T					
19. -APACHE CORP	A	Dividend	J	T					
20. -APPLE INC		None	J	T	Buy	8/5/11	J		
21. -ATLAS COPCO AB SPONS ADR NEW RPSTG CL B - USD (Y)									
22. -AUTODESK		None	J	T					
23. -AXA UAP SPONS ADR (Y)									
24. -BASF AG SPONS ADR (Y)									
25. -BARCLAYS SE		None	J	T	Buy	8/4/11	J		
26. -BED BATH & BEYOND	A	Dividend	J	T					
27. -BHP BILLITONLTD SPONS ADR	A	Dividend			Buy	6/29/11	J		
28. -BHP BILLITONLTD SPONS ADR					Sold	12/20/11	J		
29. -BLACKROCK INC.	A	Dividend	J	T					
30. -BP AMOCO PLC SPONS ADR (Y)									
31. -BIOGEN IDEC INC.		None	J	T	Sold (part)	5/13/11	J	A	
32. -BROADCOMM CORP (Y)									
33. -CME GROUP INC, CLASS A	A	Dividend	J	T	Buy	2/24/11	J		
34. -CRH PLC AD-USD K (Y)									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. -CVS CAREMARK CORP	A	Dividend	J	T					
36. -CABLEVISION SYSTEMS CORP.CL A (Y)									
37. -CAMERON INTERNATIONAL CORP	A	Dividend	J	T	Buy	6/24/11	J		
38. -CANON INC. ADR	A	Dividend	J	T					
39. -CELGENE CORP		None	J	T					
40. -CISCO SYSTEMS INC	A	Dividend	J	T					
41. -CITRIX SYSTEMS INC (Y)									SEE PART VIII #3
42. -COCA COLA CO.	A	Dividend	J	T					
43. -COMCAST CORP CL A-SPL	A	Dividend	J	T					
44. -CREE INC. (Y)									
45. -CUMMINS INC	A	Dividend	J	T	Buy	10/4/11	J		
46. -DANONE SPONS (Y)									
47. -DEERE & CO)	A	Dividend	J		Buy	6/10/11	J		
48. DIAGEO PLC -SPONSORED ADR NEW (Y)									
49. -WALT DISNEY CO	A	Dividend	J	T					
50. -DIRECTV CL A (Y)									
51. -DOLBY LABORATORIES INC CLASS A (Y)									SEE PART VIII #3

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52. -EMC CORP-MASS		None	J	T	Buy	10/18/11	J		
53. -EOG RESOURCES INC	A	Dividend	J	T	Buy	10/4/11	J		
54. -EATON CORP	A	Dividend	J	T	Buy	6/7/11	J		
55. -EBAY INC.		None	J	T					
56. -ELSTER GROUP SE ADR		None	J	T	Buy	8/5/11	J		
57. -EXPEDIA INC		None			Sold	2/25/11	J	A	
58. -FIRST SOLAR INC		None			Sold	4/29/11	J	A	
59. -FLUOR CORP NEW	A	Dividend	J	T					
60. -FOREST LABORATORIES	A	Dividend	J	T					
61. -FREEPORT MCMORAN COPPER & GOLD CL B (Y)									
62. -GENERAL ELECTRIC	A	Dividend			Sold	12/20/11	J		
63. -GENZYME CORP. GENEAL DIVISION		None			Sold	2/17/11	J	A	
64. -GLAXOSMITHKLINE PLC SPONS ADR-USD)		None			Sold	3/31/11	J		
65. -GOOGLE INC CL A		None	J	T					
66. -GRUPO TELEvisa SA DE CV GLOBAL (Y)									
67. -HSBC HOLDINGS PLC SPONS ADR NEW (Y) COMMON STOCK (Y)									
68. -HALLIBURTON CO HOLDINGS CO	A	Dividend			Buy	8/19/11			

