

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) McKinney, Larry J.	2. Court or Organization U.S. District Court, Southern District of Indiana	3. Date of Report 07/26/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge, Article III, Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 361 Birch Bayh Federal Building and United States Courthouse 46 East Ohio Street Indianapolis, IN 46204		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 1978-1987	Indiana Judicial Retirement System - No control
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2015	Indiana Judicial Retirement System - Pension	\$33,967.50
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. University of Texas	Feb. 19-21, 2015	Austin, TX	Patent Seminar Speaker	Meals and hotel
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Chase Bank	Mortgage - Staten Island, New York - Condominium	M
2.	U.S. Bank Home Mortgage	Mortgage - [REDACTED]	L
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. State Farm Life Insurance Policy #1 - Cash Value	A	Interest	J	T					
2. State Farm Life Insurance Policy #1 - Cash Value	A	Interest	J	T					
3.									
4. St. Joseph County, IN Farm - [REDACTED]	D	Rent	M	W					
5.									
6. Huntington TOD Account #1 (H)									
7. -Huntington Money Market (HFIXX)	A	Dividend			Sold	11/16/15	J	A	
8. -Build Amer Bds Income Tr Unit (12008A443)	A	Dividend	L	T					
9. -Wells Fargo & Co Sr-K Zero Unrated (94986RWC1)	A	Dividend	L	T	Buy	03/20/15	L		
10. -Am. Growth Fund of Am. Class A (AGTHX)	C	Dividend	L	T	Buy	03/25/15	L		
11. -Federated Prime Cash Obligations AS (QTPAQ)		None	J	T	Buy	11/16/15	J		
12.									
13. Schwab Joint Account (H) (Y)									
14. -PIMCO Total Return fund (PTTDX) (Y)									
15. -Schwab Muni Money Fd (SWXXX) (Y)									
16.									
17. Salin Bank Premier Interest Checking	A	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18.									
19. Staten Island, New York (Y)									
20.									
21. Sovereign Bank Account		None			Closed	05/15/15	J	C	
22.									
23. Huntington Hartford Retirement Account #1 (H)	E	Distribution	N	T					
24. -Htfd Index HLS									
25. -Htfd Port Diversifier HLS									
26.									
27. Huntington Hartford Retirement Account #2 (H)	A	Interest	M	T					
28. -Htfd Index HLS									
29. -Htfd Port Diversifier HLS									
30.									
31. Franklin College Defined Contribution Retirement Plan (H)	B	Dividend							
32. -CREF U747044-8					Sold	03/30/15	K		
33. -T-C Lifecycl 2010-Rtmt					Sold	04/15/15	K		
34.									

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35. Huntington Hartford Retirement Account #3 (H)	A	Interest	K	T	Open	03/30/15	K		
36. -American Growth Fund of America Class A (AGTHX)					Buy	03/30/15	K		
37.					Buy (add'l)	04/15/15	K		
38.									
39.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part II

- Indiana state pension for eight and a half years on the state bench from January 1978 to July 1987.

Part III

- Indiana state pension amount.

Part VII

- Insurance policies owned on each of two family members. Cash value only.
- Schwab Joint Account is valued under the reportable threshold for 2015.
- Spouse's former employer's pension/retirement plan account. The total gain for the two funds, the CREF Annuity and the T-C Lifecycle 2010-Rmt, is reported to most accurately reflect the change in value of this pension/retirement plan. This account accrued a dividend and a small distribution was issued prior to March 2015; both have been reported here as a dividend to simplify the report.
This pension/retirement plan account was closed / rolled over, in two transactions, into a Huntington Hartford Retirement Account #3, listed at Part VII, line 35.
- Spouse's rollover of her former employer's pension/retirement plan account reported at Part VII, lines 31 through 33. The total gain is reported because there is only one fund owned in this account.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Larry J. McKinney**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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