

FINANCIAL DISCLOSURE REPORT FINAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Martin, David L.	2. Court or Organization District Court - RI	3. Date of Report 2/28/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge Full Tim	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☐ Annual ☒ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address Pastore Fed. Bldg & Post Office Two Exchange Terrace Providence, RI 02903	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the <i>NONE</i> box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2012

Mass. Mutual Life Ins. Co. (Blue Cross & Blue Shield of RI pension)

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Citizens Bank	A	Interest	L	T					
2. - Citizens Bank Accounts									
3. Greenwood Credit Union	B	Interest	L	T					
4.									See note in Part VIII
5.									
6. Bank of America	A	Interest			Closed	04/02/12	K	A	
7. Home Loan & Investment Bank	B	Interest	L	T					
8. USAA Universal Life Insurance Policy	C	Interest	L	T					See note in Part VIII
9. Sovereign Bank	B	Interest	M	T					
10. Bank Newport	A	Interest	L	T	Open	03/17/12	J		
11. Pawtucket Credit Union		None	M	T	Open	12/14/12	M		
12. Fidelity Equity-Income Fund	B	Dividend	L	T					
13. Fidelity Spartan Mkt Index Fund	A	Dividend	K	T					
14.									
15.									
16.									
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 L ~\$50,001 - \$100,000	E ~\$15,001 - \$50,000 M ~\$100,001 - \$250,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Franklin Templeton Mutual Shares	B	Dividend			Sold	12/11/12	L	D	
19. Neuberger Guardian Fund	A	Dividend			Sold	12/11/12	K		See note in Part VIII
20. Neuberger Partners Fund	A	Dividend			Sold	12/11/12	L		See note in Part VIII
21. 3M Co. Common Stock	A	Dividend	K	T					
22. GE Common Stock	A	Dividend	J	T					
23. JP Morgan Chase Comm Stock	A	Dividend	K	T					
24. Chevron Common Stock	B	Dividend	K	T					See note in Part VIII
25. General Mills Common Stock	A	Dividend	K	T	Buy	07/12/12	K		
26. Exxon Mobil Common Stock	B	Dividend	L	T					
27.									
28.									
29. JNJ Common Stock	B	Dividend	L	T					
30.									
31.									
32. Procter & Gambl Com Stk	C	Dividend	L	T					
33.									
34.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Lutheran Church Ext Fund	D	Interest	M	T					
36. Deferred Comp ING Life Ins. and Annuity Co.	B	Dividend	K	T					
37. Dodge & Cox Mutual Funds (IRA)	D	Dividend	M	T					
38. -Dodge & Cox Balanced Fund									
39. -Dodge & Cox Income Fund									
40. -Dodge & Cox International Fund									
41. -Dodge & Cox Stock Fund									
42.									
43.									
44. Fidelity Funds (IRA)	E	Dividend	O	T					
45.									
46. - Fidelity Freedom 2010									
47.									
48.									
49.									
50.									
51.									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52.									
53.									
54.									
55.									
56.									
57.									
58.									
59.									
60.									
61.									
62. Vanguard 500 Index Fund (IRA)	B	Dividend	L	T					
63. Cisco Systems Common Stock	A	Dividend	K	T					
64. Bristol Myers Squibb Com Stock	B	Dividend	K	T					
65. RI St Health & Ed Bldg Corp Rev Bond	B	Interest	L	T					
66. UBS Bank USA	A	Interest	J	T					
67.									
68. Vanguard Growth & Income Fd (IRA)	A	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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69. Thrivent Financial for Lutherans paid-up life insurance	A	Dividend	J	T					
70.									
71.									
72. Pentagon Federal Credit Union	D	Interest	O	T					
73. -Pentagon Federal Credit Union Accounts									See note in Part VIII
74.									
75. RI Health & Edl Bldg Corp Higher Ed Bond	A	Interest	J	T					
76. RI Health & Edl Bldg Corp Higher Ed Bond	B	Interest	K	T					
77.									
78. RI HSG MTG FIN Homeowner Oppty Bond	A	Interest			Redeemed	07/11/12	K		See note in Part VIII
79. RI St Hlth Ed Bldg Corp Rev Radia B/E	B	Interest	K	T					
80. RI St Hlth&Edl RV Assgu Bond	A	Interest			Redeemed	03/15/12	J		See note in Part VIII
81.									
82.									

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII. INVESTMENTS AND TRUSTS

Line 4. The value of my Fidelity Cash Reserves account (which appeared on this line in my 2011 Financial Disclosure Report) has dropped beneath the minimum reporting threshold. Therefore, it has been omitted from this report.

Line 8. USAA Universal Life Insurance Policy. I show only the interest earned through 8/17/12 as opposed to through 12/31/12, the ending date of the financial reporting period. This is because I receive a statement for this policy annually, and I will not receive a statement showing the interest earned during the period 8/18/12 through 8/17/13 until late August or September of 2013.

Line 19. Neuberger Guardian Fund was sold at a loss. Therefore, no gain is reported.

Line 20. Neuberger Berman Partners Fund changed its name to Newberger Berman Large Capital Value Fund effective April 2, 2012. I have reported this asset under its former name to avoid confusion. I sustained a loss on the sale of this asset. Therefore, no gain is reported.

Line 73. The accounts at the Pentagon Federal Credit Union include IRA CDs.

Lines 75 and 78. These bonds were called. There was no gain.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **David L. Martin**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544