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69. -HALLIBURTON CO HOLDINGS CO)					Sold	11/8/11	J		
70. -HOME DEPOT	A	Dividend	J	T					
71. -HONDA MOTOR CO. (Y)									
72. -HONG KONG & CHINA GAS LTD. SPONSORED ADR (Y)									
73. -HUMAN GENOME SCIENCES INC CGMI		None	J	T	Buy	3/1/11	J		
74. -HUTCHINSON WHAMPOA LTD-ADR K (Y)									
75. -HICON PLC SPONSORED ADR (Y)									
76. -IMMUNOGEN INC (Y)									
77. I-NG GROEP NV SPONS ADRK (Y)									
78. -INTUIT INC	A	Dividend	J	T	Buy	8/19/11	J		
79. -INTEL CORP.		None			Sold	1/6/11	J	A	
80. -ISIS PHARMACEUTICALS (Y)									
81. -JOHNSON & JOHNSON	A	Dividend	J	T					
82. -JUNIPER NETWORKS INC.		None	J						
83. -KONINKLIJKE PHILIPS ELECTRONICS NS SPONADR NEW CS		None			Sold	6/29/11	J		
84. -L 3 COMMUNICATIONS HLDGS INC.	A	Dividend	J	T					
85. -LIBERTY MEDIA CORP LIBERTY CAP CLASS A (Y)									SEE PART VIII #4

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86. -LIBERTY MEDIA HOLDG CORP INTERACTIVE SER A (Y)									
87. -METTLER TOLEDO INTERNATIONAL INC. COMMON STOCK		None	J	T					
88. -MICROSOFT CORP	A	Dividend	J	T					
89. -MITSUBISHI TOKYO FINANCIAL GROUP SPONS ADR(Y)									
90. -MONSANTO CO (Y)									
91. -NASDAQ OMX GROUP INC. (Y)									
92. -NATIONAL OILWELL VARCO (Y)									
93. -NESTLE SA SPONSORED ADR REPSTG REG SH	A	Dividend	J	T					
94. -NETAPP INC		None	J	T	Buy	2/24/11	J		
95. -NEWS CORP CLASS B (Y)									
96. -NIKE INC CLASS B	A	Dividend	J	T	Buy	6/7/11	J		
97. -NOKIA CORP. SPONSORED ADR		None			Sold	3/16/11	J		
98. -NOMURA HOLDINGS INC. UNSP ADR		None			Sold	9/22/11	J		
99. -NOVARTIS AG ADR K (Y)									
100. -NOVO NORDISK A S ADR (Y)									
101. -NUCOR CORP (Y)									
102. -NVIDIA CORP		None			Sold	8/12/11	J		

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103. -ORACLE CORP (Y)									
104. -ORIX CORP SPONS ADR (Y)									
105. -PALL CORP.	A	Dividend	J	T					
106. -PEPSICO	A	Dividend	J	T					
107. -PETROBRAS (Y)									
108. -PROCTOR & GAMBLE CO	A	Dividend	J	T					
109. -QUALCOMM INC	A	Dividend	J	T	Buy	7/29/11	J		
110. -RIO TINTO PLC-GBP K (Y)									
111. -SANDISK CORP		None	J	T					
112. -SAP SPONS ADR-USD	A	Dividend	J	T					
113. -SCHLUMBERGER LTD	A	Dividend	J	T					
114. -SCHWAB CHARLES CORP (Y)									
115. -SHIRE PLC (Y)									
116. -SMITH & NEPHEW PLC SP ADR (Y)									
117. -SUNCOR ENERGY INC NEW (Y)									
118. -TELEFONICA S.A. SPONS ADR (Y)									
119. -TESCO PLC SPONSORED ADR (Y)									

