

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Engelmayer, Paul A.	2. Court or Organization U.S. District Court, Southern District of New York	3. Date of Report 04/10/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address U.S. Courthouse 40 Centre Street New York, NY 10007		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust #1
2.	Trustee	Trust #2
3.	Trustee	Trust #3
4.	Co-Executor	Estate #2
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2009	WilmerHale partnership agreement re interest earned on retained capital of former partners (y) - See Section VIII for details
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 33

Name of Person Reporting

Engelmayer, Paul A.

Date of Report

04/10/2015

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Harvard Law School	01/08/14-01/09/14	Cambridge, MA	Teaching	Transportation, Meals and Lodging
2. United States District Court - SDNY	06/11/14-06/13/14	Saratoga Springs, NY	2nd Circuit Judicial Conference	Transportation, Meals and Lodging
3. Harvard Law School	10/22/14-10/23/14	Cambridge, MA	Teaching	Transportation, Meals and Lodging
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 33

Name of Person Reporting
Engelmayer, Paul A.

Date of Report
04/10/2015

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 33

Name of Person Reporting

Engelmayer, Paul A.

Date of Report

04/10/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Brokerage #1 (H)									
2. -Aberdeen Emerging Markets Instl	C	Int./Div.	L	T					
3. -Eaton Vance Structured Emerging Markets	D	Int./Div.	N	T					
4. -Prudential Jennison Natural Resources Cl Z I		None	M	T					
5. -RS Global Natural Resources Cl Y		None			Buy (add'l)	03/20/14	M		
6.					Sold	11/21/14	M		
7. -First Eagle Global Inst CL I	E	Int./Div.	N	T					
8. -Schwab NY AMT Tax-Free Money Fund	A	Int./Div.	J	T					
9. -Deutsche Bank AG London 307 US CMS		None			Matured	06/25/14	J		
10. -iShares S&P GSSI Nat		None			Sold	03/17/14	M	A	
11. -FPA Crescent Fund	C	Int./Div.	M	T	Buy	11/25/14	M		
12. Brokerage #2 (H)									
13. -Schwab NY AMT Tax-Free Money Fund	A	Int./Div.	N	T					
14. Brokerage #3 (H)									
15. -iShare DJ Utility SEC IN	B	Int./Div.	M	T	Buy	06/16/14	M		
16.					Buy (add'l)	06/20/14	J		
17.					Buy (add'l)	07/03/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

FINANCIAL DISCLOSURE REPORT

Page 5 of 33

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Engelmayer, Paul A.

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Buy (add'l)	07/07/14	K		
19.					Sold (part)	07/21/14	K		
20.					Buy (add'l)	07/28/14	L		
21.					Sold (part)	08/04/14	M		
22.					Buy (add'l)	12/29/14	M		
23.	-iShares Dow Jones US Energy Sector	B	Int./Div.		Buy (add'l)	01/03/14	J		
24.					Buy (add'l)	02/03/14	K		
25.					Sold (part)	02/10/14	M	C	
26.					Buy (add'l)	02/24/14	M		
27.					Buy (add'l)	04/04/14	J		
28.					Sold (part)	06/09/14	L	C	
29.					Sold (part)	06/16/14	L	D	
30.					Buy (add'l)	06/20/14	J		
31.					Buy (add'l)	07/03/14	J		
32.					Buy (add'l)	07/07/14	K		
33.					Sold (part)	07/21/14	K	B	
34.					Buy (add'l)	07/28/14	L		

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FINANCIAL DISCLOSURE REPORT

Page 6 of 33

Name of Person Reporting

Engelmayer, Paul A.

Date of Report

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35.					Sold (part)	08/11/14	K	B	
36.					Sold (part)	08/18/14	K	B	
37.					Sold	09/29/14	M		
38.									
39.	-iShares Tr Dow Jones US Indl Se	A	Int./Div.		Buy (add'l)	01/03/14	J		
40.					Buy (add'l)	02/03/14	K		
41.					Sold (part)	02/10/14	M	E	
42.					Buy (add'l)	06/09/14	M		
43.					Sold (part)	06/16/14	L		
44.					Buy (add'l)	07/03/14	J		
45.					Sold (part)	07/07/14	M		
46.					Sold (part)	07/10/14	J		
47.					Buy (add'l)	07/21/14	M		
48.					Sold (part)	07/28/14	M		
49.					Buy (add'l)	08/18/14	M		
50.					Buy (add'l)	09/29/14	K		
51.					Buy (add'l)	10/03/14	J		

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FINANCIAL DISCLOSURE REPORT

Page 7 of 33

Name of Person Reporting

Engelmayer, Paul A.

