

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Fulton, Thomas H.	2. Court or Organization U.S. Bankruptcy Court	3. Date of Report 07/08/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge-Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address U.S. Courthouse 601 West Broadway, Suite 528 Louisville, KY 40202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AMERIPRISE FINANCIAL									
2. Columbia Large Core Quantitative Fund Class A, IRA		None			Sold	08/02/12	J	A	
3. Flexible Annuity		None			Sold	07/19/12	K	A	
4. Variable Annuity		None	K	T					
5. First Eagle Global CL A		None	J	T	Buy	08/02/12	J		
6. HILLIARD LYONS BROKERAGE ACCT #1									
7. Hilliard Lyons Govt. Fund	A	Dividend	J	T					
8. CISCO Systems, Inc.	A	Dividend	J	T					
9. Walt Disney Stock	A	Dividend	K	T					
10. Home Depot Stock	A	Dividend	K	T					
11. International Paper	A	Dividend	K	T					
12. Kimberly-Clark Corp Stock	A	Dividend	K	T					
13. Microsoft	A	Dividend	J	T					
14. Pfizer, Inc	A	Dividend	J	T					
15. Colgate-Palmolive Co.	A	Dividend	K	T					
16. Staples, Inc.	A	Dividend	J	T					
17. General Electric	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Comcast Corp Class A	A	Dividend	K	T					
19. Corning, Inc.	A	Dividend	J	T					
20. Janus Cap Group	A	Dividend	J	T					
21. Motorcar Parts of America	A	Dividend	J	T					
22. Summer Infant	A	Dividend	J	T					
23. O Reily Automotive, Inc	A	Dividend	J	T	Buy	08/10/12	J		
24. Hilliard Lyons Brokerage Acct. #2									
25. Walmart	A	Dividend	J	T					
26. Nokia	A	Dividend	J	T					
27. American Legacy III Variable Annuity	A	Dividend	J	T					
28. Hilliard Lyons Govt Fund	A	Dividend	J	T					
29. Dow Chemical	A	Dividend	J	T					
30. Qualcomm, Inc.	A	Dividend	J	T					
31. Oracle Corp	A	Dividend	J	T					
32. Pfizer, Inc	A	Dividend	J	T					
33. Cross A T Company	A	Dividend	J	T					
34. Janus Cap Group	A	Dividend	J	T					

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35. Landuer Inc.	A	Dividend	J	T					
36. Motorcar Parts of America	A	Dividend	J	T					
37. Stifel Financial Corp	A	Dividend	J	T					
38. Summer Infant Inc.	A	Dividend	J	T					
39. Hilliard Lyons Brokerage Acct. #3									
40. Hilliard Lyons Govt. Fund	A	Dividend	J	T					
41. Disney Walt Co.	A	Dividend	J	T	Sold (part)	11/7/12	J	B	
42. General Electric	A	Dividend			Sold	07/31/12	J	A	
43. Hilliard Lyons Trust Acct.									
44. Abbott Laboratories	A	Dividend	J	T					
45. Berkshire Hathaway		None	K	T					
46. Cisco	A	Dividend	J	T					
47. Disney Walt	A	Dividend	J	T					
48. Exxon Mobile	A	Dividend	K	T					
49. Pepsico	A	Dividend	J	T					
50. Proctor & Gamble	A	Dividend	J	T					
51. Walgreens Co.	A	Dividend	J	T					

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52.	Goldmans Sach Financial Square Federal Fund	A	Dividend	J	T					
53.	Johnson & Johnson Co.	A	Dividend	J	T					
54.	TJX Companies	A	Dividend	K	T					
55.	Harley Davidson Inc.	A	Dividend	J	T					
56.	Microsoft Corp	A	Dividend	J	T					
57.	Home Depot	A	Dividend	J	T					
58.	Chevron Corp	A	Dividend	K	T					
59.	CVS Caremark Corp	A	Dividend	J	T					
60.	Kraft Foods Inc.	A	Dividend			Sold	12/13/12	J	A	
61.	TEVA Pharmaceutical Inds.	A	Dividend	J	T					
62.	US Bancorp Del Com	A	Dividend	J	T					
63.	Ishares TR MSCI EAFE Index	A	Dividend	K	T					
64.	Ishares TR S&P 400 Value	A	Dividend	J	T					
65.	VanGuard Index Small Cap ETF	A	Dividend	J	T					
66.	Southern Co.	A	Dividend	J	T					
67.	JP Morgan Chase	A	Dividend	J	T					
68.	Mondelez Intl (Kraft Foods, Inc)	A	Dividend			Spinoff (from line 60)	10/08/12	J		

