

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) MONTALI, DENNIS	2. Court or Organization UNITED STATES BANKRUPTCY COURT	3. Date of Report 05/21/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) BANKRUPTCY JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address POST OFFICE BOX 7341 SAN FRANCISCO, CA. 94120-7341		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2. 10/20/15	BrokerReferral fee
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Bay Area Bankruptcy Forum	October 2 nd 8, 2015	San Jose, CA	Speaker at program	Mileage and parking
2. American College of Bankruptcy	January, 2015	Los Angeles, CA	Participant in Negotiation Competition	Airfare, hotel, meals
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	JP Morgan Chase	Mortgage	N
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Real estate - Alameda Cty (\$340,000;fractional int.;acq 2/01		None	N	W					
2. Acct. Union Bank of California, SF		None	J	T					
3. Acct. Wells Fargo Bank, Berkeley	A	Interest	J	T					
4. AMG Managers Total Return Fund	B	Dividend	M	T					Name change line 35
5. American Centy Global Fund	A	Dividend	J	T					
6. American Centy Gvt Inc	B	Int./Div.	M	T					
7. Audubon Cellars, Inc. common		None	J	U					
8. Automatic Data Processing common	D	Dividend	M	T					
9. Becton Dickenson	A	Dividend	J	T		03/17/15	J		Merger w/Care Fusion
10. Cardinal Health common	B	Dividend	L	T					
11. Care Fusion						03/17/15			Merger (Becton Dickenson)
12. Chemours					Spinoff (from line 20)	07/01/15	J		Sold 7/2/15
13. Cognizant Tech. Solutions		None	M	T					
14. Colgate Palmolive & Co common	C	Dividend	M	T					
15. Duke Energy	C	Dividend	L	T					
16. Dreyfus Active Midcap Fund A	A	Dividend	L	T					
17. Dreyfus Mid Cap Index Fund	B	Dividend	M	T	Sold (part)	09/14/15	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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18. Dreyfus Muni Bond Fund	A	Dividend	K	T					
19. Dreyfus Cal Tax Ex Fund	D	Dividend	M	T					
20. Du Pont, E I De Nemour common	A	Dividend	K	T					
21. EMC Corp	B	Dividend	M	T					
22. Exxon Mobil Corporation common	E	Dividend	N	T					
23. Federated High Yield Trust	A	Dividend	J	T					
24. Genuine Parts common	D	Dividend	M	T					
25. Gilead Sciences Inc		None	L	T					
26. Halyard Health		None			Sold	10/30/15	J		
27. Honeywell Int'l common	C	Dividend	L	T					
28. Intel	B	Dividend	L	T					
29. Ishares MSCI Japan	A	Dividend	K	T					
30. Johnson & Johnson	C	Dividend	M	T					
31. Kayne Anderson MLP					Buy	01/30/15	L		Sell 12/11/15 K
32. Kimberly Clark	C	Dividend	M	T					
33. Kraft Food Group	B	Dividend			Sold	03/25/15	L		
34. Managers PimcAo Bond Fund		Dividend							Now AMG Managers Total Ret

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Marisco Focus Fund					Sold	09/14/15	K		
36. Matthews Japan Fund Inc fka Japan Fund	A	Dividend	L	T					
37. McDonalds Corp common	D	Dividend	N	T					
38. Mid American Apt. Common	C	Dividend	L	T			L		
39. Nestle SA	C	Dividend	M	T					
40. Novo-Nordisk	C	Dividend	N	T					
41. Pentair	B	Dividend	L	T	Buy (add'l)	01/30/15	J		Partial sell 1/30 - J
42. Pepisco common	C	Dividend	M	T					
43. Pimco Commodity Real Ret Strat	A	Interest	J	T					
44. Proctor & Gamble Co. common	C	Dividend	L	T					
45. Purefunds ISE Cyber		Dividend	K	T	Buy	01/30/15	K		
46. Schlumberger	C	Dividend	M	T					
47. Schwab Government MMF	A	Interest	N	T					
48. Schwab Corp common	B	Dividend	M	T					
49. Schwab 1000 Fund	A	Dividend	K	T					
50. Select Sector - Materials	B	Dividend	L	T					
51. SPDR Energt Select	A	Dividend	J	T					

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52. S&P 500 Depository Receipts Unit)	C	Dividend	M	T					
53. SPDR Utilities Select	B	Dividend	L	T					
54. Stryker Corp	B	Dividend	M	T					
55. Sysco common	B	Dividend	K	T					
56. T. Rowe Price Int'l Stk Fund	B	Dividend	M	T					
57. T. Rowe Price Equity Fund	B	Dividend	L	T					
58. T. Rowe Price Growth	A	Dividend	M	T					
59. T. Rowe Price - New Horizon	A	Dividend	K	T					
60. Templeton Developing Mkt	A	Dividend	L	T					
61. Templeton Global Inc. Fund	C	Dividend	K	T					
62. Templeton Global Bond (fka Templeton Income Trust	A	Dividend	K	T					
63. 3M	D	Dividend	M	T					
64. United Parcel Service	C	Dividend	M	T					
65. USAA Short term fund	B	Interest	L	T					
66. USAA Long term fund	C	Interest	L	T					
67. USX-US Steel Corp	A	Dividend	J	T					
68. United Technologies	C	Dividend	M	T					

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69. Vanguard Intl Growth Fund	B	Dividend	L	T					
70. Vanguard 500 Fund	D	Dividend	N	T					
71. Vanguard Wellesley Inc. Fund	A	Interest	K	T					
72. Vanguard Intl. Equity Index (Eur. stk)	C	Dividend	L	T					
73. Vanguard Wellington Fund	A	Dividend	K	T					
74. Vanguard Fxd Inc. GNMA	B	Interest	L	T					
75. Vanguard F-I Short Term	B	Interest	M	T					
76. Verizon	A	Dividend	J	T					
77. Vodaphone	B	Dividend	K	T					
78. Walt Disney Hldg common	C	Dividend	M	T					
79. Wells Fargo Adv ST	A	Dividend	L	T					
80. Wisconsin Energy CP Hldg Co common	C	Dividend	M	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **DENNIS MONTALI**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544