Date of Report

04/10/2015

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52.					Buy (add'l)	10/13/14	K		
53.					Sold	10/20/14	M		
54. -Schwab NY AMT Tax-Free Money Fund	A	Int./Div.	J	T					
55. -Materials SS SPDR	B	Int./Div.			Buy (add'l)	01/03/14	J		
56.					Buy (add'l)	02/03/14	K		
57.					Buy (add'l)	02/10/14	L		
58.					Sold (part)	02/24/14	L	D	
59.					Buy (add'l)	04/04/14	J		
60.					Sold (part)	06/09/14	K	D	
61.					Sold (part)	06/16/14	K	D	
62.					Buy (add'l)	06/27/14	J		
63.					Buy (add'l)	07/03/14	J		
64.					Buy (add'l)	07/07/14	K		
65.					Sold (part)	07/21/14	K	C	
66.					Buy (add'l)	07/28/14	L		
67.					Sold (part)	08/11/14	K	C	
68.					Sold (part)	08/18/14	K	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 8 of 33

Name of Person Reporting

Engelmayer, Paul A.

Date of Report

04/10/2015

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69.					Buy (add'l)	09/29/14	K		
70.					Buy (add'l)	10/03/14	J		
71.					Buy (add'l)	10/13/14	K		
72.					Buy (add'l)	10/20/14	M		
73.					Sold	10/27/14	N	B	
74.	-Select Sector SPDR Financial	B	Int./Div.		Buy (add'l)	01/03/14	J		
75.					Buy (add'l)	02/03/14	K		
76.					Buy (add'l)	02/10/14	L		
77.					Sold (part)	02/24/14	L	D	
78.					Buy (add'l)	04/04/14	J		
79.					Sold (part)	06/09/14	K	D	
80.					Sold (part)	06/16/14	L	C	
81.					Buy (add'l)	06/20/14	J		
82.					Buy (add'l)	07/03/14	J		
83.					Buy (add'l)	07/07/14	K		
84.					Sold (part)	07/21/14	K	B	
85.					Buy (add'l)	07/28/14	L		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 33

Name of Person Reporting

Engelmayer, Paul A.

Date of Report

04/10/2015

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86.					Sold (part)	08/11/14	K	B	
87.					Sold (part)	08/18/14	K	B	
88.					Buy (add'l)	09/29/14	K		
89.					Buy (add'l)	10/03/14	J		
90.					Buy (add'l)	10/13/14	K		
91.					Sold	10/20/14	M	A	
92. -Consumer Stap SPDR	C	Int./Div.	M	T	Buy (add'l)	01/03/14	J		
93.					Sold (part)	02/03/14	M		
94.					Buy (add'l)	06/16/14	M		
95.					Buy (add'l)	06/20/14	J		
96.					Buy (add'l)	07/03/14	J		
97.					Buy (add'l)	07/07/14	K		
98.					Sold (part)	07/21/14	K	A	
99.					Sold (part)	07/28/14	M		
100.					Buy (add'l)	08/11/14	M		
101.					Sold (part)	08/18/14	K	A	
102.					Buy (add'l)	09/29/14	J		

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FINANCIAL DISCLOSURE REPORT

Page 10 of 33

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103.					Buy (add'l)	10/03/14	J		
104.					Buy (add'l)	10/13/14	K		
105.					Buy (add'l)	10/20/14	L		
106.					Sold (part)	12/08/14	J	A	
107.					Sold (part)	12/29/14	L	D	
108. -Consumers Dis SS SPDR	A	Int./Div.	M	T	Buy (add'l)	01/03/14	J		
109.					Sold (part)	02/03/14	M	D	
110.					Buy (add'l)	08/04/14	M		
111.					Sold (part)	08/11/14	K	A	
112.					Sold (part)	08/18/14	K	A	
113.					Buy (add'l)	09/29/14	K		
114.					Buy (add'l)	10/03/14	J		
115.					Sold (part)	10/13/14	M		
116.					Buy (add'l)	12/29/14	M		
117. iShares Tr Dow Jones US Technology SE	C	Int./Div.	M	T	Buy (add'l)	01/03/14	J		
118.					Buy (add'l)	02/03/14	K		
119.					Buy (add'l)	02/10/14	L		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 11 of 33

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Sold (part)	02/24/14	L	D	
121.					Buy (add'l)	04/04/14	J		
122.					Sold (part)	06/09/14	K	D	
123.					Sold (part)	06/16/14	L	D	
124.					Buy (add'l)	06/20/14	J		
125.					Buy (add'l)	07/03/14	J		
126.					Buy (add'l)	07/07/14	K		
127.					Sold (part)	07/21/14	K	C	
128.					Buy (add'l)	07/28/14	K		
129.					Sold (part)	08/11/14	K	C	
130.					Sold (part)	08/18/14	K	C	
131.					Buy (add'l)	09/29/14	K		
132.					Buy (add'l)	10/03/14	J		
133.					Buy (add'l)	10/13/14	K		
134.					Buy (add'l)	10/20/14	L		
135.					Sold (part)	12/08/14	K	C	
136.					Sold (part)	12/29/14	L	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 33

Name of Person Reporting

Engelmayer, Paul A.

