

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Pollak, Cheryl L.	2. Court or Organization U.S. District Court, E.D.N.Y.	3. Date of Report 05/23/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge (Full-Time)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address U.S. Courthouse, E.D.N.Y. 225 Cadman Plaza East Brooklyn, New York 11201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	Morgan Lewis & Bockius - partnership
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ **NONE** *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Vanguard 500 Index Fund (no control)	A	Interest	O	T					
2. Rental Property (Kiawah Island, SC 2010 \$1.8 million)	E	Rent	P1	R					
3. Rental Property (Syosset, NY 11791 2014 \$2 million) (X)	A	Rent			Sold	10/09/17	O	A	
4. AB Discovery Growth Fund	A	Dividend	L	T	Sold (part)	04/28/17	J	A	
5.					Sold (part)	08/07/17	J	A	
6.					Buy (add'l)	12/18/17	J		
7. AB Discovery Value Fund	A	Dividend	L	T	Sold (part)	01/03/17	J	A	
8.					Buy (add'l)	05/22/17	J		
9.					Buy (add'l)	05/30/17	J		
10.					Sold (part)	08/07/17	J	A	
11.					Buy (add'l)	08/18/17	J		
12.					Buy (add'l)	12/19/17	J		
13. AB International Small Cap	A	Dividend	L	T	Buy (add'l)	12/15/17	L		
14. AB International Stratetic Equities Portfolio	A	Dividend	K	T	Buy (add'l)	08/07/17	J		
15.					Buy (add'l)	12/15/17	J		
16. AB Multi-manager Alt Fd	A	Dividend	N	T					
17. AB Wealth Appreciation Strategy	A	Dividend	M	T	Sold (part)	01/23/17	K	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.					Sold (part)	04/24/17	J	A	
19.					Sold (part)	07/24/17	J	A	
20.					Sold (part)	10/23/17	J	A	
21.					Buy (add'l)	12/21/17	J		
22. Adobe Systems Inc.	A	Dividend	J	T	Buy (add'l)	02/07/17	J		
23.					Buy (add'l)	07/06/17	J		
24. AETNA	A	Dividend	K	T	Sold (part)	01/03/17	J	A	
25.					Sold (part)	01/24/17	J	A	
26.					Sold (part)	03/17/17	J	A	
27. Alexion Pharmaceuticals Inc.	A	Dividend			Sold (part)	04/07/17	J	A	
28.					Sold	05/23/17	J	A	
29. Alphabet Inc. Class A	A	Dividend	J	T	Sold (part)	03/16/17	J	A	
30.					Sold (part)	03/24/17	J	B	
31.					Sold (part)	04/06/17	J	A	
32. Alphabet Inc. Class C	A	Dividend	K	T	Buy (add'l)	02/02/17	J		
33.					Sold (part)	03/16/17	J	A	
34.					Sold (part)	04/06/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.					Sold (part)	04/25/17	J	A	
36.					Buy (add'l)	09/22/17	J		
37. AMDOCS Ltd.	A	Dividend			Sold	02/06/17	J	B	
38. American Beacon (X)	A	Dividend	L	T	Buy (add'l)	05/26/17	J		
39. American Electric Power	A	Dividend	K	T	Sold (part)	07/28/17	J	A	
40.					Sold (part)	08/07/17	J	A	
41.					Sold (part)	09/25/17	J	A	
42. American International Group	A	Dividend	K	T	Sold (part)	02/06/17	K	A	
43.					Sold (part)	02/28/17	J	A	
44.					Sold (part)	04/21/17	J	A	
45.					Sold (part)	06/22/17	J	A	
46.					Sold (part)	08/07/17	J	A	
47. AMG GW&K Small Cap	A	Dividend	O	T					
48. Amphenol Corp.	A	Dividend			Sold (part)	02/06/17	J	A	
49.					Sold	02/21/17	J	A	
50. Ansys Inc.	A	Dividend			Sold	02/09/17	J	A	
51. Apple Inc.	A	Dividend	L	T	Sold (part)	01/24/17	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52.					Sold (part)	02/27/17	J	A	
53.					Sold (part)	08/07/17	J	A	
54.					Sold (part)	09/14/17	J	A	
55.					Sold (part)	09/15/17	J	A	
56. Applied Materials Inc.	A	Dividend			Sold	01/03/17	J	A	
57. Artisan Int'l Fund	A	Dividend			Sold	03/10/17	J	A	
58. Bank of America	A	Dividend	K	T	Sold (part)	01/03/17	J	A	
59.					Sold (part)	01/05/17	J	A	
60.					Sold (part)	01/26/17	J	A	
61.					Buy (add'l)	02/07/17	J		
62.					Sold (part)	03/15/17	J	A	
63.					Sold (part)	04/10/17	J	A	
64.					Sold (part)	07/26/17	J	A	
65.					Sold (part)	08/07/17	J	A	
66.					Buy (add'l)	10/05/17	J		
67. Berkshire Hathaway Inc.	A	Dividend	K	T					
68. Bernstein Emerging Markets Portfolio	A	Dividend	L	T	Sold (part)	03/28/17	J	A	

