

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Dein, Judith G.	2. Court or Organization U.S. District Court, MA	3. Date of Report 05/11/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge-full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address United States District Court One Courthouse Way Boston, Massachusetts 02210		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Fellow	Massachusetts Bar Foundation
2.	Trustee	Union College, Schenectady, NY
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2014

Goulston & Storrs - lawyer

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Agilent Technologies Inc. stock		None	J	T					
2. Blackrock Global Allocation A	A	Dividend			Sold	03/26/14	K		
3. SBC Communications Inc.	A	Dividend	J	T					
4. Bank of America account	A	Interest	M	T					
5. Bank of America account	A	Interest	M	T					
6. Vodafone Airtouch PLC	D	Dividend	J	T	Sold (part)	08/06/14	J		see comment 1
7. Baron Growth Fund	D	Int./Div.	N	T					
8. TRowe Mid Cap Fund	C	Int./Div.	N	T					
9. Vanguard 500 Index Fund	D	Int./Div.	N	T					
10. Israeli Bonds	A	Dividend	J	T					
11. Main Stay MAP Fund C1 I	C	Int./Div.	M	T					
12. AT&T stock	A	Dividend	J	T					
13. Mass. ST CONS LN	C	Interest	L	T					
14. Wells Fargo&Co Ser. J 8% perpetual	C	Dividend	K	T					
15. Blackrock Global Allocation FD Inc A	B	Dividend			Sold	03/26/14	M	D	
16. Loomis Sayles Invest Gr Bond Fund	A	Dividend			Sold	03/26/14	L	A	
17. BANA INDU SV 8473.49 MPCD DJIA	A	Int./Div.			Matured	05/30/14	L		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Needham Bank Acct	A	Interest	L	T					
19. FIA Card Services NA RASP	A	Interest	J	T					
20. ISHARES IBOXX \$ Invest. Grade Corp. Bond Fund	A	Interest			Sold	03/26/14	K		
21. ISHARES MBS BON Fund	A	Interest			Sold	03/26/14	K	B	
22. Sector SPDR Industrial	A	Interest			Sold	03/26/14	K	D	
23. SPDR Barclay Capital International	A	Interest			Sold	03/26/14	K		
24. Vanguard Information	A	Interest			Sold	03/26/14	K	D	
25. Phoenix Concierge Acct	A	Interest	K	T					
26. Capital Income Builder Fund Am. Funds	A	Interest	K	T					
27. First Eagle Global Fund Class A M/F	A	Dividend	L	T					
28. IVA Worldwide Fund Class C M/F	A	Int./Div.	J	T					
29. Income Fund of Am. Funds M/F	A	Interest	K	T					
30. Neuberger Berman Equity Inc. Fund Class C M/F	A	Interest			Sold	05/19/14	K		
31. Nuveen Limited Term Mun Bond Fund Class C M/F	A	Interest	K	T					
32. Thornburg Ltd Term Mun. Fund Class C M/F	A	Interest	L	T					