Date of Report

04/10/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -iShares Tr Dow Jones US Healthcare Se	B	Int./Div.			Buy (add'l)	01/03/14	J		
138.					Buy (add'l)	02/03/14	K		
139.					Buy (add'l)	02/10/14	L		
140.					Sold (part)	02/24/14	L	D	
141.					Buy (add'l)	04/04/14	J		
142.					Sold (part)	06/09/14	K	C	
143.					Sold (part)	06/16/14	K	D	
144.					Buy (add'l)	06/20/14	J		
145.					Buy (add'l)	07/03/14	J		
146.					Buy (add'l)	07/07/14	K		
147.					Sold (part)	07/21/14	K	B	
148.					Buy (add'l)	07/28/14	K		
149.					Sold (part)	08/11/14	K	C	
150.					Sold (part)	08/18/14	K	B	
151.					Buy (add'l)	09/29/14	K		
152.					Buy (add'l)	10/03/14	J		
153.					Buy (add'l)	10/13/14	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 33

Name of Person Reporting

Engelmayer, Paul A.

Date of Report

04/10/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Sold	10/20/14	M	D	
155. iShares Trust Barclays Short Treasury Bond Fund	A	Int./Div.			Buy	10/20/14	N		
156.					Buy (add'l)	10/27/14	N		
157.					Sold (part)	12/08/14	N		
158.					Sold	12/29/14	N		
159. -iShares Dow Jones Financial Sector	B	Int./Div.	M	T	Buy	12/08/14	N		
160.					Sold (part)	12/29/14	L	A	
161. Brokerage #4 (H)									
162. -Acadian Emerging Markets	B	Int./Div.	M	T					
163. -BBH Core Select N	E	Int./Div.	O	T					
164. -Berkshire Hathaway Inc Del Cl A		None	P2	T					
165. -Berkshire Hathaway Inc Del B		None	M	T	Sold (part)	08/19/14	K	E	
166. -Wisdom Tree LargeCap Dividend	E	Int./Div.	O	T					
167. -PIMCO Unconstrained Bond Instl CL	D	Int./Div.			Sold	10/09/14	O	E	
168. -Prudential Jennison Natural Resources Fund Cl Z		None	M	T	Sold (part)	12/23/14	J		
169. -RS Global Natural Resources Cl Y		None			Buy (add'l)	03/20/14	M		
170.					Sold	11/21/14	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 33

Name of Person Reporting

Engelmayer, Paul A.

Date of Report

04/10/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. -First Eagle Global Inst CL I	E	Int./Div.	O	T					
172. -Schwab NY AMT Tax-Free Money Fund	A	Int./Div.	J	T					
173. -GNMA II 000644 (36202AWD)	A	Int./Div.	J	T					
174. -iShares S&P GSSI Nat		None			Sold	03/17/14	M	A	
175. -JPMorgan Strategic Income Opprts Sel	C	Int./Div.	O	T	Buy	10/10/14	O		
176. -FPA Crescent Fund	C	Int./Div.	L	T	Buy	11/25/14	L		
177. Brokerage #5 (H)									
178. -Long Island Pwr Authn Y Elec Sys Rev (5426902H4)	C	Int./Div.	M	T					
179. -Metropolitan Transn Auth NY Rev For (59259YRF8)	C	Int./Div.	M	T					
180. -New York N Y Go Bds Subseries L-1 Fiscal (64966G4H2)	C	Int./Div.	M	T					
181. -New York N Y Go Bds Ser. H (64966JJ80)	D	Int./Div.	L	T	Sold (part)	11/06/14	L		
182. -New York NY City Transitional Fin Auth Rev (64971QHR7)	D	Int./Div.	M	T	Sold (part)	06/02/14	M		
183. -New York N Y City Transitional Fin (64972HSF0)	C	Int./Div.	M	T					
184. -New York N Y City Transitional Fin (64972HTM4)	C	Int./Div.	M	T					
185. -New York St Brdg Auth Rev Gen Rev Bds (649807CE2)	C	Int./Div.			Sold	01/24/14	M		
186. -New York St Dorm Auth Revs Non St (649906QE7)	C	Int./Div.	M	T					
187. -New York St Dorm Auth Revs St (64990HFT2)	C	Int./Div.	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 33

Name of Person Reporting

Engelmayer, Paul A.

Date of Report

04/10/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. -New York St Dorm Auth Revs St (64990HMQ0)	C	Int./Div.	M	T					
189. -New York St Dorm Auth Revs St (64990HPC8)	C	Int./Div.	L	T					
190. -New York St Twy Authst Pers Income Tax(650028RX3)	B	Int./Div.	L	T					
191. -Puerto Rico Comwlth Pub Impt Ref Bds (74514LC62)	D	Int./Div.			Sold	07/10/14	L		
192. -Puerto Rico Elec Pwr Auth Pwr (74526QAJ1)	C	Int./Div.			Sold	09/10/14	K		
193. -Triborough Brdg & Tunl Auth N Y Revs 05 (89602NZE7)	C	Int./Div.	L	T					
194. -New York St Twy Authgen Rev Gen Rev Bds (650009YC1)	B	Int./Div.	L	T					
195. -New York St Dorm Auth St Pers Income (64990ECG0)	C	Int./Div.	L	T					
196. -New York NY Go Bds Ser. Fiscal 2014 D-I (64966K3U5)	C	Int./Div.	M	T					
197. -New York NY Go Bds Ser. Fiscal 2014 D-I (64966K3X9)	C	Int./Div.	M	T					
198. -New York St Dorm Auth Sales Tax Rev (64990AAL9)	C	Int./Div.	M	T					
199. -New York City Transitional (64971QXQ1)	C	Int./Div.	M	T					
200. -Schwab NY AMT Tax-Free Money Fund	A	Int./Div.	J	T					
201. -Metropolitan Trans Auth NY Dedicated (59259NR54)	C	Int./Div.	M	T	Buy	01/24/14	M		
202. -New York ST Dorm Auth Rev Non ST (649906PS7)	B	Int./Div.	M	T	Buy	06/19/14	M		
203. -Triborough Brdg & Tunl Auth NY Revs (89602NYB4)	B	Int./Div.	L	T	Buy	08/05/14	L		
204. IRA #1 (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 16 of 33

