

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Ginsburg, Ruth B.	2. Court or Organization Supreme Court of the United States	3. Date of Report 08/16/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Associate Justice	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address Supreme Court of the United States One First Street, NE Washington, DC 20543		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Trustee	Trust Article Fourth U/W Martin D. Ginsburg
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 13

Name of Person Reporting

Ginsburg, Ruth B.

Date of Report

08/16/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2/6-2/7	Stanford University Rathbun Visiting Fellow	\$25,000.00
2. 7/3-7/9	South Texas College of Law Study Abroad Teacher in Malta	\$2,765.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Virginia Military Institute and Washington & Lee University School of Law	January 31 - February 1, 2017	Lexington, VA	Participant in conversational program for 20th anniversary of U.S. v. Virginia	transportation, lodging, food
2. Stanford University	February 6-7, 2017	Stanford, CA	Participant in Rathbun visiting fellowship program	transportation, lodging, food
3. University of Hawai'i School of Law	February 8-12, 2017	Honolulu, HI	Participant in jurist-in-residence program	transportation, lodging, food
4. New York City Bar Association	May 8, 2017	New York, NY	Participant in annual RBG lecture program	transportation, lodging, food
5. Second Circuit	June 8-9, 2017	Mohonk Mountain, NY	Annual Judicial Conference	transportation, lodging, food

FINANCIAL DISCLOSURE REPORT

Page 3 of 13

Name of Person Reporting

Ginsburg, Ruth B.

Date of Report

08/16/2018

6.	South Texas College of Law	July 3-8, 2017	Valletta, Malta	Teacher for study abroad program	transportation, lodging, food
7.	World Justice Project	July 9-11, 2017	The Hague, Netherlands	Participant in World Justice Forum conversational program	transportation, lodging, food
8.	Utah State Bar	July 28, 2017	Sun Valley, ID	Participant in conversational program at Utah State Bar Summer Convention	transportation, lodging, food
9.	Aspen Institute	July 29-August 1, 2017	Aspen, CO	Participant in Aspen Institute McCloskey Series program	transportation, lodging, food
10.	Roosevelt University	September 11, 2017	Chicago, IL	Participant in conversational program	transportation, lodging, food
11.	Montclair State University	September 23, 2017	Montclair, NJ	Participant in Merchant of Venice round table discussion and performance program	transportation, lodging, food
12.	92nd Street Y	September 26, 2017	New York, NY	Participant in conversational program	transportation, lodging, food
13.	Second Circuit	September 27-28, 2017	New York, NY	Participant in Hands Lecture and Devitt Award programs	transportation, lodging, food

FINANCIAL DISCLOSURE REPORT

Page 4 of 13

Name of Person Reporting

Ginsburg, Ruth B.

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08/16/2018

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Washington National Opera	Opera costume from my opening night performance in The Daughter of the Regiment	\$4,500.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 5 of 13

Name of Person Reporting

Ginsburg, Ruth B.

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08/16/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Checking account-JP Morhan formerlyMorgan Guaranty Trust Co., NYC		None	M	T					
2. TIAA CREF Retirement Accounts (H)									
3. Columbia University Retirement Plan (H)									
4. -TIAA Traditional	E	Interest	O	T	Distributed (part)	12/01/17	K		
5. NJ ABP Pre 1995 403(B) Plan (H)									
6. -TIAA Traditional	D	Interest	N	T	Distributed (part)	12/01/17	K		
7. Columbia University Voluntary Retirement (H)									
8. -TIAA Traditional	B	Int./Div.	L	T	Distributed (part)	12/01/17	J		
9. -TIAA Real Estate	D	Int./Div.	L	T	Distributed (part)	12/01/17	J		
10. -CREF Stock R3	D	Int./Div.	L	T	Distributed (part)	12/01/17	J		
11. -CREF Inf Linked Bond R3	A	Int./Div.	J	T	Distributed (part)	12/01/17	J		
12. TIAA CREF IRA #1 (H))									
13. -TIAA Traditional	A	Interest	J	T	Distributed (part)	12/01/17	J		
14. -CREF Social Choice R1	A	Int./Div.	J	T	Distributed (part)	12/01/17	J		
15. -TIAA Real Estate	A	Int./Div.	J	T	Distributed (part)	12/01/17	J		
16. -CREF Bond Market R1	A	Interest	J	T	Distributed (part)	12/01/17	J		
17. -CREF Stock R1	A	Int./Div.	J	T	Distributed (part)	12/01/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 13

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Ginsburg, Ruth B.