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -TEVA PHARMACEUTICAL INDS		None	J	T	Buy	3/3/11	J		
121. -TEXAS INSTRUMENTS	A	Dividend	J	T					
122. -THERMO FISHER (Y)									
123. -TOTAL S.A.SPONS. ADR (Y)									
124. -TREND MICRO INC SPON AD (Y)									
125. -UNITED OVERSEAS BANK LTD SPONS ADR (Y)									
126. -UNITED PARCEL SERVICE CL B	A	Dividend	J	T	Buy	3/16/11	J		
127. -UNITEDHEALTH GROUP INC.	A	Dividend	J	T					
128. -VERTEX (Y)									
129. -VESTAS WIND SYS (Y)									
130. -VISA INC	A	Dividend	J	T					
131. -VODAFONE GROUP PLC SP ADR (Y)									
132. -WAL-MART DE MEXICO SA DE (Y)									
133. -YAHOO INC		None			Sold	7/20/11	J	A	
134. -VANGUARD TOTAL STOCK MKT ETF	A	Dividend	J	T	Buy	12/20/11	J		
135. ACCOUNT # 2:									
136. -BLACKROCK GLOBAL SCIENCE & TECH OPPORT PORTFOLIO CL INSTL		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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-----SIRAGUSA, CHARLES J.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -BLACKROCK VALUE OPPORTUNITIES FUND INC CL INSTL		None	J	T					
138. ACCOUNT # 3:									
139. -SMITH BARNEY BANK DEPOSIT PROGRAM	A	Interest	J	T					
140. IRA #1:									
141. -TACTICAL DIVERSIFIED FUTURES		None	K	T					
142. IRA #2:									
143. -GOLDMAN SACHS SMALL VALUE FUND CLASS B		None	J	T					
144. IRA #3:									
145. -BLACKROCK VALUE OPPORTUNITIES FUND INC CL INSTL		None	J	T					
146. IRA #4:									
147. -BLACKROCK VALUE OPPORTUNITIES FUND INC CL INSTL		None	J	T					
148. COLLEGE SAVINGS PROGRAM #1:									
149. -DEVELOPED MARKETS INDEX PORTFOLIO		None	L	T	Buy	12/28/11	J		
150. COLLEGE SAVINGS PROGRAM #2									
151. -DEVELOPED MARKETS INDEX PORTFOLIO		None	L	T	Buy	12/28/11	J		
152. DEFERRED COMPENSATION		None	K	T					
153. -OPPENHEIMER MAIN ST. OPP. A									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -VT FIDELITY CONTRAFUND									
155. -DODGE AND COX INTL STOCK									
156. IRA #5									
157. -BANK DEPOSIT PROGRAM CITIBANK, N.A.	A	Interest	J	T					
158. -GOLDMAN SACHS SMALL VALUE FUND CLASS B COMMON STOCK		None	J	T					
159. -PIMCO REAL RETURN COMMODITY STRATEGY FUND CL C	A	Dividend	J	T					
160. -COEUR D ALENE MINE CORP		None			Sold	12/20/11	J		
161. -GAMCO GLOBAL GOLD NATURAL RESO	A	Dividend	J	T	Sold (part)	12/20/11	J		SEE PART VIII #5
162. -UNITED STATES OIL FD LP EQUITY		None	J	T					
163. -ISHARES SILVER TRUST EQUITY		None	J	T	Sold (part)	12/20/11	J	A	
164. -COHEN & STEERS INTL REALTY FD	A	Dividend	J	T					
165. -ABINGDON FUTURES FUND LP CLASS A		None	K	T	Buy	12/28/11	K		
166. -TACTICAL DIFERSIFIED FUTURES FUND LP		None	K	T	Buy	12/28/11	K		
167. ACCOUNT # 4									
168. -DAIMLER AG (Y)									
169. -ARCH CAPITAL GROUP					Sold	3/15/11	J	A	
170. -ACCENTURE PLC	A	Dividend			Buy	2/14/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. -ACCENTURE PLC					Sold	8/4/11	J	A	
172. -COVIDIEN LTD (Y)									
173. -SEAGATE TECHNOLOGY	A	Dividend	J	T					
174. -WILLIS GROUP HLDGS	A	Dividend			Sold	8/4/11	J	A	
175. -ALLIED WORLD ASSURANCE CO HLDGS	A	Dividend	J	T					
176. -WEATHERFORD INTL LTD NEW									
177. -TE CONNECTIVITY LTD-CHF	A	Dividend	J	T					SEE PART VIII #2
178. -TYCO INTL LTD	A	Dividend	J	T					
179. -AMC NETWORKS INC		None	J	T	Spinoff (from line 229)	7/1/11	J		
180. -ADVANTEST CORP SPON					Sold	07/19/11	J		
181. -AKAMAI TECHNOLOGIES	A	Dividend	J	T					
182. -AKZO NOBEL	A	Dividend	J	T					
183. -AMAZON		None	J	T					
184. -AMGEN INC	A	Dividend	J	T					
185. -ANADARKO PETROLEUM CORP	A	Dividend	J	T					
186. -ANGLO AMERICAN	A	Dividend			Sold	9/22/11	J		