Name of Person Reporting

Engelmayer, Paul A.

Date of Report

04/10/2015

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. -Aberdeen Emerging Markets Instl	B	Int./Div.	K	T					
206. -RS Global Natural Resources Cl Y	A	Int./Div.	J	T					
207. -BBH Core Select N	A	Int./Div.	K	T					
208. -First Eagle Global Inst Cl I	B	Int./Div.	K	T	Buy (add'l)	03/17/14	J		
209. -Scout Unconstrained Bond	A	Int./Div.	K	T					
210. IRA #3 (H)									
211. -Aberdeen Emerging Markets Instl	A	Int./Div.	K	T					
212. -RS Global Natural Resources Cl Y	A	Int./Div.	J	T					
213. -BBH Core Select N	A	Int./Div.	J	T	Buy (add'l)	03/17/14	J		
214. -First Eagle Global Inst Cl I	A	Int./Div.	J	T					
215. -Scout Unconstrained Bond Instl	A	Int./Div.	K	T					
216. IRA #4 (H)									
217. -RS Global Natural Resources Cl Y	B	Int./Div.	K	T					
218. -Scout Unconstrained Bond Instl	B	Int./Div.	M	T	Buy (add'l)	03/17/14	J		
219.					Sold (part)	11/21/14	J		
220. IRA #5 (H)									
221. -Aberdeen Emerging Markets Instl	B	Int./Div.	K	T	Sold (part)	11/21/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 17 of 33

Name of Person Reporting

Engelmayer, Paul A.

Date of Report

04/10/2015

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. -Scout Unconstrained Bond Instl	A	Int./Div.	K	T	Buy (add'l)	03/17/14	J		
223. IRA #6 (H)									
224. -Balestra Global Ltd		None	K	T	Sold (part)	10/01/14	N		
225. -Definitive Guardian Fund, Ltd.		None	O	T					
226. -Schwab Advisor Cash Reserves Premier Sweep	A	Int./Div.	J	T					
227. -FPA Crescent Fund	D	Int./Div.	M	T	Buy	11/25/14	M		
228. -RS Global Natural Resources Cl Y		None	M	T	Buy	12/23/14	M		
229. Trust #1 (H)									
230. -Aberdeen Emerging Markets Instl	A	Int./Div.	J	T					
231. -BBH Core Select N	A	Int./Div.	K	T					
232. -Berkshire Hathaway Inc Del B		None	J	T					
233. -Wisdom Tree LargeCap Dividend Fund	A	Int./Div.	K	T					
234. -WisdomTree MidCap Dividend	A	Int./Div.	J	T					
235. -GRT Value Fund Advisor Class	A	Int./Div.	J	T					
236. -iShares Tr S&P 400 BARRA Midcap Growth	A	Int./Div.	J	T					
237. -PIMCO Unconstrained Bond Instl CL	A	Int./Div.			Sold	10/09/14	K		
238. -Prudential Jennison Natural Resources Fund Cl Z		None	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 18 of 33

Name of Person Reporting

Engelmayer, Paul A.

Date of Report

04/10/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34–60 of filing instructions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. -First Eagle Global Inst CL I	B	Int./Div.	K	T					
240. -Schwab Municipal Money Fund Sweep Shares	A	Int./Div.	J	T					
241. -Templeton Global Bond Fund Cl A	B	Int./Div.	K	T					
242. -Virtus Premium AlphaSector CL I	D	Int./Div.	K	T					
243. -ING Senior Income Cl A	A	Int./Div.			Sold	04/14/14	J	A	
244. -Credit Suisse Floating Rate Hi Inc A	A	Int./Div.	J	T	Buy	04/15/14	J		
245. -BlackRock Strategic Income Opps Instl	A	Int./Div.	K	T	Buy	10/10/14	K		
246. Trust #2 (H)									
247. -Aberdeen Emerging Markets Instl	A	Int./Div.	J	T					
248. -Berkshire Hathaway Inc Del B		None	L	T					
249. -Wisdom Tree LargeCap Dividend Fund	A	Int./Div.	K	T					
250. -WisdomTree MidCap Dividend	A	Int./Div.	J	T					
251. -iShares Tr S&P 400 BARRA Midcap Growth	A	Int./Div.	J	T					
252. -PIMCO Unconstrained Bond Instl CL	A	Int./Div.			Sold	10/09/14	K		
253. -Prudential Jennison Natural Resources Fund Cl Z		None	J	T					
254. -First Eagle Global Inst CL I	A	Int./Div.	J						
255. -Schwab Municipal Money Fund Sweep Shares	A	Int./Div.	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 19 of 33

Name of Person Reporting

Engelmayer, Paul A.