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69.					Sold	12/13/12	J	A	
70. Hilliard Lyons Retirement Acct.									
71. Washington Mutual Fund	A	Dividend	J	T					
72. Wells Fargo(formerly Wachovia Securities) Brokerage Acct. #1									
73. Fifth Street Finance	A	Dividend	K	T	Buy	01/30/12	K		
74. Impax Laboratories, Inc.		None			Sold	01/30/12	J		
75. Powershares Water Resources	A	Dividend	K	T					
76. Precision Drilling		None			Sold	1/30/12	J		
77. UMH Properties, Inc.	A	Dividend	J	T					
78. Wachovia Bank Deposit Sweep Option	A	Interest	J	T					
79. Principal Financial Group	A	Dividend	J	T					
80. Precision Drilling Corp	A	Dividend			Sold	01/30/12	J		
81. First Trust Target 10 October 11	A	Dividend			Redeemed	11/05/12	L	A	
82. First Trust Target 10 Nov 12	A	Dividend	L	T	Buy	11/05/12	L		
83. Wells Fargo(formerly Wachovia Securites) Acct. #3									
84. Bank Deposit Sweep	A	Interest	J	T					
85. Goldcorp, Inc.	A	Dividend	K	T					

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86. Monmouth Real Estate Reit	B	Dividend	K	T					
87. UMH Properties, Inc.	B	Dividend	K	T					
88. Alpine Global Dynamic	B	Dividend	J	T					
89. ING Global Equity Div and Prem. Opportunity	B	Dividend	J	T					
90. ING Clarion Global Real Estate Income Fund	A	Dividend	J	T					
91. Medtronic, Inc	A	Dividend	J	T					
92. Blackrock Kelso Capital Corp	A	Dividend	J	T					
93. Prospect Capital Corp	B	Dividend	J	T					
94. Wells Fargo(formerly) Wachovia Securities Brokerage Acct. #4									
95. AT & T Inc.	A	Dividend	K	T					
96. Du Pont De Nemours	A	Dividend	K	T					
97. Gilat Satellite Networks		None	J	T					
98. Headwaters, Inc.		None	J	T					
99. Kraft Foods Inc.	A	Dividend			Sold	11/29/12	J	A	
100. Mondelez INTL (Kraft Foods, Inc)	A	Dividend			Spinoff (from line 99)	11/01/12	J		
101.					Sold	11/29/12	J	A	
102. Merk & Co. Inc.	A	Dividend	K	T					

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103. Pfizer Incorporated	A	Dividend	K	T					
104. Tetra Tech Inc.		None	K	T					
105. Verizon Communications	A	Dividend	K	T					
106. H & Q Healthcare FD SBI	B	Dividend	K	T					
107. H & Q Life Sciences	D	Dividend	K	T					
108. IRA Bank Deposit Sweep	A	Interest	J	T					
109. Johnson & Johnson	A	Dividend	K	T					
110. Frontier Communications, Corp	A	Dividend	J	T					
111. Lazard LTD	A	Dividend	K	T					
112. General Electric Company	A	Dividend			Sold	11/29/12	J	A	
113. Intel Corp	A	Dividend	J	T					
114. Proctor and Gamble	A	Dividend			Sold	11/29/12	J	A	
115. Chevron	A	Dividend	K	T	Buy	11/29/12	K		
116. Hewlett-Packard Co.	A	Dividend	K	T	Buy	11/29/12	K		
117. McDonalds Corp	A	Dividend	K	T	Buy	11/29/12	K		
118. Wells Fargo(formerly Wachovia Securities) Brokerage Acct. #5									
119. Bank Deposit Sweep	A	Int./Div.	K	T					

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120. American Strategies Capital, LTD		None	J	T					
121. Enerplus Resources	B	Dividend			Sold	01/30/12	K	A	
122. Kinder Morgan Energy	B	Dividend			Sold	11/29/12	K	D	
123. Monmouth Real Estate	B	Dividend	K	T					
124. Noble Corp Baar	A	Dividend			Sold	01/30/12	J	A	
125. Penn West Energy Trust	A	Dividend			Sold	01/30/12	K	A	
126. Proshares Short S&P 500		None	J	T					
127. Prospect Capital Corp	B	Dividend	K	T	Sold (part)	07/16/12	J	A	
128. Schlumberger, LTD	A	Dividend			Sold	11/29/12	K	E	
129. Superior Plus Corp	B	Dividend			Sold	01/30/12	K	A	
130. Transocean, LTD		None	J	T					
131. UMH Properties, Inc	A	Dividend	J	T					
132. Williams Companies, Inc.	A	Dividend	K	T					
133. Enervest Diversified Income Trust	B	Dividend	K	T					
134. ING Global Equity Div and Premium Opp. Fund	B	Dividend	J	T					
135. ING Global REal Estae Income Fund	B	Dividend	K	T	Sold (part)	07/16/12	J	A	
136. First Trust Capital Strength Port	A	Dividend			Redeemed	01/23/12	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Fulton, Thomas H.