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69.					Buy (add'l)	12/12/17	J		
70. Bernstein New York Municipal Portfolio	F	Dividend	P1	T	Buy (add'l)	01/20/17	J		
71.					Sold (part)	01/23/17	J	A	
72.					Buy (add'l)	02/17/17	J		
73.					Buy (add'l)	03/02/17	J		
74.					Buy (add'l)	03/20/17	J		
75.					Buy (add'l)	04/20/17	J		
76.					Sold (part)	04/24/17	J	A	
77.					Buy (add'l)	05/19/17	J		
78.					Buy (add'l)	06/08/17	J		
79.					Buy (add'l)	06/20/17	J		
80.					Buy (add'l)	07/20/17	J		
81.					Sold (part)	07/24/17	J	A	
82.					Buy (add'l)	08/18/17	J		
83.					Buy (add'l)	09/01/17	J		
84.					Buy (add'l)	09/20/17	J		
85.					Buy (add'l)	10/20/17	J		

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86.					Sold (part)	10/23/17	J	A	
87.					Buy (add'l)	11/06/17	J		
88.					Buy (add'l)	11/07/17	K		
89.					Buy (add'l)	11/14/17	J		
90.					Buy (add'l)	11/20/17	J		
91.					Buy (add'l)	12/20/17	J		
92. Bernstein Tax Managed International Portfolio	D	Dividend	M	T	Sold (part)	03/28/17	K	A	
93.					Sold (part)	08/07/17	K	D	
94.					Buy (add'l)	12/12/17	J		
95. Bernstein Tax-Aware Overlay A Portfolio Class A - (no control)	B	Dividend	N	T	Buy (add'l)	12/19/17	K		
96. Bernstein Tax-Aware Overlay N Portfolio Class 1 ((no control)	D	Dividend	P1	T	Sold (part)	01/23/17	K	A	
97.					Buy (add'l)	12/19/17	K		
98. Biogen Inc. (formerly Biogen Idec Inc.)	A	Dividend	K	T	Buy (add'l)	01/25/17	J		
99.					Buy (add'l)	07/27/17	J		
100.					Buy (add'l)	09/22/17	J		
101. Bioverativ Inc.	A	Dividend			Sold (part)	02/03/17	J	A	
102.					Sold	02/07/17	J	A	

