

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hicks, Larry R.	2. Court or Organization U.S. District Court - Nevada	3. Date of Report 09/16/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address Bruce R. Thompson Courthouse 400 S. Virginia Street, Ste. 506 Reno, NV 89501		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. Pre-2001	See Part VIII, page 8, re McDonald Carano Wilson 401K Profit Sharing Plan.
2. Pre-2001	See Part VIII, page 8, re Charles Schwab IRA Rollover Account.
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2013

Avansino Realty Partners, Ltd.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Rental Property #1 - Reno, NV	D	Rent	O	W					
2. Rental Property #2 - Sparks, NV	F	Rent	N	W					
3. Avansino Realty Partners, Ltd.-Washoe Co., NV	F	Rent	O	Q					
4. **Rental Property #3-Sparks, NV									
5. **Rental Property #4-Sparks, NV									
6. **Rental Property #5-Reno, NV									
7. **Rental Property #6-Reno, NV									
8. **Rental Property #7-San Francisco, CA									
9. MGM Mirage - Common	A	Dividend	K	T					
10. Zion's Bancorp - Common	A	Dividend	J	T					
11. Wal-Mart Stores - Common	A	Dividend	J	T					
12. Intl. Game Technology	A	Dividend	K	T					
13. Harbor Fund	A	Dividend	K	T					
14. Stillwater Farms, Inc.-Common		None	M	W					
15. McDonald Carano Wilson 401K Profit Sharing Plan	D	Int./Div.	O	T					
16. **VGENX									
17. **COST									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. **BMO Prime Money Market Fund									
19. Charles Schwab IRA Rollover Account	D	Int./Div.	O	T					
20. **PRFDX									
21. **TGCNX									
22. **DODGX									
23. **PLDDX									
24. **SWUXX									
25. **VSGBX									
26. IRA #1	A	Interest	K	T					
27. **Bank of America Money Market Fund									
28. IRA #2	A	Dividend	K	T					
29. **AICFX									
30. IRA #3	A	Dividend	J	T					
31. **VWESX									
32. Bank of America - Accounts	A	Interest	J	T					
33. First Independent Bank - Accounts	A	Interest	M	T					
34. Brokerage #1									

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35. - BAC	A	Dividend	J	T					
36. - BPK	B	Interest	K	T					
37. - BYD	A	Dividend	J	T					
38. - NIO	B	Interest	K	T					
39. - PBMFX	A	Interest	M	T					
40. - ZION	A	Dividend	K	T					
41. - IQI	A	Interest	K	T					
42. - NEA	A	Interest	J	T					
43. - Citibank Bank Deposit Program	A	Interest	K	T					
44. Brokerage #2									
45. - BAC	A	Dividend	K	T					
46. - IGT	A	Dividend	J	T					
47. - MTS	A	Dividend	J	T					
48. - WMT	A	Dividend	K	T					
49. - Morgan Stanley Bank	A	Interest	L	T					
50. Brokerage #3									
51. - Notes: Sedona Devel. Partners	A	Interest			Redeemed	06/13/13	J	A	Sedona Devel. Partners

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, page 4, line 3, column C(2), the appraisal date for Avansino Realty Partners, Ltd. is December 1998.

Part VII, page 4, line 14, Stillwater Farms, Inc., is a privately owned corporation with no established "book value." It is primarily a hunting club, exact value unknown with no more accurate determination available.

Part VII, page 4, line 15. The McDonald Carano Wilson 401K Profit Sharing Plan is a 401K profit sharing plan derived from this filer's former employment prior to 2002 in the private sector. It is owned by this filer and is a self-directed plan.

Part VII, page 5, line 20. The Charles Schwab IRA Rollover Account is a rolled over profit sharing plan derived from this filer's former employment in the private sector. It was created prior to the profit plan referenced above. It is owned by this filer and is a self-directed plan.

Part VII, page 5, line 18, column A: Identity of Account has been changed to properly identify name of institution. No change in account formerly identified as MARXX at Part VII, page 5, line 19, column A of 06/19/13 report.

Part VII, page 5, line 27, column A: Identity of Account has been changed to properly identify name of institution. No change in account formerly identified as ITAXX at Part VII, page 5, line 28, column A of 06/19/13 report.

Part VII, page 5, line 29, column A: CUSIP I.D. corrected from typographical error in 06/19/13 report at Part VII, page 5, line 30, column A. Same account.

Part VII, page 6, line 41, column A: CUSIP I.D. corrected from typographical error in 06/19/13 report at Part VII, page 6, line 43, column A. Same account.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Larry R. Hicks**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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