187. -ANHEUSER-BUSCH	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. -ANNALY CAPITAL MANAGEMENT INC	A	Dividend			Buy	07/12/11	J		
189. -ANNALY CAPITAL MANAGEMENT INC					Sold	10/4/11	J		
190. -APACHE CORP	A	Dividend	J	T					
191. -APPLE INC		None	J	T	Buy	8/5/11	J		
192. -APPLIED MATERIALS INC DELWARE	A	Dividend	J	T	Sold (part)	12/20/11	J		
193. -ARCELORMITTAL	A	Dividend			Sold	07/07/11	J		
194. -ARYZTA AG ADR		None	J	T	Buy	5/3/11	J		
195. -ASML HLDG NV NEW YORK REG									SEE PART VIII #6
196. -AT&T INC.	A	Dividend	J	T					
197. -ATLAS COPCO AB SPONS ADR NEW RPTSG CL B									SEE PART VIII #6
198. -AUTODESK INC.		None	J	T					
199. -AXA S.A. SPONS ADR	A	Dividend			Buy	1/24/11	J		
200. -AXA S.A. SPONS ADR					Sold	12/20/11	J		
201. -BASF SE (Y)									
202. -BCE INC (Y)									
203. -BG GROUP	A	Dividend	J	T					
204. -BNP PARIBAS SPON ADR	A	Dividend			Buy	1/24/11	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. -BNP PARIBAS SPON ADR					Sold	12/20/11	J		
206. -THE BABCOCK & WILCOX COMPANY		None			Sold	07/12/11	J	A	
207. -BAKER HUGHES INC.	A	Dividend	J	T	Sold (part)	12/20/11	J		
208. -BANCO SANTANDER S.A.	A	Dividend			Buy	01/24/11	J		
209. -BANCO SANTANDER S.A.					Sold	09/02/11	J		
210. -BANK OF AMERICA CORP.		None			Sold	8/9/11	J		
211. -BANK NEW YORK MELLON CORP	A	Dividend			Sold	3/18/11	J	A	
212. -BARCLAYS PLC		None			Sold	12/20/11	J		
213. -BAYER AG SPONSORED ADR		None	J	T	Buy	5/13/11	J		
214. -BED BATH & BEYOND	A	Dividend	J	T					
215. -BERKSHIRE HATHAWAY INC CL B		None			Sold	05/17/11	J	A	
216. -BG GROUP PLC		None	J	T					
217. BHP BILLITON LTD SPONS	A	Dividend	J	T					
218. -BIOGEN IDEC INC		None	J	T					
219. -BLACKROCK INC.	A	Dividend	J	T					
220. -BOEING CO.	A	Dividend	J	T	Sold (part)	12/20/11	J		
221. -BRIDGESTONE CORP	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
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222. -BRITISH AMERICAN TOBACCO PLC ADR	A	Dividend	J	T	Buy	6/10/11	J		
223. -BROADCOM CORP CL A	A	Dividend	J	T					
224. -CME GROUP INC CLASS A	A	Dividend	J	T	Buy	2/24/11	J		
225. -CNOOC LTD SPONS ADR	A	Dividend			Buy	3/31/11	J		
226. -CNOOC LTD SPONS ADR		None			Sold	12/20/11	J		
227. -CPFL ENERGIA S	A	Dividend			Sold	4/29/11	J	A	
228. -CVS CAREMARK CORP	A	Dividend	J	T					
229. -CABLEVISION SYSTEMS CORP	A	Dividend	J	T					
230. -CANADIAN NATURAL RES	A	Dividend	J	T					
231. -CAMERON INTERNATIONAL CORP	A	Dividend	J	T	Buy	06/23/11	J		
232. CARNIVAL PLC ADR	A	Dividend	J	T	Buy	10/12/11	J		
233. -CARNIVAL CORP	A	Dividend	J	T					
234. -CELGENE CORP		None	J	T					
235. -CHINA MOBILE LTD (Y)									
236. -CHUBB CORP.	A	Dividend	J	T					
237. -CISCO SYS INC	A	Dividend	J	T					
238. -CITIGROUP INC	A	Dividend			Buy	4/7/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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239. -CITIGROUP INC					Sold	11/22/11	J		
240. -CITRIX SYSTEMS INC (Y)									
241. -COCA-COLA CO.	A	Dividend	J	T					
242. -COMCAST CORP CL A STOCK	A	Dividend	J	T					
243. -COMMERCIAL INTL BK	A	Dividend			Sold	07/13/11	J		
244. -CONOCOPHILLIPS	A	Dividend	J	T					
245. -CREE INC. (Y)									
246. -CUMMINS INC	A	Dividend	J	T	Buy	09/09/11	J		
247. -DBS GROUP HLDG LTD	A	Dividend			Sold	12/20/11	J		
248. -DAIWA SECURITIES GR SPONS	A	Dividend			Sold	10/4/11	J		
249. -DEERE & CO.	A	Dividend	J	T	Sold (part)	1/24/11	J	A	
250. -DENSO CORP		None			Sold	08/09/11	J	A	
251. -DEVON ENERGY CORP NEW	A	Dividend	J	T					
252. -WALT DISNEY CO.		None	J	T					
253. -DIRECTV CL A	A	Dividend	J	T					
254. -DOLBY LABORATORIES INC CLASS A (Y)									
255. -DOVER CORP.	A	Dividend	J	T					