Date of Report

04/10/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. -Templeton Global Bond Fund Cl A	B	Int./Div.	K	T					
257. -Virtus Premium AlphaSector CL I	D	Int./Div.	K	T					
258. -ING Senior Income Cl A	A	Int./Div.			Sold	4/14/14	J	B	
259. -BBH Core Select N	A	Int./Div.	J	T					
260. -Credit Suisse Floating Rate Hi Inc A	A	Int./Div.	J	T	Buy	4/15/14	J		
261. -BlackRock Strategic Income Opps Instl	B	Int./Div.	K	T	Buy	10/10/14	K		
262. UTMA #1 (H)									
263. -Aberdeen Emerging Markets Instl	A	Int./Div.	J	T					
264. -Wisdom Tree LargeCap Dividend Fund	A	Int./Div.	J	T					
265. -WisdomTree MidCap Dividend	A	Int./Div.	J	T					
266. -Prudential Jennison Natural Resources Fund Cl Z		None	J	T					
267. -First Eagle Global Inst CL I	A	Int./Div.	J	T					
268. -Templeton Global Bond Fund Cl A	B	Int./Div.	K	T					
269. -Schwab NY AMT Tax-Free Money Fund	A	Int./Div.	J	T					
270. UTMA #2 (H)									
271. -Aberdeen Emerging Markets Instl	A	Int./Div.	J	T					
272. -Berkshire Hathaway Inc Del B		None	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 20 of 33

Name of Person Reporting

Engelmayer, Paul A.

Date of Report

04/10/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. -Wisdom Tree LargeCap Dividend Fund	A	Int./Div.	J	T					
274. -Prudential Jennison Natural Resources Fund CI Z		None	J	T					
275. -First Eagle Global Inst CL I	B	Int./Div.	K	T					
276. -Schwab NY AMT Tax-Free Money Fund	A	Int./Div.	J	T					
277. UTMA #3 (H)									
278. -Aberdeen Emerging Markets Instl	A	Int./Div.	J	T					
279. -Wisdom Tree LargeCap Dividend Fund	A	Int./Div.	J	T					
280. -WisdomTree MidCap Dividend	A	Int./Div.	J	T					
281. -Prudential Jennison Natural Resources Fund CI Z		None	J	T					
282. -First Eagle Global Inst CL I	A	Int./Div.	J	T					
283. -Templeton Global Bond Fund CI A	B	Int./Div.	K	T					
284. -Schwab NY AMT Tax-Free Money Fund		None	J	T					
285. UTMA #4 (H)									
286. -Aberdeen Emerging Markets Instl	A	Int./Div.	J	T					
287. -Berkshire Hathaway Inc Del B		None	L	T					
288. -Wisdom Tree LargeCap Dividend Fund	A	Int./Div.	J	T					
289. -Prudential Jennison Natural Resources Fund CI Z		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 21 of 33

Name of Person Reporting

Engelmayer, Paul A.

Date of Report

04/10/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. -First Eagle Global Inst CL I	B	Int./Div.	K	T					
291. -Schwab NY AMT Tax-Free Money Fund		None	J	T					
292. 529 Plan #1 (H)									
293. -New York Saves Growth Stock Index Portfolio		None	J	T	Sold (part)	12/02/14	K	E	
294. -New York Saves Interest Accumulation Index Portfolio		None	L	T	Buy (add'l)	12/02/14	J		
295. -New York Saves Value Stock Index Portfolio		None	J	T	Sold (part)	12/02/14	K	E	
296. -New York Saves Inflation Protected Portfolio		None			Sold	12/02/14	J		
297. -New York Saves Bond Market Index Portfolio		None	K	T	Buy	12/02/14	K		
298. 529 Plan #2 (H)									
299. -New York Saves Interest Accumulation Portfolio		None	M	T	Buy (add'l)	07/15/14	M		
300. -New York Saves Mid Cap Stock Index Portfolio		None	K	T	Buy (add'l)	07/15/14	J		
301. -New York Saves Inflation Protected Portfolio		None			Sold	07/15/14	M	D	
302. -New York Saves Developed Markets Income Portfolio		None	K	T	Sold (part)	07/15/14	J	A	
303. - New York Saves Aggressive Growth Portfolio		None	M	T	Buy (add'l)	07/15/14	J		
304. 529 Plan #3 (H)									
305. -New York Saves Mid Cap Stock Index Portfolio		None	K	T	Sold (part)	07/15/14	J	A	
306. -New York Saves Inflation Protected Portfolio		None			Sold	07/15/14	L	E	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 22 of 33

Name of Person Reporting

Engelmayer, Paul A.

