

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Lance Sr, Alan G.	2. Court or Organization Court of Appeals for Veterans Claim	3. Date of Report 05/11/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 625 Indiana NW Ste 900 Washington D.C., 2004		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. President and Stockholder	Lance Land and Livestock, Ltd.
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1. 01/01/91	State of Idaho - Pension upon retirement after age 55
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2014	Rental income from parking space	\$900.00
2. 2014	Rental income from sublease	\$3,750.00
3. 2014	PERSI - State of Idaho - Pension upon retirement after age 55	\$22,554.40
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. American Legion National Convention	August 2014	Charlotte, North Carolina	Speaking Engagement	Travel, Lodging, Airfare
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1. _____

2. _____

3. _____

4. _____

5. _____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1. _____

2. _____

3. _____

4. _____

5. _____

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA #1 - Charles Schwab	C	Int./Div.	L	T					
2. -Schwab Money Market Fund	A	Interest	J	T					
3. -AT&T Inc Common Stock	A	Dividend	J	T	Buy (add'l)	08/20/14	J		
4. -Briggs & Stratton Corp Common Stock	A	Dividend	J	T					
5. -C S X Corp Common Stock	A	Dividend	J	T					
6. -GE Common Stock	A	Dividend	J	T					
7. -J M Smucker Company Common Stock	A	Dividend	J	T					
8. -Johnson & Johnson, Inc Common Stock	A	Dividend	J	T					
9. -Procter & Gamble Common Stock	A	Dividend	K	T					
10. -Snyder-Lance, Inc Common Stock	A	Dividend	J	T					
11. -The Southern Company Common Stock	A	Dividend	J	T					
12. Lance Land & Livestock, LTD.	B	Distribution	L	U					
13. -Cash, Land, and Buildings	B	Distribution	L	U					
14. Common Stock Parks America! Inc.		None	J	T					
15. IRA #2 - Vanguard		None	M	T					
16. -Emerging Mkts Stock Index		None	L	T					
17. -Health Care Fund Inv		None	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Life Investors: Cash Value Life Insurance		None	K	T					
19. ING ReliaStar Life Insurance		None	J	T					
20. IRA #3 - Edward Jones	E	Dividend	N	T					
21. -Model Core Plus Balanced Toward Income	E	Dividend	N	T					
22. Working Interest, Incorr Energy Group LLC, Kimball, NE	B	Royalty	J	W					
23. Public Employee Retirement System of Idaho (Y)									
24. Capital One Bank Accounts	A	Interest	L	T					
25. Union First Market Bank Accounts	A	Interest	J	T	Redeemed (part)	09/11/14	L		
26. Wells Fargo Bank Accounts	A	Interest	M	T					
27. Scott & Stringfellow Investment Account	A	Dividend	J	T					
28. -Mkt Vectrs Agribusn ETF	A	Dividend	J	T					
29. -Nuveen Energy MLP ETF	A	Dividend	J	T					
30. Idaho Central Credit Union	A	Interest	J	T	Redeemed (part)	09/17/14	K		
31. US Series I Bonds	A	Interest	J	T					
32. Gold		None	J	T					
33. Waddell & Reed	D	Dividend	M	T	Buy (add'l)	03/07/14	K		
34.					Buy (add'l)	09/16/14	L		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35.					Buy (add'l)	09/18/14	K		
36. -WR Advisors Core Investment A	A	Dividend	J	T	Buy (add'l)	03/10/14	J		
37.					Buy (add'l)	09/17/14	J		
38.					Buy (add'l)	09/19/14	J		
39. -WR Advisors Dividend Opportunities A	A	Dividend	J	T	Buy (add'l)	03/10/14	J		
40.					Buy (add'l)	09/17/14	J		
41.					Buy (add'l)	09/19/14	J		
42. -WR Advisors Energy A	A	Dividend	J	T	Buy (add'l)	03/10/14	J		
43.					Buy (add'l)	09/17/14	J		
44.					Buy (add'l)	09/19/14	J		
45. -Ivy Large Cap Growth A	A	Dividend	J	T	Buy (add'l)	03/10/14	J		
46.					Buy (add'l)	09/17/14	J		
47.					Buy (add'l)	09/19/14	J		
48. -Ivy Mid Cap Growth A	A	Dividend	J	T	Buy (add'l)	03/10/14	J		
49.					Buy (add'l)	09/17/14	J		
50.					Buy (add'l)	09/19/14	J		
51. -WR Advisors Science and Technology A	A	Dividend	J	T	Buy (add'l)	03/10/14	J		

