

# FINANCIAL DISCLOSURE REPORT INITIAL FILING

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                          |                                                                  |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting</b> (last name, first, middle initial)<br><br>Strand, Leonard T.                                                                                                                                                         | <b>2. Court or Organization</b><br><br>District Court, Northern District of Iowa                                                                                                                                                                                                         | <b>3. Date of Report</b><br><br>07/16/2012                       |
| <b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br><br>Magistrate Judge, full-time                                                                                         | <b>5a. Report Type</b> (check appropriate type)<br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input checked="" type="checkbox"/> Initial <input type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2011<br>to<br>07/01/2012 |
| <b>7. Chambers or Office Address</b><br><br>320 6th Street, Suite 104<br>Sioux City, Iowa 51101                                                                                                                                                 |                                                                                                                                                                                                                                                                                          |                                                                  |
| <p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the <i>NONE</i> box for each part where you have no reportable information. Insert signature on last page.</p> |                                                                                                                                                                                                                                                                                          |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

| <u>POSITION</u>        | <u>NAME OF ORGANIZATION/ENTITY</u>                    |
|------------------------|-------------------------------------------------------|
| 1. Member and Director | Simmons Perrine Moyer Bergman PLC, Cedar Rapids, Iowa |
| 2. Board Member        | YMCA Cedar Rapids Metropolitan Area                   |
| 3. Board Member        | Cedar Rapids Symphony Orchestra Association, Inc.     |
| 4.                     |                                                       |
| 5.                     |                                                       |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

| <u>DATE</u>  | <u>PARTIES AND TERMS</u>                                                                                                                                         |
|--------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1. 1996-2013 | Operating agreement with Simmons Perrine Moyer Bergman PLC (former law firm) provides that final payment of amounts owed to me by the firm will be made in 2013. |
| 2. 1996      | Simmons Perrine Moyer Bergman PLC 401(k) Profit Sharing Plan. Managed by a third-party. I continue to have funds invested in the plan. No control.               |
| 3.           |                                                                                                                                                                  |

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u>                                                                                               | <u>INCOME</u><br>(yours, not spouse's) |
|-------------|----------------------------------------------------------------------------------------------------------------------|----------------------------------------|
| 1. 2011     | Simmons Perrine Moyer Bergman PLC: K-1 Income as member of limited liability company engaged in the practice of law. | \$263,430.00                           |
| 2. 2012     | Simmons Perrine Moyer Bergman PLC: K-1 Income as member of limited liability company engaged in the practice of law. | \$118,000.00                           |
| 3.          |                                                                                                                      |                                        |
| 4.          |                                                                                                                      |                                        |

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

| <u>DATE</u>  | <u>SOURCE AND TYPE</u>                      |
|--------------|---------------------------------------------|
| 1. 2011-2012 | Mercy Hospital, Cedar Rapids, Iowa - salary |
| 2. 2011-2012 | The Talbot's Inc. - salary                  |
| 3.           |                                             |
| 4.           |                                             |

**IV. REIMBURSEMENTS** -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

| <u>SOURCE</u> | <u>DATES</u> | <u>LOCATION</u> | <u>PURPOSE</u> | <u>ITEMS PAID OR PROVIDED</u> |
|---------------|--------------|-----------------|----------------|-------------------------------|
| 1. EXEMPT     |              |                 |                |                               |
| 2.            |              |                 |                |                               |
| 3.            |              |                 |                |                               |
| 4.            |              |                 |                |                               |
| 5.            |              |                 |                |                               |

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

|    | <u>SOURCE</u> | <u>DESCRIPTION</u> | <u>VALUE</u> |
|----|---------------|--------------------|--------------|
| 1. | EXEMPT        |                    |              |
| 2. |               |                    |              |
| 3. |               |                    |              |
| 4. |               |                    |              |
| 5. |               |                    |              |

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐NONE *(No reportable liabilities.)*

|    | <u>CREDITOR</u>     | <u>DESCRIPTION</u>                     | <u>VALUE CODE</u> |
|----|---------------------|----------------------------------------|-------------------|
| 1. | Chase Card Services | Credit cards - paid in full each month | J                 |
| 2. |                     |                                        |                   |
| 3. |                     |                                        |                   |
| 4. |                     |                                        |                   |
| 5. |                     |                                        |                   |