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(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
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103. Black Knight Inc.	A	Dividend	J	T	Sold (part)	10/04/17	J	A	
104. Blackrock Global Allocations Fd Inc. (Y)									
105. Blackrock High Yield	A	Dividend	M	T	Buy (add'l)	05/26/17	J		
106. Boeing Co.	A	Dividend	K	T	Buy	07/28/17	J		
107.					Buy (add'l)	07/31/17	J		
108.					Buy (add'l)	08/03/17	J		
109.					Buy (add'l)	08/04/17	J		
110.					Buy (add'l)	08/30/17	J		
111.					Buy (add'l)	09/05/17	J		
112.					Buy (add'l)	09/15/17	J		
113.					Buy (add'l)	09/25/17	J		
114. Capital One Financial Corp.	A	Dividend	J	T	Sold (part)	01/03/17	J	A	
115.					Sold (part)	01/04/17	J	A	
116.					Sold (part)	02/02/17	J	A	
117.					Sold (part)	03/01/17	J	A	
118.					Sold (part)	06/16/17	J	A	
119.					Sold (part)	06/22/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Pollak, Cheryl L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Sold (part)	07/25/17	J	A	
121.					Sold (part)	09/14/17	J	A	
122. Cardinal Health Inc.	A	Dividend			Sold	01/03/17	J	A	
123. CBS Corp.	A	Dividend	J	T	Buy	02/03/17	J		
124.					Buy (add'l)	02/06/17	J		
125.					Buy (add'l)	03/09/17	J		
126.					Buy (add'l)	03/30/17	J		
127.					Buy (add'l)	06/23/17	J		
128. CDW Corp.	A	Dividend	J	T	Buy	06/07/17	J		
129.					Buy (add'l)	06/15/17	J		
130.					Buy (add'l)	06/23/17	J		
131. Cerner Corp.	A	Dividend	J	T	Buy	08/30/17	J		
132.					Buy (add'l)	09/15/17	J		
133. Cigna Corp.	A	Dividend	J	T	Sold (part)	08/17/17	J	A	
134. Cintas Corp.	A	Dividend	L	T					
135. Cimarex Energy Co.	A	Dividend	J	T	Buy	08/23/17	J		
136.					Sold (part)	09/25/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Pollak, Cheryl L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Cisco Systems Inc.	A	Dividend	J	T	Buy (add'l)	02/14/17	J		
138.					Sold (part)	05/19/17	J	A	
139.					Sold (part)	09/22/17	J	A	
140. Citibank-Checking	B	Interest	K	T					
141. Citibank Insured Money Mkt Acct. (IRA - CD)	A	Interest	J	T					
142. Citibank Insured Money Mkt. Acct. (IRA - Solomon)	A	Interest	K	T					
143. Citibank Insured Money Mkt Acct. (IRA)	A	Interest	J	T					
144. Citibank-Insured Money Market	C	Interest	J	T					
145. Citibank-Insured Money Market	C	Interest	J	T					
146. Citizens Financial Group	A	Dividend			Sold	02/06/17	J	C	
147. Clearbridge Aggr Gwth (Legg Mason) (Y)									
148. Conagra Brands, Inc.	A	Dividend			Buy	02/03/17	J		
149.					Buy (add'l)	02/07/17	J		
150.					Buy (add'l)	03/01/17	J		
151.					Buy (add'l)	05/10/17	J		
152.					Buy (add'l)	06/02/17	J		
153.					Buy (add'l)	06/05/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Pollak, Cheryl L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Sold (part)	09/27/17	J	A	
155.					Sold (part)	09/28/17	J	A	
156.					Sold (part)	09/29/17	J	A	
157.					Sold	10/02/17	J	A	
158. Comcast Corp.	A	Dividend	K	T	Buy (add'l)	02/02/17	J		
159.					Sold (part)	07/13/17	J		
160.					Sold (part)	07/25/17	J	A	
161.					Sold (part)	08/07/17	J		
162.					Buy (add'l)	09/26/17	J		
163. Conduent Inc.	A	Dividend			Sold	01/26/17	J	A	
164. Continental Resources Inc.	A	Dividend	J	T	Buy	08/30/17	J		
165. Costco Wholesale Corp.	A	Dividend	K	T	Sold (part)	05/08/17	J	A	
166.					Buy (add'l)	07/14/17	J		
167.					Buy (add'l)	07/26/17	J		
168.					Sold (part)	09/22/17	J	A	
169. Crown Castle Int'l Corp.	A	Dividend	J	T	Buy	10/05/17	J		
170.					Buy (add'l)	10/20/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Pollak, Cheryl L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Buy (add'l)	10/24/17	J		
172.					Buy (add'l)	11/20/17	J		
173. CVS Health Corp.	A	Dividend			Buy (add'l)	02/01/17	J		
174.					Buy (add'l)	02/07/17	J		
175.					Buy (add'l)	03/02/17	J		
176.					Sold (part)	08/07/17	J	A	
177.					Sold (part)	09/14/17	J	A	
178.					Sold (part)	09/22/17	J		
179.					Sold (part)	10/20/17	J	A	
180.					Sold (part)	12/06/17	J	A	
181.					Sold	12/08/17	J	A	
182. Davis NY Venture Fund - Mutual Fund (Y)									
183. Delta Air Lines, Inc.	A	Dividend	J	T	Sold (part)	02/01/17	J	A	
184.					Sold (part)	07/26/17	J	A	
185. Devon Energy Corp.	A	Dividend	J	T	Buy (add'l)	07/17/17	J		
186.					Sold (part)	08/22/17	J	A	
187.					Buy (add'l)	09/22/17	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Pollak, Cheryl L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. DFA US Small Cap Port Inst.	A	Dividend	L	T					
189. Discover Financial Services	A	Dividend			Sold (part)	02/02/17	J	A	
190.					Sold (part)	05/05/17	J	A	
191.					Sold	05/08/17	J	A	
192. Dollar General Corp.	A	Dividend			Sold (part)	05/23/17	J	A	
193.					Sold	05/24/17	J	A	
194. Dow DuPont Inc. (formerly Dow Chemical)	A	Dividend	K	T	Buy (add'l)	09/15/17	J		
195.					Sold (part)	12/14/17	J	A	
196. Dr. Pepper Snapple Group Inc.	A	Dividend			Buy (add'l)	01/27/17	J		
197.					Buy (add'l)	02/01/17	J		
198.					Buy (add'l)	03/01/17	J		
199.					Sold (part)	06/22/17	J	A	
200.					Sold (part)	06/23/17	J	A	
201.					Sold (part)	06/26/17	J	A	
202.					Sold (part)	06/28/17	J	A	
203.					Sold	06/30/17	J	A	
204. DXC Technology Co.	A	Dividend			Sold	04/21/17	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. Eaton Corp.	A	Dividend	K	T					
206. Ebay Inc.	A	Dividend	J	T					
207. Edison International	A	Dividend	K	T	Buy (add'l)	03/01/17	J		
208.					Sold (part)	07/28/17	J	A	
209.					Sold (part)	08/07/17	J	A	
210. Edwards Lifesciences	A	Dividend	K	T	Buy (add'l)	03/27/17	J		
211.					Buy (add'l)	04/10/17	J		
212.					Sold (part)	09/28/17	J	A	
213. Empire State Municipal Exempt Fund	A	Interest	J	T					
214. EOG RES Inc.	A	Dividend	K	T	Sold (part)	01/24/17	J	A	
215.					Sold (part)	04/07/17	J	A	
216.					Buy (add'l)	09/05/17	J		
217.					Sold (part)	10/20/17	J	A	
218. Everest Re Group Ltd.	A	Dividend	J	T	Buy	12/15/17	J		
219. Exelon	A	Dividend	J	T					
220. Exxon Mobil Corp.	A	Dividend	K	T	Sold (part)	05/24/17	J	A	
221.					Sold (part)	06/01/17	J	A	