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-----SIRAGUSA, CHARLES J.

Date of Report

07/10/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256. -EMC CORP-MASS		None	J	T	Buy	10/18/11	J		
257. -EOG RESOURCES INC	A	Dividend	J	T	Buy	10/4/11	J		
258. -EATON CORP	A	Dividend	J	T	Buy	6/7/11	J		
259. -EBAY INC.		None	J	T					
260. -EMCOR GROUP INC (Y)									
261. -EMERSON ELECTRIC CO	A	Dividend	J	T					
262. -ERICSSON LM TEL CO CL B ADR NEW	A	Dividend	J	T	Buy	5/3/11	J		
263. -EXPERIAN GROUP LTD		None			Sold	5/28/11	J	A	
264. -FANUC CORP	A	Dividend	J	T	Buy	08/16/11	J		
265. -FIRST REPUBLIC BANK		None	J	T	Buy	07/05/11	J		
266. -FLETCHER BLDG LTD)	A	Dividend	J	T	Buy	05/11/11	J		
267. -EXPEDIA INC		None			Sold	2/11/11	J	A	
268. -FIRST SOLAR INC.		None			Sold	4/29/11	J	A	
269. -FLUOR CORP NEW	A	Dividend	J	T	Sold (part)	1/24/11	J	A	
270. -FOREST LABORATORIES INC.	A	Dividend	J	T	Buy (add'l)	1/25/11	J		
271. -FOREST LABORATORIES INC.					Sold (part)	12/20/11	J		
272. -FRANKLIN RESOURCES	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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Name of Person Reporting

-----SIRAGUSA, CHARLES J.

Date of Report

07/10/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273. -FREEPORT & MCMORAN COPPER & GOLD CL B	A	Dividend	J	T					
274. -GAP INC. DELAWARE	A	Dividend	J	T					
275. -GAZPROM OAO (Y)									
276. -GENERAL ELECTRIC CO	A	Dividend			Buy	1/24/11	J		
277. -GENERAL ELECTRIC CO					Sold	12/20/11	J		
278. -GENZYME CORP CORPGENERAAL DIVISION		None			Sold	2/17/11	J	A	
279. -GLAXOSMITHKLINE PLC SP ADR	A	Dividend	J	T					
280. -GOLD FIELDS LTD	A	Dividend	J	T					
281. -GOOGLE INC CLASS A		None	J	T					
282. -GREENHILL & CO INC)	A	Dividend	J	T	Buy	07/15/11	J		
283. -H LUNDBECK A/S-ADR _		None	J	T	Buy	11/16/11	J		
284. -HSBC HOLDINGPLC SP ADR NEW	A	Dividend			Buy	1/24/11	J		
285. -HSBC HOLDINGPLC SP ADR NEW					Sold	12/20/11	J		
286. -HALLIBURTON CO HOLDINGS CO	A	Dividend	J	T					
287. -HESS CORP	A	Dividend	J	T					
288. -HOME DEPOT INC.	A	Dividend	J	T					
289. -HONEYWELL INTL INC	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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-----SIRAGUSA, CHARLES J.