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. -TC Social Change EQ Ret	A	Int./Div.	J	T	Distributed (part)	12/01/17	J		
19. -TC Intl EQ Ret	A	Int./Div.	J	T	Distributed (part)	12/01/17	J		
20. -TC Mid Cap Val Ret	A	Int./Div.	J	T	Distributed (part)	12/01/17	J		
21. -TC Lg Cap Val IDX Ret	A	Int./Div.	J	T	Distributed (part)	12/01/17	J		
22. -TC Intl Eq Idx Ret	A	Int./Div.	J	T	Distributed (part)	12/01/17	J		
23. -TC Sm Cap Eq Ret	A	Int./Div.	J	T	Distributed (part)	12/01/17	J		
24. -TC Intl Lnkd Bond Ret	A	Interest	J	T	Distributed (part)	12/01/17	J		
25. -TC Bond Ret	A	Interest	J	T	Distributed (part)	12/01/17	J		
26. TIAA IRA # 2 (H)									
27. -TIAA Traditional	A	Interest	J	T	Distributed (part)	12/01/17	J		
28. -CREF Social Choice R1	A	Int./Div.	J	T	Distributed (part)	12/01/17	J		
29. -TIAA Real Estate	A	Int./Div.	J	T	Distributed (part)	12/01/17	J		
30. -CREF Bond Market R1	A	Interest	J	T	Distributed (part)	12/01/17	J		
31. -CREF Stock R1	A	Int./Div.	J	T	Distributed (part)	12/01/17	J		
32. -TC Intl EQ Ret	A	Int./Div.	J	T	Distributed (part)	12/01/17	J		
33. -TC Social Change EQ Ret	A	Int./Div.	J	T	Distributed (part)	12/01/17	J		
34. -TC Mid Cap Val Ret	A	Int./Div.	J	T	Distributed (part)	12/01/17	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 7 of 13

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Ginsburg, Ruth B.

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35. -TC Lg Cap Val IDX Ret	A	Int./Div.	J	T	Distributed (part)	12/01/17	J		
36. -TC Intl Eq Idx Ret	A	Int./Div.	J	T	Distributed (part)	12/01/17	J		
37. -TC Sm Cap Eq Ret	A	Int./Div.	J	T	Distributed (part)	12/01/17	J		
38. -TC Infl Lnkd Bond Ret	A	Interest	J	T	Distributed (part)	12/01/17	J		
39. -TC Bond Ret	A	Interest	J	T	Distributed (part)	12/01/17	J		
40. Fried Frank Pension (commenced on death of spouse)	G	Distribution	O	T					
41. JP Morgan Equity Income	B	Dividend	M	T					
42. JP Morgan Market Expansion	B	Dividend	M	T					
43. JP Morgan Investment Cash	A	Interest	K	T					
44. JP Morgan Tax Aware Equity	B	Dividend	N	T					
45. JP Morgan Strategic Income Opportunity	D	Dividend	M	T	Sold (part)	12/19/17	M	A	
46. JP Morgan Intrepid European Fund	C	Dividend	M	T					
47. JP Morgan Equity Focus Fund	A	Dividend	N	T					
48. JP Morgan Unconstrained Debt Fund formerly Multi Sector Income Fund	D	Dividend	M	T					
49. SPDR S&P 500 ETF Trust	D	Dividend	N	T					
50. ISHARES Core S&P Mid Cap ETF	C	Dividend	M	T					
51. ISHARES MSCI EAFE Index Fund	B	Dividend	L	T					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 13

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Ginsburg, Ruth B.

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52. JPM Global Res Enh Index Fund	C	Dividend	M	T					
53. DEUTSCHE X-TRACKERSMSCI EAF	B	Dividend	L	T					
54. DEUTSCHE X-TRACKERS MSCI EUR	B	Dividend	L	T					
55. ISHARES MSCI JAPAN INDEX FUND	A	Dividend	K	T					
56. Trust Article 4th u/w/o Martin D. Ginsburg (beneficiary, trustee) (H)									
57. -JP Morgan Chase Checking					Distributed	05/30/17	J		
58. Detroit MI SWR DISP 5.5% , 07/01/2036	A	Interest	L	T					
59. Arnold, MO Sales Tax Incr Rev 7.75 %, 5/1/2027	A	Interest	K	T					
60. Milwaukee WI Swrage Rev 5%, 6/1/2019	A	Interest	L	T					
61. North Carolina Eastern Muni PWR Agency4.5%, 1/1/2024	A	Interest	L	T					
62. Wichita, KS WTR & SWR Util Rev 5%, 10/1/2024	A	Interest	L	T					
63. Davis Cty UT Sch Dist ,4% 6/01/18	C	Interest	L	T	Buy	02/14/17	L		
64. MA ST Cons Ln Pub;ic IMP 5.5 %, 8/1/18	B	Interest	L	T	Buy	01/20/17	L		
65. PA ST Second Series, 5%, 4/15/23	C	Interest	L	T	Buy	01/05/17	L		
66. FL ST Bd of Educ Lottery Rev , 5%,8/1/18	C	Interest	L	T	Buy	02/08/17	L		
67. Loudon Cty VA Eco Dev 5%, 11/12/2015	B	Interest	K	T	Buy	01/05/17	K		
68. Montgomery Cnty TN 5%, 4/01/21	B	Interest	K	T	Buy	02/06/17	K		

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FINANCIAL DISCLOSURE REPORT

Page 9 of 13

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Ginsburg, Ruth B.