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Pollak, Cheryl L.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222.					Sold (part)	06/02/17	J	A	
223.					Buy (add'l)	12/07/17	J		
224.					Buy (add'l)	12/11/17	J		
225. Facebook Inc.	A	Dividend	K	T	Buy (add'l)	01/04/17	J		
226.					Buy (add'l)	01/25/17	J		
227.					Sold (part)	08/07/17	J	A	
228.					Sold (part)	08/25/17	J	B	
229. Fiserv Inc.	A	Dividend	J	T	Sold (part)	03/16/17	J	A	
230.					Sold (part)	08/07/17	J	A	
231. FNF Group	A	Dividend	K	T	Buy	06/26/17	J		
232.					Buy (add'l)	06/27/17	J		
233.					Buy (add'l)	06/28/17	J		
234.					Buy (add'l)	07/27/17	J		
235.					Buy (add'l)	07/28/17	J		
236.					Buy (add'l)	08/04/17	J		
237.					Buy (add'l)	08/09/17	J		
238.					Buy (add'l)	08/18/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Pollak, Cheryl L.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239.					Buy (add'l)	09/15/17	J		
240.					Buy (add'l)	10/03/17	J		
241. General Electric	A	Dividend			Buy (add'l)	01/03/17	J		
242.					Buy (add'l)	02/22/17	J		
243.					Buy (add'l)	03/09/17	J		
244.					Buy (add'l)	04/10/17	J		
245.					Buy (add'l)	04/18/17	J		
246.					Sold (part)	06/06/17	J	A	
247.					Sold (part)	06/08/17	J	A	
248.					Sold (part)	06/19/17	J	A	
249.					Sold (part)	07/07/17	J	A	
250.					Sold	07/26/17	J	A	
251. Gilead Sciences Inc.	A	Dividend	J	T	Sold (part)	05/24/17	J	A	
252.					Sold (part)	06/09/17	J	A	
253.					Sold (part)	06/22/17	J	A	
254.					Sold (part)	08/07/17	J	A	
255.					Sold (part)	09/14/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Pollak, Cheryl L.