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. -HUMAN GENOME SCIENCES		None			Buy	3/1/11	J		
291. -HUMAN GENOME SCIENCES					Sold	12/20/11	J		
292. -HUNTINGTON INGALLS INDUSTRIAL)		None			Sold	5/12/11	J	A	SEE PART VIII #3
293. -IMMUNOGEN INC (Y)									
294. -IMPERIAL TOBACCO GROUP		None	J	T	Buy	10/12/11	J		
295. -INDRA SISTEMAS	A	Dividend			Buy	03/28/11	J		
296. -INDRA SISTEMAS					Sold	12/20/11	J		
297. -INTUIT INC	A	Dividend	J	T	Buy	8/22/11	J		
298. -INTEL CORP)		None			Sold	1/6/11	J		
299. -ISIS PHARMACEUTICALS (Y)									
300. -ITAU UNIBANCO BANCO HLGD)	A	Dividend	J	T	Buy	10/27/11	J		
301. -JP MORGAN CHASE & CO	A	Dividend	J	T	Sold (part)	12/20/11	J		
302. -JACOBS ENGINEERING (Y) GROUP									SEE PART VIII #3
303. -JOHNSON & JOHNSON	A	Dividend	J	T					
304. -JONES LANG LASALLE INC.	A	Dividend	J	T					
305. -JUNIPER NETWORKS		None	J	T					
306. KLA-TENCOR CORP		None	J	T	Buy	09/26/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