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52.					Buy (add'l)	09/17/14	J		
53.					Buy (add'l)	09/19/14	J		
54. -Ivy Small Cap Growth A (Y)									
55. -Ivy Small Cap Value A	A	Dividend	J	T	Buy (add'l)	03/10/14	J		
56.					Buy (add'l)	09/17/14	J		
57.					Buy (add'l)	09/19/14	J		
58. -WR Advisors Tax-Managed Equity A	A	Dividend	K	T	Buy (add'l)	03/10/14	J		
59.					Buy (add'l)	09/17/14	J		
60.					Buy (add'l)	09/19/14	J		
61. -WR Advisors Value A	A	Dividend	J	T	Buy (add'l)	03/10/14	J		
62.					Buy (add'l)	09/17/14	J		
63.					Buy (add'l)	09/19/14	J		
64. -Ivy Micro Cap Growth A	A	Dividend	J	T	Buy (add'l)	03/10/14	J		
65.					Buy (add'l)	09/17/14	J		
66.					Buy (add'l)	09/19/14	J		
67. -Ivy Cundill Global Value A	A	Dividend	J	T	Buy (add'l)	03/10/14	J		
68.					Buy (add'l)	09/17/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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69.					Buy (add'l)	09/19/14	J		
70. -Ivy Global Equity Income A	A	Dividend	J	T	Buy (add'l)	03/10/14	J		
71.					Buy (add'l)	09/17/14	J		
72.					Buy (add'l)	09/19/14	J		
73. -Ivy International Core Equity A	A	Dividend	J	T	Buy (add'l)	03/10/14	J		
74.					Buy (add'l)	09/17/14	J		
75.					Buy (add'l)	09/19/14	J		
76. -WR Advisors Asset Strategy A	A	Dividend	K	T	Buy (add'l)	03/10/14	J		
77.					Buy (add'l)	09/17/14	J		
78.					Buy (add'l)	09/19/14	J		
79. -WR Advisors Continental Income A	A	Dividend	J	T	Buy (add'l)	03/10/14	J		
80.					Buy (add'l)	09/17/14	J		
81.					Buy (add'l)	09/19/14	J		
82. -Ivy High Income A	A	Dividend	J	T	Buy (add'l)	03/10/14	J		
83.					Buy (add'l)	09/17/14	J		
84.					Buy (add'l)	09/19/14	J		
85. -Ivy Limited - Term Bond A	A	Dividend	J	T	Buy (add'l)	03/10/14	J		

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2. Value Codes (See Columns C1 and D3)	Q -Appraisal U -Book Value	R -Cost (Real Estate Only) V -Other	S -Assessment W -Estimated	T -Cash Market	

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86.					Buy (add'l)	09/17/14	J		
87.					Buy (add'l)	09/19/14	J		
88. -WR Advisors Municipal Bond A	A	Dividend	J	T	Buy (add'l)	03/10/14	J		
89.					Buy (add'l)	09/17/14	J		
90.					Buy (add'l)	09/19/14	J		
91. -WR Advisors Municipal High Income A	A	Dividend	J		Buy (add'l)	03/10/14	J		
92.					Buy (add'l)	09/17/14	J		
93.					Buy (add'l)	09/19/14	J		
94. -WR Advisors Cash Management A	A	Dividend	J	T					
95. Wells Fargo Advisors	B	Dividend	M	T	Open	05/15/14	L		
96. -Ibbotson Strategic ETF - Conservative Growth & Income Fund	A	Dividend	M	T	Open	05/15/14	L		
97. -Working Interest, Plains Marketing LP, Houston, TX	A	Royalty			Buy	05/15/14	J		
98.					Sold	06/30/14	J		
99. First-Third Bank Account	A	Interest	J	T	Open	06/10/14	J		

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3. Value Method Codes (See Column C2)					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Part VII - Line 20 - 21: The funds in this account are not directed by the account holder. The account holder selects a model (Model selected: Model Core Plus Balanced Toward Income) and then has no control of securities or funds held in the account.
2. Part VII - Line 23: This retirement benefit is not an investment, but rather a vested pension plan. It is now included in Part III on this report. The pension became active in May 2009. Let this serve as an amendment to the prior reports.
3. Part VII - Line 25: There was a full redemption of a CD held by this bank, but there is still a small amount of funds held in an account at the bank.
4. Part VII - Line 30: There was a full redemption of a CD held by this bank, but there is still a small amount of funds held in an account at the bank.
5. Part VII - Lines 33-93: Cash was added to the Waddell & Reed Investment Account on three different dates throughout the year, and the cash was then allocated to each of the funds within the account on the dates listed as additional buys.
6. Part VII - Line 54: The Ivy Small Cap Growth A was reported on the last report. It was listed on the Year End Statement for 2013; however, it was listed with a \$0 balance, and it should not have been listed as an investment holding in 2013. Let this serve as an amendment to the prior reports.
7. Part VII - Lines 95-98: The funds in this account are not directed by the account holder. The account holder selects an investment strategy (Ibbotson Strategic ETF - Conservative Growth & Income Fund), and then has no control of securities or funds held in the account.
8. Part VII - Lines 97-98: This investment was temporarily part of the Wells Fargo Account, and the investment was bought and sold within the reporting period.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Alan G. Lance Sr

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544