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69. WI ST Ureref Ser I, 5%, 05/01/21	B	Interest	K	T	Buy	02/09/17	K		
70. Houston TX UtilSys 1st Lien Ser C, 4%, 5/15/17	B	Interest	K	T	Buy	01/04/17	K		
71. Seattle WA Mun iLt& Pwr, Ser B, 5%, 4/1/22	C	Interest	L	T	Buy	01/03/17	L		
72. WA ST Ref Ser R, 5%, 8/1/22	C	Interest	L	T	Buy	01/31/17	L		
73. Laredo TX, Ref Ltd Tax, 5%, 2/15/23	C	Interest	L	T	Buy	01/11/17	L		
74. Pima Cty AZ Sewr 5%, 07/01/23	C	Interest	L	T	Buy	01/12/17	L		
75. Birmingham AL Warrants Ser B, 5%, 03/01/24	C	Interest	K	T	Buy	01/30/17	K		
76. NH ST Ser A 5%, 3/1/25	C	Interest	L	T	Buy	01/09/17	L		
77. Met Govt Nashville & Davidson Cty, 5%, 7/1/25	B	Interest	K	T	Buy	02/06/17	K		
78. N TX ST Muni Wtr Dist 5%, 9/1/26	C	Interest	L	T	Buy	02/09/17	L		
79. Dist of Columbia, 5%, 6/1/27	C	Interest	L	T	Buy	01/12/17	L		
80. NY City NY Muni Wtr Fina, 5%, 6/15/28	C	Interest	L	T	Buy	02/14/17	L		
81. NY ST Dorm Auth Persnl Inc Tax 5%, 2/15/29	B	Interest	K	T	Buy	10/13/17	K		
82. Bernstein DiversifiedMuni Portfolio Fund	B	Int./Div.	L	T	Buy (add'l)	12/19/17	J		
83.					Buy (add'l)	10/02/17	J		
84. Calvert US Large Cap Core Responsible Index FD	B	Dividend	M	T	Buy (add'l)	7/3/17	J		
85.					Buy (add'l)	04/06/17	J		

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FINANCIAL DISCLOSURE REPORT

Page 10 of 13

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86. DFA US Sustainability Core Port	B	Dividend	M	T					
87. DFA US Social Core Equity 2	B	Dividend	L	T					
88. DFA Emerging MarketsSocial Core Equity	A	Dividend	L	T					
89. DFA Intl Social Core Equity	C	Dividend	M	T					
90. Franklin High Yield Tax Free Income	B	Int./Div.			Sold	04/15/17	L	A	
91. Nuveen High Yield Muni Bond	C	Interest	K	T	Buy	04/05/17	K		
92. Pimco Emerging Local Bond	B	Int./Div.	K	T					
93. T Rowe Price Summit Muni Intermediate	B	Int./Div.	L	T					
94. Thornberg Intermed Muni Natl inst	B	Int./Div.	L	T					
95. Wells FargoUltra Short Term Muni Income	A	Int./Div.	L	T	Buy (add'l)	04/06/17	J		
96.					Buy (add'l)	12/29/17	J		
97. ISHARES TR Russell Mid Cap	A	Dividend	K	T					
98. ISHARES TR Russel 2000 Value	A	Dividend	K	T					
99. ISHARES TR MSCI EAFE Small Cap	B	Dividend	K	T					
100. SPDR SER TR Dow Jones REIT	B	Dividend	K	T					
101. TIAA Invesrment Cash		None	J	T					
102. TIAA Checking Cash		None	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 13

Name of Person Reporting

Ginsburg, Ruth B.

Date of Report

08/16/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. Wegoma 1974 Associates, New York, NY	A	Rent			Sold	11/15/17	M	G	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 13

Name of Person Reporting

Ginsburg, Ruth B.

Date of Report

08/16/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII item 56 Trust was created under article fourth of the will of Martin D. Ginsburg (spouse, deceased 6/27/10). Trust was not funded until July 31, 2013. Total distribution of Trust assets completed on May 30,2017.

Part I item 1 Trust was completely liquidated on May 30,2017.

Part V item 1 was received in January 2017 and was inadvertently omitted from the Calendar Year 2017 Report as originally filed.

FINANCIAL DISCLOSURE REPORT

Page 13 of 13

Name of Person Reporting

Ginsburg, Ruth B.

Date of Report

08/16/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Ruth B. Ginsburg**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544