Date of Report

04/10/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. -New York Saves Developed Markets Income Portfolio		None	K	T	Buy (add'l)	07/15/14	J		
308. -New York Interest Accumulation Portfolio		None	M	T	Buy (add'l)	07/15/14	L		
309. -New York Aggressive Growth Portfolio		None	K	T	Buy (add'l)	07/15/14	J		
310. 529 Plan #4 (H)									
311. -New York Saves Growth Stock Index Portfolio		None	K	T	Sold (part)	12/02/14	K	D	
312. -New York Saves Interest Accumulation Portfolio		None	L	T	Buy (add'l)	12/02/14	K		
313. -New York Saves Value Stock Index Portfolio		None	K	T	Sold (part)	12/02/14	K	D	
314. -New York Saves Inflation Protected Portfolio		None			Sold	12/02/14	K	B	
315. -New York Saves Bond Market Index Portfolio		None	K	T	Buy	12/02/14	K		
316. Teachers Retirement System of NY 403(b) #1 (H)									
317. -Diversified Equity		None	M	T					
318. -Stable Value		None	L	T					
319. -International Equity		None	L	T					
320. -Inflation Protection		None	L	T					
321. Citigroup Checking Account		None	M	T					
322. Chase Checking Account		None	K	T					
323. Westchester County Real Estate Parcel #1 Westchester Co., NY		None	N	W					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 23 of 33

Name of Person Reporting

Engelmayer, Paul A.

Date of Report

04/10/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324. Westchester County Real Estate Parcel #2 Westchester Co., NY		None			Sold	03/19/14	K		
325. Estate #2 - Brokerage A (H)									
326. -Berkshire Hathaway Inc Del Cl A		None			Sold	01/30/14	M	G	
327. -Berkshire Hathaway Inc Del Cl B New		None			Sold	01/30/14	M	G	
328. -Port Authority New York and New Jersey Bds (73358TBV2)	A	Int./Div.			Redeemed	01/15/14	K		
329. -New York St Dorm Auth Revs Rev Bds (64983Q3U1)	A	Int./Div.			Sold	01/31/14	K		
330. -Port Auth NY and NJ Rev Bds Ser. Cons (73358TBN0)	A	Int./Div.			Redeemed	01/15/14	K		
331. -Fidelity Municipal Money Market (y)									
332. Estate #2 - Brokerage B (H)									
333. -Dreyfus Opportunistic Small Cap Fund	E	Int./Div.	M	T					
334. -Dreyfus Technology Growth Fund-Cl A	B	Int./Div.	J	T					
335. -Dynamic Total Return Fund-Class A	A	Int./Div.	M	T					
336. Estate #2 - Brokerage C (H) (y)									
337. Estate #2 - Checking Account A (H)									
338. -Chase Checking Account		None	L	T					
339. Estate #2 - Real Estate Parcels (H)									
340. -Westchester Co Real Estate Parcel #1 Westchester Co, NY		None	K	W					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 24 of 33

Name of Person Reporting

Engelmayer, Paul A.

Date of Report

04/10/2015

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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341. -Westchester Co Real Estate Parcel #4 Westchester Co, NY		None	N	W					
342. -Westchester Co Real Estate Parcel #5 Westchester Co, NY		None	O	W					
343. -Westchester Co Real Estate Parcel #6 Westchester Co, NY		None	N	W					
344. -Westchester Co Real Estate Parcel #7 Westchester Co, NY		None	K	W					
345. Estate #2 - Real Estate Investment Partnerships (H)									
346. TC Albuquerque - Private Real Estate Partnership		None	M	T					
347. Brokerage #7 (H)									
348. -CapitalSpring Direct Lending Partners, LP (Priv Equity)	E	Distribution	O	T					
349. Brokerage #8 (H)									
350. -Baron Growth	A	Int./Div.	K	T					
351. -BBH Core Select N	B	Int./Div.	L	T	Buy (add'l)	03/17/14	K		
352. -Berkshire Hathaway Inc Del B		None	M	T	Sold (part)	11/03/14	K	E	
353. -BlackRock Equity Dividend I		None			Sold	03/17/14	K	D	
354. -BlackRock Global Allocation I	D	Int./Div.	M	T					
355. -East Hampton Twn N Ypub Impt Go Bds Ser. (272731XQ8)	A	Int./Div.	J	T					
356. -Fidelity Contrafund	C	Int./Div.	K	T					
357. -Fidelity Low-Priced Stock Fund	B	Int./Div.	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 25 of 33

Name of Person Reporting

Engelmayer, Paul A.