307. -KAO CORP	A	Dividend			Sold	3/28/11	J		
308. -KEYCORP - NEW	A	Dividend	J	T					
309. -KIRIN CORP)		None			Sold	09/25/11	J		
310. -KOC HOLDING		None			Sold	3/2/11	J		
311. -L 3 COMMUNICATIONS HLDGS INC.	A	Dividend	J	T					
312. -LAWSON SOFTWARE INCE NEW		None			Sold	4/26/11	J	A	SEE PART VIII #3
313. -LIBERTY MEDIA CORP. A									SEE PART VIII #7
314. -LIBERTY MEDIA HLDG CORP CAP SER A		None	J	T					
315. -LIBERTY MEDIA CORP LIBERTY SER A		None	J	T					SEE PART VIII #8
316. -LONZA GROUP)	A	Dividend			Sold	07/14/11	J	A	
317. -LUXOTTICA GROUP		None			Sold	2/10/11	J	A	
318. -MAKITA CORP	A	Dividend			Sold	6/3/11	J	A	
319. -MASCO CORP DE	A	Dividend			Buy	1/4/11	J		
320. -MASCO CORP DE					Sold	09/06/11	J		
321. -MATTEL INC	A	Dividend	J	T					
322. -MCDERMOTT INTERNATIONAL INC.		None			Sold	07/06/11	J	A	
323. -MERCK & CO INC.	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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324. -MICROSOFT	A	Dividend	J	T	Buy (add'l)	06/30/11	J		
325. -MITSUBISHI UFJ FINANCIAL GROUP INC ADR		None			Sold	10/4/11	J		
326. -MONSANTO CO	A	Dividend	J	T					
327. -MORGAN STANLEY	A	Dividend	J	T	Sold (part)	12/20/11	J		
328. -MUNICH RE GROUP	A	Dividend	J	T					
329. -MURATA MFG (Y)									
330. -NTT DOCOMO INC		None	J	T	Buy	11/10/11	J		
331. -NASDAQ OMX GROUP INC.		None	J	T	Buy (add'l)	1/25/11	J		
332. -NATIONAL GRID PLC	A	Dividend	J	T	Buy	3/4/11	J		
333. -NATIONAL OILWELL VARCO INC	A	Dividend	J	T					
334. -NESTLE A SPONSORED ADR	A	Dividend	J	T	Buy	2/24/11	J		
335. -NETAPP INC		None	J	T	Buy	02/24/11	J		
336. -NIKE INC CL B	A	Dividend	J	T	Buy	6/7/11	J		
337. -NINTENDO CO LTD		None	J	T	Buy	11/8/11	J		
338. -NOBLE ENERGY INC	A	Dividend	J	T					
339. -NORTHROP GRUMMAN CORP	A	Dividend	J	T					
340. -NOVARTIS AG ADER	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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341. -NOVO-NORDISK A S ADR		None	J	T					
342. -NSK LTD (Y)									
343. -NUCOR CORP (Y)									
344. -NVIDIA CORP		None			Sold	08/12/11	J	A	
345. -ORACLE CORP	A	Dividend	J	T					
346. -OSHKOSH TRUCK CORP		None	J	T	Buy	1/18/11	J		
347. -PALL CORP COMMON STOCK	A	Dividend	J	T					
348. -PARKER HANNIFIN CORP	A	Dividend			Sold	06/21/11	J	A	
349. -PEBBLEBROOK HOTEL TRUST	A	Dividend	J	T	Buy	07/05/11	J		
350. -PEPSCICO INC	A	Dividend	J	T					
351. -PETROBRAS)	A	Dividend			Sold	12/20/11	J		
352. -PLANTRONICS INC	A	Dividend	J	T	Buy	8/16/11	J		
353. -PROCTER & GAMBLE CO	A	Dividend	J	T					
354. -PUBLICIS GROUP	A	Dividend	J	T					
355. -QUALCOMM INC	A	Dividend	J	T	Buy	7/29/11	J		
356. -RECKITT BENCKISER GP ADR	A	Dividend	J	T	Buy	4/14/11	J		
357. -REXAM PLC	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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358. -ROYAL DUTCH SHELL	A	Dividend	J	T					
359. -SAFEWAY INC NEW	A	Dividend	J	T					
360. -SANDISK CORP		None	J	T					
361. -SANOFI SPONS ADR		None	J	T	Buy	07/11/11	J		
362. -SCHLUMBERGER LTD	A	Dividend	J	T					
363. -SCHNEIDER ELECT SA	A	Dividend	J	T	Buy	3/18/11	J		
364. -SCHWAB CHARLES CORP (Y)									
365. -SHIN ETSU CHEM CO	A	Dividend	J	T					
366. -SIEMENS A G	A	Dividend	J	T					
367. -SOTHEBYS DELAWARE	A	Dividend	J	T	Buy	10/19/11	J		
368. -SOUTHWESTERN ENERGY & CO		None	J	T	Buy	8/11/11	J		
369. -SUMITOMO METAL INDS LTD	A	Dividend			Sold	11/25/11	J		
370. -SYMANTEC CORP		None	J	T	Buy	3/10/11	J		
371. -SWISSCOM AG		None			Sold	1/26/11	J	A	
372. -TELEFONICA SA SPON ADR	A	Dividend			Sold	11/30/11	J		
373. -TELSTRA LTD)	A	Dividend			Sold	3/31/11	J		
374. -TEVA PHARMACEUTICAL INDS LTD (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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375. -TEXAS INSTRUMENTS INC.	A	Dividend	J	T	Sold (part)	3/7/11	J	A	
376. -THERMO FISHER SCIENTIFIC (Y)									
377. -THK CO LTD	A	Dividend			Sold	4/11/11	J	A	
378. -TOKYO GAS CO LTD		None			Sold	3/4/11	J	A	
379. -TOLL BROS INC		None			Buy	1/4/11	J		
380. -TOLL BROS INC					Sold	09/02/11	J		
381. -TOYOTA MOTOR CORP ADR NEW	A	Dividend			Buy	1/13/11	J		
382. -TOYOTA MOTOR CORP ADR NEW					Sold	9/2/11	J		
383. -US BANCORP	A	Dividend	J	T					
384. -UNILEVER PLOC SPONS ADR NEW	A	Dividend	J	T					
385. -UNILEVER NV NY SHS-NEW	A	Dividend			Sold	4/29/11	J		SEE PART VIII #3
386. -UNITED PARCEL SERVICE	A	Dividend	J	T	Buy	3/16/11	J		
387. -UNITED STATES STEEL CORP (Y)									
388. -UNITEDHEALTH GROUP INC.	A	Dividend	J	T	Sold (part)	1/24/11	J	A	
389. -VERTEX PHARMACEUTICALS (Y)									
390. -VISA INC COM	A	Dividend	J	T					
391. -VODAFONE COMMON STOCK	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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Name of Person Reporting

