

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) MASON, MICHAEL T.	2. Court or Organization NORTHERN DIRICT OF ILLINOIS	3. Date of Report 04/14/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. MAGISTRATE JUDGE [FULL]	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 219 S. DEARBORN STREET ROOM 2270 CHICAGO, IL 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2013

COOK COUNTY COURT SYSTEM - SALARY

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA #1	C	Int./Div.	M	T					
2. COMMON STOCK:	A	Dividend	J	T					
3. ABBOTT LABS COM	A	Dividend			Sold	04/22/16	J		
4. ABBVIE INC COM	A	Dividend			Sold	04/22/16	J		
5. APPLE INC COM	A	Dividend	J	T	Buy (add'l)	04/22/16	J		
6. ATandT INC COM	A	Dividend	J	T	Buy (add'l)	04/22/16	J		
7. BANK MONTREAL (Y)									
8. CARMAX INC COM	A	Dividend			Sold	06/17/16	J		
9. COCA COLA CO COM	A	Dividend	J	T	Buy (add'l)	04/22/16	J		
10. EBAY INC COM	A	Dividend	J	T	Buy (add'l)	04/22/16	J		
11. HOME DEPOT INC	A	Dividend			Sold	06/17/16	J		
12. JOHNSON AND JOHNSON	A	Dividend	J	T	Buy (add'l)	04/22/16	J		
13. LOWES COS INC COM	A	Dividend			Sold	06/17/16	J		
14. KRAFT HEINZ CO COM	A	Dividend			Sold	06/17/16	J		
15. MATTEL INC COM	A	Dividend			Sold	06/17/16	J		
16. MEAD JOHNSON NUTRITION CO COM	A	Dividend			Sold	04/22/16	J		
17. MICROSOFT CORP COM	A	Dividend	J	T	Buy (add'l)	04/22/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. IRA FIDELITY CASH ACCOUNT	A	Dividend	J	T					
19. PIMCO DEVELOPING LOCAL MKT D	A	Dividend	J	T					
20. TCW TOTAL RETURN BOND FUND	A	Dividend	J	T					
21. ALGER FD SM CAP PTFLO A	A	Dividend	J	T					
22. DELAWARE VALUE A	A	Dividend	J	T					
23. FIDELITY SPARTAN US EQUITY INDEX ADV CLASS	A	Dividend	J	T					
24. DWS REEF GLOBAL REAL ESTATE FUND	A	Dividend	J	T					
25. MERGER FUND			J	T					
26. GUGGENHEIM OCEAN TOMO PATENT	A	Dividend			Sold	04/22/16	J		
27. GUGGENHEIM RAYMOND JAMES SB-I EQUITY	A	Dividend			Sold	4/22/16	J		
28. WASATCH EMERGING MKTS SMALL CP	A	Dividend	J	T					
29. JANUS ADVISOR FORTY (Y)									
30. TOUCHSTONE FOCUSED	A	Dividend	J	T	Buy	04/22/16	J		
31. VICTORY GLOBAL NATURAL RESOURCES	A	Dividend	J	T	Buy	04/22/16	J		
32. BARON EMERGING MARKETS	A	Dividend	J	T	Buy	06/17/16	J		
33. TOUCHSTONE SMALL CAP VALUE	A	Dividend	J	T	Buy	06/17/16	J		
34. WASATCH INTL OPPORTUNITIES	A	Dividend	J	T	Buy	04/22/16	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35.									
36. IRA #2									
37. ASTON/RIVER ROAD SMALL CAP VALUE	A	Dividend	J	T					
38. RIVERNORTH CORE OPPORTUNITY FUND	A	Dividend	J	T					
39. T ROWE PRICE GROWTH STOCK	A	Dividend	J	T					
40. TURNER MIDCAP GROWTH	A	Dividend	J	T					
41.									
42. TRUST #1									
43. COMMON STOCK:									
44. MCDONALDS CORP	A	Dividend	J	T	Buy (add'l)	04/22/16	J		
45. SPDR TR UNIT SER 1		None	L	T					
46. PHILIP MORRIS INTL IN		None	J	T					
47. T ROWE PRICE INTL FDS EMRG		Dividend	J	T	Buy (add'l)	06/17/16	J		
48. ISHARES TR RUSSELL 1000 GROWTH INDEX		Dividend	J	T	Buy (add'l)	06/17/16	J		
49. ISHARES TR FTSE XINHUA HK CHINA 25 INDEX/464287184		Dividend	J	T	Buy (add'l)	06/17/16	J		
50. ISHARES TR RUSSELL 1000 VALUE INDEX FD		Dividend	J	T	Buy (add'l)	06/17/16	J		
51. ISHARES GOLD TRUST ISHARES ISIN #US46428 464285105	A	Dividend	J	T					

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52. BONDS:									
53. ILLINOIS STATE COLLEGE SAVINGS G/O RFGD			J	T					
54. MINNEAPOLIS,MN COMMUNITY DEVELOPMENT AGENCY		None	K	T					
55.									
56. MONEY MARKET FUNDS:									
57. CEF TAX EXEMPT MONEY MARKET PORTFOLIO	A	Dividend	J	T					
58.									
59. ACCOUNTS:									
60. CITI BANK	A	Interest	L	T					
61. NORTHERN TRUST BANK	A	Interest	J	T					
62.									
63. TRUST #3									
64. WELLS FARGO BANK	A	Distribution	J	T					
65.									
66.									
67.									
68.									

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69.									
70.									
71.									
72.									
73.									
74.									
75.									
76.									
77.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ MICHAEL T. MASON**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544