

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Rosenbaum, Robin S.	2. Court or Organization U.S. Court of Appeals for the Eleventh Circuit	3. Date of Report 05/08/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address U.S. Courthouse 299 E. Broward Blvd., Room 108 Fort Lauderdale, FL 33301		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust #1
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	Holland & Knight -- salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. University of Alabama Law School	03/29/2016-03/30/2016	Tuscaloosa, Alabama	Judged Moot Court Competition	Transportation, Lodging, Food
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Ameritrade Money Market Account	A	Interest	J	T					
2. Astropower common		None	J	T					
3. Ballard Power Systems common		None	J	T					
4. Bank of America--check/savings	A	Interest	K	T					
5. Cisco Systems common	A	Dividend	J	T					
6. DiscoverBank Savings and Money Market Account	A	Interest	L	T					
7. Dodge & Cox Int'l Stock Fund	A	Int./Div.	K	T					
8. Domini Social Equity Fund	A	Int./Div.	J	T					
9. Ericsson L M Tel Co ADR	A	Dividend	J	T					
10. Emagin Inc. common		None	J	T					
11. Fidelity Cash Reserves - Government Money Market	A	Dividend	J	T					
12. Fidelity Contrafund (H&K 401k)	A	Int./Div.	K	T					
13. Fidelity Contrafund (IRA)	A	Int./Div.	L	T					
14. Fidelity Low Priced Stock Fund	A	Dividend	J	T					
15. Fidelity Municipal Money Market Fund	A	Interest	J	T					
16. Fidelity Retirement Money Market Fund	A	Distribution	J	T					
17. Fidelity Unique NH 2021 Portfolio Index	A	Int./Div.	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Fidelity Unique NH Bank Deposit Portfolio	A	Int./Div.	M	T					
19. Intel Corp.	A	Dividend	J	T					
20. Janus Twenty Fund	A	Dividend	J	T					
21. Janus Global Research Fund (previously Janus Worldwide Fund)	A	Dividend	J	T					
22. Lumentum Holdings, Inc. common		None	J	T					
23. MassMutual VUL: Oppenheimer Capital Appreciation Fund		None	J	T					
24. MassMutual Variable Universal Life: Oppenheimer Midcap Fund		None	J	T					
25. Parnassus Fund	A	Int./Div.	K	T					
26. PRU Total Return Bond Fund-Holland & Knight 401k	A	Int./Div.	K	T					
27. Rhythms Netconnections common		None	J	T					
28. T. Rowe Price 529 College Savings Plan Portfolio 2018	A	Int./Div.	K	T					
29. T. Rowe Price 529 College Savings Plan Money Market Portfolio	A	Int./Div.	M	T					
30. T. Rowe Price Blue Chip Growth Fund	A	Int./Div.	K	T					
31. T. Rowe Price Health Sciences Fund (UGMA) - Account 1	A	Int./Div.	J	T					
32. T. Rowe Price Health Sciences Fund (UGMA) - Account 2	A	Int./Div.	J	T					
33. TZ Limited common		None	J	T					
34. Universal Display Corp. common		None	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Vanguard Institutional Index Fund	A	None	J	T					
36. Viavi Solutions, Inc. common		None	J	T					
37. Wells Fargo Small Company Growth Fund-Holland & Knight 401k	A	Int./Div.	J	T					
38. Apple	A	Dividend	J	T					
39. Ameritrade Money Market Account	A	Interest	J	T					
40. Facebook		None	J	T					
41. Trust #1	A	Interest	M	T					
42. -MML VUL: MassMutual Life Blend		None	K	T					
43. -MML VUL: Oppenheimer Main Street		None	K	T					
44. -MML VUL: Janus Aspen Forty		None	K	T					
45. -MML VUL: Oppenheimer Discovery Mid Cap Growth		None	K	T					
46. -MML VUL: T. Rowe Price Mid-Cap Growth		None	K	T					
47. -MML VUL: Oppenheimer Global		None	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Lines 38-40 are held by [REDACTED], but I am listed as joint tenant on [REDACTED] account. This has been the case for several years. I derive no income or other benefits from [REDACTED] investments.
2. Lines 41-47 list the assets in Trust #1. I do not receive any income or other benefits from this trust or from serving as the trustee, nor am I a beneficiary of the trust.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Robin S. Rosenbaum**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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