33. Western Asset Managed Municipals Fund Class I (X)	C	Dividend	M	T					
34. Vanguard Dividend Appreciation ETF	D	Dividend	K	T	Sold (part)	03/26/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Vanguard REIT ETF	A	Dividend			Sold	03/26/14	K	B	
36. Vanguard Russell 1000 Value ETF	A	Dividend			Sold	03/26/14	M	A	see comment 2
37. Vanguard Russell 1000 Value ETF	A	Dividend			Sold	03/26/14	L	D	
38. Blackrock Equity Dividend Fund	A	Dividend			Sold	03/26/14	K	A	
39. Blackrock Equity Dividend Fund	A	Dividend			Sold	03/26/14	K	A	
40. First Eagle Global Fund	B	Dividend			Sold	03/26/14	L	A	
41. Loomis Sayles Strategic Income Fund	A	Dividend			Sold	03/26/14	K		
42. Loomis Sayles Strategic Income Fund	A	Dividend			Sold	03/26/14	K		
43. Mainstay High Yield Corp. Bond fund	A	Dividend			Sold	03/26/14	J	A	
44. Mainstay High Yield Corp. Bond fund	A	Dividend			Sold	03/26/14	J	A	
45. MFS Emerging Markets Debt Fund	A	Dividend			Sold	03/26/14	K	A	
46. MFS Emerging Markets Debt Fund	A	Dividend			Sold	03/26/14	J	A	
47. Nuveen Diversified Commodity Fund	A	Dividend			Sold	03/26/14	K		
48. Nuveen Energy MLP Total Return	A	Dividend			Sold	03/26/14	K	A	
49. PIMCO Real Return Fund	A	Dividend			Sold	03/26/14	J		
50. PIMCO Total Return Fund	A	Dividend			Sold	03/26/14	K		
51. PIMCO Total Return Fund	A	Dividend			Sold	03/26/14	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. TCW Total Return Bond Fund	A	Dividend			Sold	03/26/14	K		
53. TCW Total Return Bond Fund	A	Dividend			Sold	03/26/14	K		
54. Templeton Global Bond Fund	A	Dividend			Sold	03/26/14	K		
55. Templeton Global Bond Fund	A	Dividend			Sold	03/26/14	K		
56. Royce Pennsylvania Mutual Fund	A	Dividend			Sold	03/26/14	K	C	
57. Franklin Growth Fund	A	Dividend			Sold	03/26/14	L	E	
58. Franklin Growth Fund	B	Dividend			Sold	03/26/14	L	E	
59. Vanguard Russel 1000 Growth ETF	A	Dividend			Sold	03/26/14	L	D	
60. Clearbridge Equity Income Fund Class C M/F	A	Interest	K	T					
61. Thornburg Investment Income Builder Fund Class C M/F	B	Interest	K	T					
62. Thornburg Limited Term Municipal Fund Class A	A	Interest	K	T					
63. Capital Income Builder Fund Class F1 - American Funds N/L	A	Interest	J	T					
64. UBS Bank USA Dep. Acct (various)	A	Interest	N	T					
65. ISHARES High Divid ETF	B	Dividend			Sold	03/26/14	K	B	
66. Western Asset Managed Municipals Fund Class A	C	Dividend	M	T					
67. Principal FDS Inc Global Divers. Income Fund Class P	A	Dividend			Sold	03/26/14	L		
68. Vanguard Russell 1000 Growth ETF	A	Dividend			Sold	03/26/14	K	D	