Date of Report

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. Goldman Sachs Group Inc.	A	Dividend	K	T	Buy	02/28/17	J		
257.					Buy (add'l)	03/20/17	J		
258.					Buy (add'l)	03/30/17	J		
259.					Buy (add'l)	04/26/17	J		
260.					Buy (add'l)	04/27/17	J		
261.					Buy (add'l)	05/08/17	J		
262.					Buy (add'l)	05/09/17	J		
263.					Buy (add'l)	05/25/17	J		
264.					Sold (part)	08/07/17	J	A	
265. GP Strategies Corp.		None	J	T					
266. Halliburton Co.	A	Dividend			Buy (add'l)	01/06/17	J		
267.					Buy (add'l)	02/06/17	J		
268.					Sold	03/16/17	J	A	
269. Harding Loevner Int'l Eqty. Eqty Port Inst. Inst.	A	Dividend	N	T	Buy	05/26/17	J		
270. Helmerich & Payne	A	Dividend			Sold (part)	04/17/17	J	A	
271.					Sold (part)	04/21/17	J	A	
272.					Sold	06/15/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Pollak, Cheryl L.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. Hess Corp.	A	Dividend	J	T	Sold (part)	01/26/17	J	A	
274.					Sold (part)	01/30/17	J	A	
275.					Sold (part)	01/31/17	J	A	
276.					Sold (part)	02/16/17	J	A	
277.					Sold (part)	08/29/17	J	A	
278. Hewlett Packard Enterprise Co.	A	Dividend			Sold (part)	02/14/17	J	A	
279.					Buy (add'l)	06/12/17	J		
280.					Sold (part)	07/07/17	J	A	
281.					Sold	07/11/17	J	A	
282. Honeywell International Inc.	A	Dividend	K	T	Sold (part)	08/07/17	J	A	
283.					Buy (add'l)	09/28/17	J		
284. HP Inc.	A	Dividend	K	T	Sold (part)	01/03/17	J	A	
285.					Sold (part)	02/28/17	J	A	
286.					Sold (part)	03/17/17	J	A	
287.					Sold (part)	04/25/17	J	A	
288. Intel Corp.	A	Dividend	K	T	Buy (add'l)	01/18/17	J		
289.					Buy (add'l)	02/06/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Pollak, Cheryl L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290.					Buy (add'l)	03/09/17	J		
291.					Sold (part)	03/17/17	J	A	
292.					Sold (part)	04/05/17	J	A	
293.					Sold (part)	04/28/17	J	A	
294.					Sold (part)	07/17/17	J	A	
295. Interferon Sciences, Inc.		None	J	T					
296. Int'l. Business Machines Corp.	A	Dividend			Sold (part)	07/06/17	J	A	
297.					Sold (part)	07/26/17	J	A	
298.					Sold	07/28/17	J	A	
299. Intuitive Surgical Inc.	A	Dividend	J	T	Buy (add'l)	01/25/17	J		
300.					Buy (add'l)	02/01/17	J		
301.					Sold (part)	02/28/17	J	A	
302.					Sold (part)	08/07/17	J	A	
303.					Sold (part)	08/25/17	J	B	
304.					Sold (part)	09/22/17	J	B	
305. Investment Company of America - Mutual Fund (American Fund)	A	Dividend	M	T					
306. IRA-Citibank-CD	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Pollak, Cheryl L.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

