

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Simon, Michael H.	2. Court or Organization U.S. District Court, Oregon	3. Date of Report 04/06/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 1327 United States Courthouse 1000 Southwest Third Avenue Portland, OR 97204		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Director	Classroom Law Project
2.	Director	Oregon Law Institute
3.	Member (minority interest; no control or managerial responsibility)	Innsmouth Partners, L.L.C. (motion picture production company based in Hillsboro, OR; holds no investments)
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2014	Samuel French, Inc. (theatrical royalties for "The Odd Couple")	\$17,781.00
2. 2014	Tams-Witmark Music Library, Inc. (theatrical royalties for "Promises, Promises")	\$384.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2014	U.S. House of Representatives (spouse's wages)
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. American Israel Education Foundation (AIEF)	August 2-10, 2014	Israel (Jerusalem, Tel Aviv, and Rosh Pina)	To educate members of Congress (my spouse) about the U.S.-Israel relationship	Transportation, lodging, food, tour guide, and security
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. U S Bank NA (checking and savings)	A	Interest	N	T					
2. OnPoint Community Credit Union (checking and savings)	A	Interest	K	T					
3. Northwestern Mutual Life Ins. (whole life insurance cash value)	C	Dividend	M	T					
4. Gold coins		None	L	T					
5. Innsmouth Partners, L.L.C. (Part VIII)		None	J	U					
6. Bonamici for Congress (spouse's loan to her campaign) (Part VIII)		None	M	U					
7. Vanguard Brokerage Account (H)									
8. -- Vanguard 500 Index mut. fund	C	Dividend	M	T	Sold (part)	06/13/14	L	D	
9. -- Vanguard Capital Opportunity mut. fund	C	Dividend	M	T					
10. -- Vanguard Emerging Markets Stock Index mut. fund	B	Dividend	L	T					
11. -- Vanguard Energy mut. fund	D	Dividend	L	T					
12. -- Vanguard European Stock Index mut. fund	B	Dividend	L	T					
13. -- Vanguard Global ex-U.S. Real Estate Index mut. fund	C	Dividend	M	T					
14. -- Vanguard Health Care mut. fund	E	Dividend	M	T					
15. -- Vanguard Inflation-Protected Securities mut. fund	D	Dividend	O	T	Buy (add'l)	06/13/14	N		
16. -- Vanguard PRIMECAP mut. fund	D	Dividend	M	T	Sold (part)	06/13/14	N	E	
17. -- Vanguard REIT Index mut. fund	D	Dividend	M	T	Sold (part)	06/13/14	L	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. Ameriprise Brokerage Account (H)									
19. -- Ameriprise cash holding account	A	Interest	M	T					
20. -- Oregon State Dept. of Admin. Services municipal bond	C	Interest	M	T					
21. -- Active Portfolio Multi Manager Value Class A mut. fund	D	Dividend	M	T	Buy	01/23/14	L		
22.					Sold (part)	04/15/14	J	A	
23.					Sold (part)	07/10/14	J	A	
24.					Buy (add'l)	10/21/14	J		
25. -- Blackrock High Yield Bond Investor Class A mut. fund	B	Dividend			Sold	07/10/14	K	B	
26. -- Columbia Equity Value Class A mut. fund					Sold	01/23/14	L	E	
27. -- Columbia Large Growth Quantitative Class A mut. fund	D	Dividend	M	T	Sold (part)	01/23/14	J	A	
28.					Buy (add'l)	04/15/14	J		
29.					Sold (part)	07/10/14	J	A	
30. -- Columbia Select Large Cap Growth Class A mut. fund	E	Dividend	M	T	Sold (part)	01/23/14	J	C	
31.					Buy (add'l)	04/15/14	J		
32.					Sold (part)	07/10/14	J	A	
33.					Sold (part)	10/20/14	J	A	
34. -- Columbia Small Cap Core Class A mut. fund	C	Dividend	L	T	Buy (add'l)	04/15/14	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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35.					Buy (add'l)	10/21/14	J		
36. -- Deutsche Global Real Estate Sec. Class A mut. fund (X) (Part VIII)	B	Dividend	K	T	Buy (add'l)	01/23/14	J		
37.					Sold (part)	04/15/14	J	A	
38. -- Goldman Sachs Commodity Strategy Class A mut. fund					Sold	04/15/14	K	B	
39. -- Goldman Sachs Mid Cap Value Class A mut. fund					Sold (part)	01/23/14	J	A	
40.					Sold (part)	07/10/14	J	A	
41.					Sold	10/20/14	K	D	
42. -- JPMorgan Strategic Income Opptys Class A mut. fund	A	Dividend	K	T	Buy (add'l)	07/10/14	J		
43.					Sold (part)	10/20/14	J	A	
44. -- MFS Emerging Markets Debt Class A mut. fund	B	Dividend	K	T	Buy (add'l)	01/23/14	J		
45.					Sold (part)	04/15/14	J	A	
46. -- MFS International Value Class A mut. fund	B	Dividend	L	T	Buy (add'l)	01/23/14	J		
47.					Buy (add'l)	04/15/14	J		
48.					Buy (add'l)	10/20/14	J		
49. -- MFS Value Class A mut. fund	D	Dividend	M	T	Sold (part)	01/23/14	J	A	
50.					Sold (part)	07/10/14	J	A	
51.					Buy (add'l)	10/20/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. -- Oppenheimer International Growth Class A mut. fund	A	Dividend	L	T	Buy (add'l)	01/24/14	J		
53.					Buy (add'l)	04/15/14	J		
54.					Buy (add'l)	07/10/14	J		
55.					Buy (add'l)	10/20/14	J		
56. -- Prudential Jennison Mid Cap Growth Class A mut. fund	C	Dividend	K	T	Buy (add'l)	04/15/14	J		
57. -- Wells Fargo Advantage Emerging Mkts Equity Class A mut. fund	A	Dividend	L	T	Buy (add'l)	01/23/14	K		
58.					Sold (part)	04/15/14	J	A	
59.					Buy (add'l)	10/20/14	J		
60. -- Wells Fargo Advantage Municipal Bond Class A mut. fund (Part VIII)	C	Dividend	L	T	Sold (part)	01/23/14	J	A	
61.					Sold (part)	04/15/14	J	A	
62.					Buy (add'l)	07/10/14	J		
63.					Sold (part)	10/20/14	J	A	
64. -- Wells Fargo Advantage Short Term Municipal Bond Class A mut. fund	A	Dividend	K	T	Buy (add'l)	01/23/14	J		
65.					Buy (add'l)	07/10/14	J		
66.					Sold (part)	10/20/14	J	A	
67. -- Wells Fargo Advantage Special Mid Cap Value Class A mut. fund	C	Dividend	K	T	Buy	10/20/14	K		
68. -- Western Asset Intern. Term Municipal Class A mut. fund	C	Dividend	M	T	Sold (part)	01/23/14	J	A	