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07/08/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. First Trust Diversified Equity Strt	B	Dividend			Redeemed	04/23/12	K	A	
138. WPX Energy, Inc.		None	J	T	Buy	01/01/12	J		
139. First Trust Capital Strength Port 14	A	Dividend	K	T	Buy	01/19/12	K		
140. General Electric	A	Dividend	K	T	Buy	01/25/12	K		
141. Teva pharmaceutical	A	Dividend	K	T	Buy	01/30/12	K		
142. Vodafone Group	B	Dividend	K	T	Buy	01/30/12	K		
143. First Trust Portfolios Divrsfd Strat. 2q12	B	Dividend	K	T	Buy	04/19/12	K		
144. Entergy LA LLC	A	Dividend	K	T	Buy	07/16/12	K		
145. Wells Fargo(formerly Wachovia Securities) Brokerage Acct # 6									
146. Bank Sweep Deposit	A	Interest	J	T					
147. Mutual Series FD, Inc.	A	Dividend	K	T					
148. First Trust Value Line Target 25 2010	A	Dividend			Redeemed	01/05/12	K	A	
149. First Trust Value lline target 2012	A	Dividend	K	T	Buy	01/03/12	K		
150. Wells Fargo(formerly Wachovia Securities) Brokerage Acct. #7									
151. Bank Sweep Deposit	A	Interest			Distributed	08/31/12	J		
152. First Trust Value Line Target 25 -10	A	Dividend			Redeemed	01/05/12	K	A	
153. First Trust Target Triad 2011	A	Dividend			Distributed	08/08/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Fulton, Thomas H.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. First Trust Portfolio	A	Dividend			Buy	01/03/12	K		
155.					Distributed	08/08/12	K		
156. Wells Fargo(formerly Wachovia Securities) Brokerage Acct. #8									
157. Bank Deposit Sweep	A	Interest			Closed	06/01/12	J		
158. First Value Line Target 25 210	A	Dividend			Redeemed	01/03/12	J	A	
159. First Value Line Target 2012	A	Dividend			Buy	01/03/12	J		
160.					Sold	05/29/12	J	A	
161. Wells Fargo (formerly Wachovia Securities) Acct. #10									
162. Bank Deposit Sweep	A	Interest			Closed	05/30/12	J		
163. Abbott Laboratories	A	Dividend			Sold	05/02/12	J	A	
164. Honeywell International, Inc.	A	Dividend			Sold	05/02/12	K	D	
165. Novartis AG	A	Dividend			Sold	05/02/12	J	B	
166. Schlumberger LTD	A	Dividend			Sold	05/02/12	K	D	
167. Zimmer Holdings		None			Sold	05/02/12	J	A	
168. Telefonica Brasil (formerly Telecomunicacoes DE SAP Paulao)	A	Dividend			Sold	05/02/12	J	A	
169. Pro Cash Plus #1									
170. Federated Capital Reserves		None	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Target Corp	A	Dividend	K	T	Sold (part)	06/04/12	J	D	
172. MFS Municipal Income Fund	A	Dividend	K	T					
173. Vanguard Whitehall FDS High Divident	A	Dividend	K	T					
174. Wisdom Tree TR Div. Ex Financials	B	Dividend	K	T					
175. Washington Mutual Investors Fund	B	Dividend	M	T	Sold (part)	07/17/12	L	C	
176. Firts TR Exchange - Traded FD	B	Dividend			Sold	06/04/12	J	A	
177. Altria Group Inc Com	A	Dividend	K	T					
178. AT&T Inc.	A	Dividend	K	T					
179. Firstenergy Corp	A	Dividend	J	T					
180. Procter & Gambe Co.	A	Dividend	J	T					
181. Verizon Communications	B	Dividend	K	T					
182. Windstream Corp	B	Dividend			Sold	12/06/12	J	A	
183. Tax Exempt Bond Fund of America Class A	B	Dividend	L	T	Buy	07/17/12	L		
184. Bristol Myers Squibb	A	Dividend	J	T	Buy	09/17/12	J		
185. Pro Cash #2									
186. Pershing Govt. Acct	A	Interest	J	T	Sold (part)	01/15/12	J	A	
187. American Funds MM Acct	C	Dividend	M	T	Sold (part)	10/04/12	L	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Fulton, Thomas H.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. The Investment Company of America	B	Dividend	J	T					
189. New Economy Fund Class A	B	Dividend			Sold	05/17/12	L	C	
190. FT Unit 2936 Tactical Port		None	K	T	Sold (part)	12/21/12	K	A	
191. American Mutual Fund Class A	C	Dividend	M	T					
192. The Income Fund Fo America Class A	D	Dividend	M	T	Buy (add'l)	05/17/12	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

Fulton, Thomas H.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Thomas H. Fulton**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544