Date of Report

04/10/2015

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. -Ivy Asset Strategy Cl I	E	Int./Div.	M	T					
359. -New York N Y City Transitional Fin (64971K3T1)	A	Int./Div.	K	T					
360. -New York St Go Bds Ser. 2005 A (649787K80)	B	Int./Div.	K	T					
361. -Royce Total Return Fund	B	Int./Div.	K	T					
362. -SPDR S&P 500 ETF	A	Int./Div.	K	T					
363. -SPDR S&P Midcap 400 ETF Tr Unit	A	Int./Div.	K	T					
364. -St. Louis Mo Brd Ed Go Dbs Mo Direct (791639KS5)	A	Int./Div.			Matured	04/01/14	J	A	
365. -St. Louis Mo Brd Ed Go Dbs Mo Direct (791639LB1)	A	Int./Div.			Matured	04/01/14	J	A	
366. -Templeton Global Bond Fund Advisor Class	C	Int./Div.			Sold	12/23/14	L		
367. -Triborough Brdg & Tuml Auth N Y Revs 05 (896029F91)	B	Int./Div.			Redeemed	03/13/14	K		
368. -Tweedy Browne Global Value Fund	B	Int./Div.	K	T					
369. -Vanguard Intermediate Term Tax Exempt	B	Int./Div.			Sold	07/15/14	L		
370. -Vanguard Limited Term Tax Exempt Adml Sh	A	Int./Div.			Sold	07/15/14	K		
371. -Schwab NY AMT Tax-Free Money Fund	A	Int./Div.	J	T					
372. IRA #7 (H)									
373. -Aberdeen Emerging Markets Instl	D	Int./Div.	M	T					
374. -BBH Core Select N	B	Int./Div.	L	T	Buy (add'l)	03/17/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 26 of 33

Name of Person Reporting

Engelmayer, Paul A.

Date of Report

04/10/2015

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375.					Sold (part)	11/21/14	K	E	
376. -First Eagle Global Inst Cl I	D	Int./Div.	N	T					
377. -Scout Unconstrained Bond Instl	C	Int./Div.	N	T					
378. -Schwab Advisor Cash Reserves Premier Sweep	A	Int./Div.	J						
379. IRA #8 (H)									
380. -BBH Core Select N	B	Int./Div.	L	T	Buy (add'l)	03/17/14	J		
381.					Sold (part)	11/21/14	J	A	
382. -First Eagle Global Inst Cl I	C	Int./Div.	L	T					
383. -Scout Unconstrained Bond Instl	A	Int./Div.	L	T					
384. Trust #3 (H)									
385. -SPDR S&P 500 ETF Trust	A	Int./Div.	K	T	Buy (add'l)	07/07/14	J		
386. -SPDR S&P Midcap 400 ETF Trust	A	Int./Div.	K	T	Buy (add'l)	02/12/14	J		
387. -Spartan Total Market Index Fid Advantage Class	A	Int./Div.	K	T	Buy (add'l)	11/20/14	J		
388. -Fidelity Contrafund	B	Int./Div.	K	T					
389. -Fidelity Low Priced Stock	B	Int./Div.	K	T	Buy (add'l)	02/10/14	J		
390.					Buy (add'l)	03/13/14	J		
391.					Buy (add'l)	03/14/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 27 of 33

Name of Person Reporting

Engelmayer, Paul A.

Date of Report

04/10/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392.					Sold (part)	11/20/14	J	A	
393. -BBH Core Select N	A	Int./Div.	J	T					
394. -Baron Growth Fund Retail Shares	A	Int./Div.	J	T					
395. -BlackRock Equity Dividend Instl		None			Sold	03/13/14	J	C	
396. -BlackRock Global Allocation Fd Instl	B	Int./Div.	J	T	Sold (part)	10/16/14	J	B	
397. -Ivy Asset Strategy Fund Cl I	B	Int./Div.	J	T	Sold (part)	10/16/14	J	C	
398.					Sold (part)	11/20/14	J	A	
399. -PIMCO Total Return Instl (y)									
400. -Royce Total Return Fd Investment Cl	A	Int./Div.	J	T					
401. -Templeton Global Bond Fund Advisor Class (y)									
402. -Tweedy Browne Global Value Fund	A	Int./Div.	K	T	Buy (add'l)	11/20/14	J		
403. -Vanguard Intermediate Tax Exempt Admiral	A	Int./Div.	K	T	Buy (add'l)	07/17/14	K		
404. -Vanguard Limitd Term Tax Exempt Admiral	A	Int./Div.	J	T					
405. -Fidelity Municipal Money Market	A	Int./Div.	K	T					
406. -Baron Growth Fund Instl Class	A	Int./Div.	J	T	Buy	03/13/14	J		
407. -BlackRock Strategic Muni Opportunities I	A	Int./Div.	K	T	Buy	05/23/14	K		
408.					Buy (add'l)	11/07/14	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409. -Deutsche Intermediate Tax/Amt Free Fd Inst	A	Int./Div.	K	T	Buy	09/24/14	K		
410. -Spartan 500 Index FD Advantage Class	A	Int./Div.	K	T	Buy	10/16/14	K		
411. Trust #4 (H)									
412. -Chase Checking Account		None	J	T					
413. Westchester Co Real Estate Parcel #3 Westchester Co, NY (x)		None	M	W					
414. Westchester Co Real Estate Parcel #6 Westchester Co, NY (x)		None	N	W					
415. UTMA (#5) (H) (x)									
416. -SPDR Series Trust Barclays 1-3 Month T-Bill (x)		None	J	T	Buy	05/16/14	J		
417. -Wisdom Tree LargeCap Dividend Fund (x)	A	Int./Div.	J	T	Buy	05/16/14	J		
418. -PIMCO Short-Term Fund Instl (x)	A	Int./Div.			Buy	05/20/14	J		
419.					Sold	10/09/14	J		
420. -JPMorgan Strategic Income Opports. Sel. (x)	A	Int./Div.	J	T	Buy	10/10/14	J		
421. -Schwab Advisor Cash Reserves Premier Sweep (x)		None	J	T					
422. UTMA (#6) (H) (x)									
423. -First Trust Dow Jones Internet Index (x)		None	J	T					
424. -SPDR Series Trust Barclays 1-3 Month T-Bill (x)		None	J	T	Buy	05/16/14	J		
425. -Wisdom Tree LargeCap Dividend Fund (x)	A	Int./Div.	J	T	Buy	05/16/14	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426. -PIMCO Short-Term Fund Instl (x)	A	Int./Div.			Buy	05/20/14	J		
427.					Sold	10/09/14	J		
428. -JPMorgan Strategic Income Opports. Sel. (x)	A	Int./Div.	J	T	Buy	10/10/14	J		
429. -Schwab Advisor Cash Reserves Premier Sweep (x)		None	J	T					
430. Trust #5 (H) (x)									
431. -Aberdeen Emerging Markets Instl (x)	A	Int./Div.	J	T	Buy (add'l)	03/17/14	J		
432. -BBH Core Select N (x)	A	Int./Div.	K	T	Buy (add'l)	03/17/14	J		
433. -Berkshire Hathaway Inc Del B (x)		None	K	T					
434. -BlackRock Strategic Income Opps Instl (x)	A	Int./Div.	K	T	Buy	10/10/14	K		
435. -Credit Suisse Floating Rate Hi Inc (x)	A	Int./Div.	K	T	Buy	04/15/14	K		
436. -First Eagle Global Inst CL I (x)	C	Int./Div.	K	T	Buy (add'l)	03/17/14	J		
437. -GRT Value Fund Advisor Class (x)	A	Int./Div.	J	T					
438. -iShares Tr S&P 400 BARRA Midcap Growth (x)	A	Int./Div.	J	T					
439. -PIMCO Unconstrained Bond Instl CL (x)	A	Int./Div.			Sold	10/09/14	K		
440. -Prudential Jennison Natural Resources Fund Cl Z (x)		None	J	T					
441. -Schwab NY AMT Tax-Free Money Fund (x)	A	Int./Div.	K	T					
442. -Scout Unconstrained Bond Instl (x)	A	Int./Div.	J	T	Buy (add'l)	03/17/14	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