307. IRA-Citibank-Money Market	A	Interest	J	T					
308. IRA-Soloman - Treasury Bond	A	Interest	J	T					
309. iShares MSCI EAFE Growth ETF	A	Dividend			Buy	03/10/17	J		
310.					Sold	05/25/17	J	A	
311. ITT Inc.	A	Dividend			Sold	01/17/17	J	A	
312. Johnson & Johnson	A	Dividend	L	T	Buy (add'l)	02/14/17	J		
313.					Buy (add'l)	02/22/17	J		
314.					Sold (part)	04/21/17	J	A	
315.					Sold (part)	05/24/17	J	A	
316.					Sold (part)	06/09/17	J	A	
317.					Sold (part)	06/22/17	J	A	
318.					Sold (part)	08/07/17	J	A	
319.					Buy (add'l)	09/26/17	J		
320.					Buy (add'l)	09/29/17	J		
321. JP Morgan Chase & Co.	A	Dividend	K	T	Buy	02/15/17	J		
322.					Buy (add'l)	02/16/17	J		
323.					Buy (add'l)	02/17/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Pollak, Cheryl L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324.					Buy (add'l)	02/21/17	J		
325.					Buy (add'l)	02/24/17	J		
326.					Buy (add'l)	02/28/17	J		
327.					Buy (add'l)	03/13/17	J		
328.					Buy (add'l)	03/20/17	J		
329.					Buy (add'l)	04/27/17	J		
330.					Buy (add'l)	04/28/17	J		
331.					Buy (add'l)	05/09/17	J		
332.					Buy (add'l)	06/12/17	J		
333.					Buy (add'l)	06/19/17	J		
334.					Buy (add'l)	06/22/17	J		
335.					Buy (add'l)	06/23/17	J		
336.					Buy (add'l)	07/10/17	J		
337.					Buy (add'l)	08/07/17	J		
338.					Buy (add'l)	09/22/17	J		
339. Kimberly-Clark Corp.	A	Dividend	J	T	Buy (add'l)	06/26/17	J		
340.					Buy (add'l)	07/12/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
341. Kroger Co.	A	Dividend			Sold (part)	06/19/17	J	B	
342.					Sold (part)	06/20/17	J	A	
343.					Sold (part)	06/21/17	J	A	
344.					Sold (part)	06/22/17	J	A	
345.					Sold	06/23/17	J	A	
346. L-3 Technologies	A	Dividend	J	T	Sold (part)	01/26/17	J	A	
347.					Sold (part)	01/30/17	J	A	
348.					Buy (add'l)	03/09/17	J		
349.					Buy (add'l)	06/14/17	J		
350.					Buy (add'l)	06/20/17	J		
351.					Sold (part)	08/02/17	J	A	
352.					Sold (part)	09/22/17	J	A	
353. Lear Corp.	A	Dividend			Sold	07/06/17	J	A	
354. Lord Abbott Equity Fund	A	Dividend	J	T					
355. LMP Real Estate Income	A	Dividend	J	T					
356. LMP Stk Appr. Fund	A	Dividend	J	T					
357. Lyondellbasell Indu - CL A	A	Dividend			Sold	08/07/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Pollak, Cheryl L.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. Magna International Inc.	A	Dividend	J	T	Buy (add'l)	05/09/17	J		
359.					Buy (add'l)	06/05/17	J		
360.					Buy (add'l)	07/10/17	J		
361. Marathon Petroleum	A	Dividend	J	T	Buy	05/08/17	J		
362.					Buy (add'l)	05/09/17	J		
363.					Buy (add'l)	05/25/17	J		
364.					Buy (add'l)	06/02/17	J		
365. McDonald's Corp.	A	Dividend	K	T	Buy (add'l)	04/25/17	J		
366.					Buy (add'l)	04/26/17	J		
367.					Buy (add'l)	05/02/17	J		
368.					Buy (add'l)	05/09/17	J		
369. McKesson Corp.	A	Dividend	J	T	Buy (add'l)	01/03/17	J		
370.					Buy (add'l)	01/18/17	J		
371.					Buy (add'l)	07/26/17	J		
372.					Buy (add'l)	07/27/17	J		
373. Medtronic	A	Dividend	J	T	Buy	05/25/17	J		
374.					Buy (add'l)	05/26/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375.					Buy (add'l)	06/08/17	J		
376.					Buy (add'l)	06/19/17	J		
377. Merck & Co. Inc.	A	Dividend	J	T	Sold (part)	04/26/17	J	A	
378. Merrill Lynch 5 MITSR 168 mps	A	Interest	J	T					
379. Microsoft Corp.	A	Dividend	K	T	Sold (part)	01/03/17	J	A	
380.					Sold (part)	06/05/17	J	A	
381.					Sold (part)	08/07/17	J	A	
382. Mid-America Apartment	A	Dividend	K	T	Buy	06/07/17	J		
383.					Buy (add'l)	06/14/17	J		
384.					Buy (add'l)	06/16/17	J		
385.					Buy (add'l)	07/27/17	J		
386.					Buy (add'l)	07/28/17	J		
387.					Buy (add'l)	08/01/17	J		
388.					Buy (add'l)	09/29/17	J		
389. Morgan Lewis & Bockius LLP, Firm Capital	E	Interest	O	T					
390. Morgan Stanley NY Municipal	A	Dividend	J	T					
391. Nielsen Holdings	A	Dividend			Sold (part)	06/06/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Pollak, Cheryl L.