-----SIRAGUSA, CHARLES J.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392. -WPP PLC ADR)	A	Dividend	J	T	Buy	2/14/11	J		
393. -WESFARMERS LTD	A	Dividend	J	T					
394. -WESTFIELD GROUP ADR		None	J	T	Buy	12/1/11	J		
395. -WEYERHAEUSER CO. COMMON STOCK	A	Dividend	J	T					
396. -WHEELOCK & CO	A	Dividend			Sold	12/20/11	J		
397. -YAHOO INC		None			Sold	07/20/11	J	A	
398. -YUE YUEN INDL		None			Sold	1/6/11	J	A	
399. -VANGUARD TOTAL STOCK MKT	A	Dividend	J	T	Buy	12/20/11	J		
400. -NEW YORK DORM AU ST PERS INCM TAX REV	A	Interest			Matured	12/15/11	J		
401. -NEW YORK CITY TRANSITIONAL	A	Interest	K	T					
402. -DORMITORY AUTHORITY OF THE STATE OF NEW YORK	A	Interest	K	T					
403. -NEW YORK STATE THRUWAY	A	Interest	K	T					
404. -NEW YORK ST ENVIRONMENTAL FACS CORP	A	Interest	K	T					
405. -BEDFORD CENTRAL SCHOOL	A	Interest	K	T					
406. -NEW YORK STATE DORM AUTH REVS INSD-NYU	A	Interest	K	T					
407. -TRIBOROUGH BRDG	A	Interest	K	T					
408. -ERIE CNTY N Y WTR AUTH	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

-----SIRAGUSA, CHARLES J.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409. -NEW YORK ST URBAN DEV CORP	A	Dividend	K	T					
410. -NEW YORK N Y FOR PRIOR ISSUES	A	Interest	K	T					
411. -ONONDAGA CNTY N Y TR CULTURAL RES REV	A	Interest	K	T					
412. ACCOUNT # 5									
413. -SMITH BARNEY MONEY MARKET	A	Dividend	K	T					
414. -MIAMI-DADE CNTY FLA SCH DIST RFDG FSA		None	K	T					
415. -ALLEGHENY CNTY PA HOSP DEV AUTH REV UNIV PITTSBURGH MED		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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-----SIRAGUSA, CHARLES J.

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- 1) THIS HOLDING WAS INADVERTENTLY REPORTED IN MY 2010 FINANCIAL DISCLOSURES. IN REVIEWING MY SMITH BARNEY STATEMENTS IT WAS REDEEMED ON SEPTEMBER 4, 2009.
- 2) ON MARCH 17, 2011. TYCO ELECTRONICS LTD CHANGED ITS NAME TO TE CONNECTIVITY LTD-CHF.
- 3) THIS HOLDING WAS INADVERTETLY OMITTED FROM MY 2010 DISCLOSURE.
- 4) ON SEPTEMBER 21, 2011 THE [REDACTED] ACCCOUNT SHARES OF LIBERTY MEDIA HLDG CORP CAP SERIES A WERE EXCHANGE FOR [REDACTED] SHARES OF LIBERTY MEDIA CORP LIBERTY CAP CLASS A.
- 5) ON DECEMBER 19, 2011 GABELLI GLOBAL NAT RES & INCOME TR SBI CHANGED ITS NAME TO GAMCO GLOBAL GOLD NATURAL RESO
- 6) THIS HOLDING WAS INADVERTENTLY INCLUDED IN ACCOUNT #4 IN MY 2010 DISCLOSURE. IT SHOULD HAVE ONLY BEEN INCLUDED IN ACCOUNT #1.
- 7) MY 2010 DISCLOSURE INADVERTENTLY FAILED TO INDICATED THAT THIS HOLDING WAS SOLD ON DECEMBER 3, 2010.
- 8) ON SEPTEMBER 26, 2012, LIBERTY MEDIA CORP LIBERTY CAP CL A WAS EXCHANGED FOR LIBERTY MEDIA CORP LIBERTY CAP SER A

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Name of Person Reporting

-----SIRAGUSA, CHARLES J.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **CHARLES J. -----SIRAGUSA**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544