443. -Templeton Global Bond Fund Cl A (x)	A	Int./Div.	J	T	Buy (add'l)	03/17/14	J		
444. -Virtus Premium AlphaSector Cl I (x)	C	Int./Div.	J	T					
445. -Voya Senior Income Cl A (x)	A	Int./Div.			Sold	04/14/14	K	D	
446. -WisdomTree LargeCap Dividend Fund (x)	A	Int./Div.	K	T					
447. -WisdomTree MidCap Dividend Fund (x)	A	Int./Div.	J	T					
448. Trust #6 (H) (x)									
449. -Aberdeen Emerging Markets Instl (x)	A	Int./Div.	J	T	Buy (add'l)	03/17/14	J		
450. -BBH Core Select N (x)	A	Int./Div.	K	T	Buy (add'l)	03/17/14	J		
451. -Berkshire Hathaway Inc Del B (x)		None	K	T					
452. -BlackRock Strategic Income Opps Instl (x)	A	Int./Div.	K	T	Buy	10/10/14	K		
453. -Credit Suisse Floating Rate Hi Inc (x)	A	Int./Div.	K	T	Buy	04/15/14	K		
454. -First Eagle Global Inst CL I (x)	C	Int./Div.	L	T	Buy (add'l)	03/17/14	J		
455. -GRT Value Fund Advisor Class (x)	A	Int./Div.	J	T					
456. -iShares Tr S&P 400 BARRA Midcap Growth (x)	A	Int./Div.	J	T					
457. -PIMCO Unconstrained Bond Instl CL (x)	A	Int./Div.			Sold	10/09/14	K		
458. -Prudential Jennison Natural Resources Fund Cl Z (x)		None	J	T					
459. -Schwab NY AMT Tax-Free Money Fund (x)	A	Int./Div.	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
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3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

460. -Scout Unconstrained Bond Instl (x)	A	Int./Div.	J	T	Buy (add'l)	03/17/14	J		
461. -Templeton Global Bond Fund Cl A (x)	A	Int./Div.	J	T	Buy (add'l)	03/17/14	J		
462. -Virtus Premium AlphaSector Cl I (x)	C	Int./Div.	K	T					
463. -Voya Senior Income Cl A (x)	A	Int./Div.			Sold	04/14/14	K	D	
464. -WisdomTree LargeCap Dividend Fund (x)	A	Int./Div.	K	T					
465. -WisdomTree MidCap Dividend Fund (x)	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 32 of 33

Name of Person Reporting

Engelmayer, Paul A.

Date of Report

04/10/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Re. Part II, Agreements - "2009 WilmerHale partnership agreement re. interest earned on retained capital of former partners (y)".

This agreement was dissolved in mid-December, 2014. It is no longer in force, and will not be listed on future Financial Disclosure Reports.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Paul A. Engelmayer**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544