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69.					Sold (part)	04/15/14	J	A	
70.					Buy (add'l)	07/10/14	K		
71.					Sold (part)	10/20/14	J	A	
72. -- Franklin Templeton Growth Allocation Class A mut. fund	A	Dividend	K	T	Sold (part)	06/05/14	K	D	
73. -- Van Eck International Investors Gold Class A mut. fund					Sold	06/05/14	K	A	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Part I, line 3 and Part VII, Line 5. Innsmouth Partners, L.L.C. is a movie production company based in Hillsboro, OR. It holds no investments. My wife and I own a minority interest and exercise no control or managerial responsibility.
2. Part VII, Line 6. On December 22, 2014, the Bonamici for Congress campaign made a partial repayment in the amount of \$50,000 to my spouse on her personal loan to the campaign.
3. Part VII, Line 36. Mutual fund name change only. On August 8, 2014, the DWS RREEF Global Real Estate Securities mutual fund (listed on 2013 Amended Report, Part VII, Line 33) changed its name to Deutsche Global Real Estate Securities mutual fund.
4. Part VII, Line 60. Correction to name of mutual fund only. The word "Bond" has been added after "Municipal" to the name of this fund (listed on 2013 Amended Report, Part VII, Line 56).

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Michael H. Simon**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
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Washington, D.C. 20544