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. Simmons Perrine Moyer Bergman PLC<br>401(k) Profit Sharing Plan                                                          | D                                       | Dividend                                      | N                                               | T                                         | Exempt                                          |                         |                                 |                                |                                                                   |
| 2. - Baron Small Cap Mutual Fund                                                                                            |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 3. - Cohen & Steers Realty Shares Mutual<br>Fund                                                                            |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 4. - Diamond Hill Large Cap A Mutual Fund                                                                                   |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 5. - Doubleline Total Return Bond Mutual<br>Fund                                                                            |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 6. - Heartland Select Value Mutual Fund                                                                                     |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 7. - Intrepid Small Cap Mutual Fund                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 8. - Pioneer Fundamental Growth A Mutual<br>Fund                                                                            |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 9. - Permanent Portfolio Mutual Fund                                                                                        |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 10. - First Eagle Gold A Mutual Fund                                                                                        |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 11. - First Eagle Overseas A Mutual Fund                                                                                    |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 12. - Thornburg International R5 Mutual Fund                                                                                |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 13. - Yacktman Focused Fund Service Mutual<br>Fund                                                                          |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 14. Iowa 529 College Savings Plan Accounts                                                                                  | C                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 15. - Vanguard Total Bond Market II Index<br>Mutual Fund                                                                    |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 16. - Vanguard Institutional Total Stock Market<br>Index Mutual Fu                                                          |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 17. - Vanguard Total International Stock Index<br>Mutual Fund                                                               |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                    |                                                      |                                                            |                                                                                       |                        |
|--------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|------------------------------------------------------------|---------------------------------------------------------------------------------------|------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A ~\$1,000 or less<br>F ~\$50,001 - \$100,000                                      | B ~\$1,001 - \$2,500<br>G ~\$100,001 - \$1,000,000   | C ~\$2,501 - \$5,000<br>H1 ~\$1,000,001 - \$5,000,000      | D ~\$5,001 - \$15,000<br>H2 ~More than \$5,000,000                                    | E ~\$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J ~\$15,000 or less<br>N ~\$250,001 - \$500,000<br>P3 ~\$25,000,001 - \$50,000,000 | K ~\$15,001 - \$50,000<br>O ~\$500,001 - \$1,000,000 | P1 ~\$1,000,001 - \$5,000,000<br>P4 ~More than \$5,000,000 | L ~\$50,001 - \$100,000<br>M ~\$100,001 - \$250,000<br>P2 ~\$5,000,001 - \$25,000,000 |                        |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                    | R = Cost (Real Estate Only)<br>V = Other             | S = Assessment<br>W = Estimated                            | T = Cash Market                                                                       |                        |

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                            |   |          |   |   |  |  |  |  |  |
|------------------------------------------------------------|---|----------|---|---|--|--|--|--|--|
| 18. Fidelity IRA                                           | C | Dividend | L | T |  |  |  |  |  |
| 19. - Fidelity Capital & Income Mutual Fund                |   |          |   |   |  |  |  |  |  |
| 20. ING Bank Savings Accounts                              | A | Interest | K | T |  |  |  |  |  |
| 21. Bankers Trust Cedar Rapids Checking & Savings Accounts | A | Interest | K | T |  |  |  |  |  |
| 22. Mercy Hospital 401(k) Plan                             | A | Dividend | J | T |  |  |  |  |  |
| 23. - Oppenheimer Developing Markets A Mutual Fund         |   |          |   |   |  |  |  |  |  |
| 24. - T. Rowe Price Small Cap Value Mutual Fund            |   |          |   |   |  |  |  |  |  |
| 25.                                                        |   |          |   |   |  |  |  |  |  |
| 26.                                                        |   |          |   |   |  |  |  |  |  |
| 27.                                                        |   |          |   |   |  |  |  |  |  |
| 28.                                                        |   |          |   |   |  |  |  |  |  |
| 29.                                                        |   |          |   |   |  |  |  |  |  |
| 30.                                                        |   |          |   |   |  |  |  |  |  |
| 31.                                                        |   |          |   |   |  |  |  |  |  |
| 32.                                                        |   |          |   |   |  |  |  |  |  |
| 33.                                                        |   |          |   |   |  |  |  |  |  |
| 34.                                                        |   |          |   |   |  |  |  |  |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

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## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Leonard T. Strand**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
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Washington, D.C. 20544