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69. Pioneer Strategic Income Fund Class A	A	Dividend			Sold	03/26/14	L		
70. ING Lg Cap Value Fund (became VOYA Lg Cap Val Fund Class C	A	Dividend	K	T					
71. PIMCO Unrestrained Bond Fund	A	Dividend			Sold	04/25/14	J		
72. Thornburg Limited Term Mun Fund Class C	A	Dividend	J	T	Buy	04/25/14	J		
73. First Eagle Growth & Income Fund Class C M/F	A	Dividend	K	T	Buy	05/20/14	K		
74. Mainstay Unconstrained Bond Fund Class A	B	Dividend	L	T	Buy	03/26/14	L		
75. Mainstay Unconstrained Bond Fund Class A	B	Dividend	K	T	Buy	03/26/14	K		
76. Pioneer Fundamental Growth Class Y	A	Dividend	K	T	Buy	03/26/14	K		
77. Pioneer Fundamental Growth Class Y	D	Dividend	L	T	Buy	03/26/14	L		
78. Vanguard Charlotte FDS Total Intl BD Index Fund	B	Dividend	K	T	Buy	03/26/14	K		
79. Vanguard Charlotte FDS Total Intl BD Index Fund	B	Dividend	K	T	Buy	03/26/14	K		
80. iShares Tr Core Total US Bond Mkt ETF	B	Dividend	L	T	Buy	03/26/14	L		
81. iShares Tr Core Total US Bond Mkt ETF	A	Dividend	K	T	Buy	03/26/14	K		
82. Blackrock Equity Dividend Fund A Institutional	A	Dividend	K	T	Buy	03/26/14	K		
83. Blackrock Equity Dividend Fund A Institutional	C	Dividend	K	T	Buy	03/26/14	K		
84. Loomis Sayles Strategic Income Fund	B	Dividend	L	T	Buy	03/26/14	L		
85. Loomis Sayles Strategic Income Fund	A	Dividend	L	T	Buy	03/26/14	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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86. Wisdomtree Trust SmallCap Dividend Fund	A	Dividend	J	T	Buy	03/26/14	J		
87. Vanguard Admiral FDS Inc 500 Val Inx Fund	C	Dividend	K	T	Buy	03/26/14	K		
88. Vanguard Admiral FDS Inc 500 Val Inx Fund	C	Dividend	K	T	Buy	03/26/14	K		
89. VanguardAdmiral FDS Inc 500 Growth Inx Fund	B	Dividend	K	T	Buy	03/26/14	K		
90. Vanguard Admiral FDS Inc 500 Growth Inx Fund	D	Dividend	L	T	Buy	03/26/14	L		
91. Vanguard Intl Equity Index FD Inc FSTE All	A	Dividend	K	T	Buy	03/26/14	K		
92. Vanguard Intl Equity Index FD Inc FSTE All	B	Dividend	L	T	Buy	03/26/14	L		
93. TCW Emerging Markets Income Fund	B	Dividend	K	T	Buy	03/26/14	K		
94. TCW Emerging Markets Income Fund	A	Dividend	K	T	Buy	03/26/14	K		
95. Oppenheimer Steelpath MLP Alpha Fund	A	Dividend	K	T	Buy	03/26/14	K		
96. Alger Dynamic Opportunities Fund	B	Dividend	K	T	Buy	03/26/14	K		
97. Alger Dynamic Opportunities Fund	B	Dividend	K	T	Buy	03/26/14	K		
98. Principal Diversified Real Asset Fund A	A	Dividend	K	T	Buy	03/26/14	K		
99. Principal Diversified Real Asset Fund A	A	Dividend	K	T	Buy	03/26/14	K		
100. Thornburg Developing World Fund Class A	A	Distribution	J	T	Buy	03/26/14	J		
101. Thornburg Developing World Fund Class A	A	Distribution	K	T	Buy	03/26/14	K		
102. Natixis Oakmark Intl Fund Class A	A	Dividend	J	T	Buy	03/26/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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103. Natixis Oakmark Intl Fund Class A	A	Dividend	K	T	Buy	03/26/14	K		
104. Principal FDS Inc Global Diversified	C	Dividend	L	T	Buy	03/26/14	L		
105. Clearbridge Aggressive Growth Fund	C	Dividend	L	T	Buy	03/26/14	L		
106. Gabelli Small Cap Growth Class A	A	Dividend	K	T	Buy	03/26/14	K		
107. Vanguard Admiral FDS Inc Midcap 400 Indx	B	Dividend	K	T	Buy	03/26/14	K		
108. Powershares Global Water Portfolio	A	Dividend	K	T	Buy	03/26/14	K		
109. Tortoise MLP & Pipeline Fund Class Inv	A	Dividend	K	T	Buy	03/26/14	K		
110. TCW Emerging Markets Income Fund	B	Dividend	K	T	Buy	03/26/14	K		
111. TCW Emerging Markets Income Fund	B	Dividend	K	T	Sold (part)	12/18/14	J		see comment 3
112. Vanguard Divid Appreciation ETF	A	Dividend	K	T	Buy	03/26/14	K		
113. Powershares Global Water Portfolio	A	Dividend	K	T	Buy	03/26/14	K		
114. Principal Diversified Real Asset Fund A	A	Dividend	K	T	Buy	03/26/14	K		
115. Alger Dynamic Opportunities Fund	B	Dividend	K	T	Buy	03/26/14	K		
116. Principal FDS Global Diversified	B	Dividend	K	T	Buy	03/26/14	K		
117. Tortoise MLP & Pipeline Fund	A	Dividend	K	T	Buy	03/26/14	K		
118. iShares Natl Amt-Free Muni Bond ETF(x)	C	Dividend	M	T	Buy	01/01/14	M		see comment 4

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Dein, Judith G.

Date of Report

05/11/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Vodafone required the sale of its stock at various times throughout the year.
2. If the same asset is listed multiple times, it is because it is held in several accounts.
3. The partial sales in line 111 are of investments purchased in line 110.
4. Purchase on 04/16/13 was inadvertently omitted from last year's report. I dated it 01/01/14 because the program would not take a date in 2013.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Dein, Judith G.

Date of Report

05/11/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Judith G. Dein**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544