

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Lee, Tom S.	2. Court or Organization United States District Court, Southern District of MS	3. Date of Report 01/23/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior United States District Court Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 501 East Court Street Suite 4.756 Jackson, MS 39201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. General Partner	Tom S. Lee Family Limited Partnership
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2016	State Employee Pension Fund; pension upon retirement age 65
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2016

Advisory Board of Community Bancshares of MS, Inc.

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. His Nationwide VA (H)									
2. Managed Risk Asset Allocation		None	N	T					
3. His IRA (H)									
4. Advisors Disciplined Global Div. Strat.	C	Dividend	M	T					
5. Advisors Blue Chip Cov. Call	C	Dividend	M	T					
6. First Trust Revo Global Div. Kings	C	Dividend	M	T					
7. Guggenheim Zacks Income	B	Dividend	K	T					
8. Invesco Bal. Div. Sustain	A	Dividend	K	T	Buy	08/02/16	K		
9. Principal Global Div. Income	C	Dividend	M	T					
10. Thornburg Investment Income	A	Dividend	J	T					
11. Thornburg Strategic Income	C	Dividend	L	T					
12. Invesco Mid Cap. Core		None			Sold	10/10/16	J	A	
13. Invesco Small Cap. Core		None			Sold	10/10/16	J	A	
14. His Rev. Trust (H)									
15. First Trust Capital Strength Buy Write	A	Dividend	J	T					
16. First Trust Euro Deep Value Div.	A	Dividend	J	T					
17. First Trust Deep Value Div.	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. First Trust Capital Strength Buy Write	B	Dividend	K	T					
19. First Trust Smid High Dividend	A	Dividend	K	T					
20. First Trust Covered Call	C	Dividend	L	T					
21. Invesco REIT Income	A	Dividend	J	T					
22. Invesco Div. Sustain	A	Dividend	K	T	Buy	03/10/16	K		
23. Invesco Mid Cap. Core	A	Dividend	J	T					
24. Invesco Small Cap. Core	A	Dividend	J	T					
25. Principal Global Div. Income	A	Dividend	J	T					
26. Thornburg Inv. Income	A	Dividend	J	T					
27. Thornburg Str. Income	C	Dividend	M	T					
28. His FLP (H)									
29. First Trust Cap. Str. Buy Write	A	Dividend	J	T					
30. First Trust Euro Deep Value Div.	A	Dividend	K	T					
31. First Trust Deep Value Div.	B	Dividend	K	T					
32. First Trust Smid High Div.	A	Dividend	K	T					
33. First Trust Covered Call	D	Dividend	M	T					
34. First Trust Cap. Strength	C	Dividend	M	T					

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35. First Trust Bern Global Div. Kings	B	Dividend	L	T					
36. First Trust Muni and Div. Growers	A	Dividend	J	T	Buy	8/2/16	J		
37. Guggenheim MLP & Energy	A	Dividend	J	T					
38. Invesco Div. Growth Buy Write	A	Dividend	K	T					
39. Invesco REIT	A	Dividend	K	T					
40. Invesco Div. Sustain	A	Dividend	K	T	Buy	03/10/16	K		
41. Invesco Mid Cap. Core	A	Dividend	K	T					
42. Invesco Small Cap. Core	A	Dividend	K	T					
43. Invesco Enh. Sect. Strat.	B	Dividend	M	T					
44. Eaton Vance Short Duration Str. Income	B	Dividend	L	T					
45. Principal Global Diversified Income	B	Dividend	K	T					
46. PIMCO Income	B	Dividend	K	T					
47. PIMCO High Yield Mun. Bond	B	Dividend	L	T	Buy	03/11/16	L		
48. Thornburg Investment Income	A	Dividend	J	T					
49. Thornburg Strategic Income	B	Dividend	K	T					
50. PIMCO All Asset		None			Sold	03/10/16	L	A	
51. Her IRA (H)									

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52. Franklin Income	A	Dividend	J	T					
53. Templeton Global Balanced	A	Dividend	J	T					
54. Her Rev. Trust (H)									
55. First Trust Euro Deep Value Div.	A	Dividend	K	T					
56. First Trust Deep Value Div.	A	Dividend	K	T					
57. First Trust Cap. Strength Buy Write	A	Dividend	K	T					
58. Invesco Small Cap. Core	A	Dividend	K	T					
59. Principal Global Diversified Income	A	Dividend	J	T					
60. PIMCO High Yield Municipal Bond	A	Dividend	J	T	Buy	03/11/16	J		
61. Thornburg Investment Income	A	Dividend	K	T					
62. Thornburg Strategic Income	B	Dividend	L	T					
63. PIMCO All Asset		None			Sold	03/10/16	J	A	
64. Invesco Mid. Cap. Core		None			Sold	10/10/16	J	A	
65. Her VOYA VA (H)									
66. Invesco Equity and Income		None	J	T					
67. Her VOYA VA II (H)									
68. Invesco Equity and Income		None	J	T					

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69. His VOYA VA (H)									
70. Invesco Equity & Income		None	J	T					
71. Her Prudential VA (H)									
72. Lord Abbett Core Fixed Income		None	L	T					
73. Wellington Hedged Equity		None	L	T					
74. Advanced Strategies Portfolio		None	L	T					
75. Western Core Plus Bond		None	K	T					
76. His Prudential VA (H)									
77. Lord Abbett Core Fixed		None	M	T					
78. Wellington Hedged Equity		None	K	T					
79. Advanced Advanced Strategies Portfolio		None	K	T					
80. Western Core Plus Bond		None	K	T					
81. Shares of Community Bancshares of MS, Inc.	E	Dividend	P1	T					
82. Land in Scott County, MS		None	K	W					
83. U.S. Ser. E. Bonds (Principal plus accrued interest)		None			Redeemed	10/31/16	M		
84. Phoenix Life (Whole Life)		None	M	T					
85. Community Bancshares of MS accounts	B	Interest	O	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Tom S. Lee

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544