Date of Report

05/23/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392.					Sold (part)	06/07/17	J	A	
393.					Sold	06/09/17	J	A	
394. Nike Inc.	A	Dividend	J	T	Sold (part)	02/28/17	J	A	
395.					Sold (part)	03/16/17	J	A	
396.					Sold (part)	04/06/17	J	A	
397.					Sold (part)	05/08/17	J	A	
398.					Buy (add'l)	07/07/17	J		
399.					Buy (add'l)	07/10/17	J		
400.					Sold (part)	09/22/17	J	A	
401.					Sold (part)	10/20/17	J	A	
402. Nisource Inc.	A	Dividend	J	T	Buy (add'l)	06/05/17	J		
403.					Buy (add'l)	06/14/17	J		
404.					Buy (add'l)	09/18/17	J		
405.					Sold (part)	10/19/17	J	A	
406. Nokia Corp.	A	Dividend	J	T	Buy	07/28/17	J		
407.					Buy (add'l)	08/01/17	J		
408. Norfolk Southern Corp.	A	Dividend	K	T	Buy	02/17/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Pollak, Cheryl L.

Date of Report

05/23/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409.					Buy (add'l)	02/21/17	J		
410.					Buy (add'l)	02/28/17	J		
411.					Buy (add'l)	03/01/17	J		
412.					Buy (add'l)	03/20/17	J		
413.					Buy (add'l)	04/18/17	J		
414.					Buy (add'l)	05/25/17	J		
415.					Buy (add'l)	05/26/17	J		
416. Northrop Grumman Corp.	A	Dividend	K	T					
417. Nuveen New York Quality	A	Interest	J	T					
418. N.Y. State Urban Development Bonds (Y)									
419. Omnicom Group	A	Dividend			Buy	04/07/17	J		
420.					Buy (add'l)	04/10/17	J		
421.					Buy (add'l)	04/28/17	J		
422.					Buy (add'l)	06/20/17	J		
423.					Sold (part)	08/25/17	J	A	
424.					Sold	08/29/17	J	A	
425. Onemain Holdings Inc.	A	Dividend			Sold (part)	02/15/17	J	A	

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Pollak, Cheryl L.

Date of Report

05/23/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426.					Sold	02/27/17	J	A	
427. Oppenheimer Global Opportunity Fund (Y)									
428. Oppenheimer Developing Mkts	A	Dividend	N	T	Buy (add'l)	05/26/17	J		
429. Oracle Corp.	A	Dividend	K	T	Buy (add'l)	06/05/17	J		
430.					Buy (add'l)	06/07/17	J		
431.					Buy (add'l)	06/27/17	J		
432.					Sold (part)	08/07/17	J	A	
433. OshKosh Corp.	A	Dividend	J	T					
434. Palo Alto Networks Inc.	A	Dividend			Sold	03/08/17	J	A	
435. Pepsico Inc.	A	Dividend	K	T	Buy (add'l)	01/31/17	J		
436.					Buy (add'l)	02/01/17	J		
437.					Sold (part)	05/24/17	J	A	
438.					Sold (part)	06/09/17	J	A	
439.					Sold (part)	08/07/17	J	A	
440. Pfizer Inc.	A	Dividend	J	T	Sold (part)	01/24/17	J	A	
441.					Sold (part)	02/28/17	J	B	
442.					Sold (part)	06/08/17	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

443.					Sold (part)	08/07/17	J	A	
444. Pimco Foreign Bond Fund Institutional	A	Dividend	O	T	Buy (add'l)	05/26/17	J		
445. Pimco High Yield Fund	A	Dividend	N	T					
446. Priceline Group Inc.	A	Dividend	J	T	Sold (part)	01/26/17	J	A	
447.					Sold (part)	03/29/17	J	A	
448.					Sold (part)	05/23/17	J	A	
449. Procter & Gamble	A	Dividend	K	T	Buy	03/16/17	J		
450.					Buy (add'l)	03/17/17	J		
451.					Buy (add'l)	04/11/17	J		
452.					Buy (add'l)	05/10/17	J		
453.					Buy (add'l)	06/02/17	J		
454.					Sold (part)	08/07/17	J	A	
455. Progressive Corp.	A	Dividend	K	T	Buy (add'l)	02/07/17	J		
456.					Sold (part)	07/21/17	J	A	
457.					Sold (part)	07/31/17	J	A	
458.					Sold (part)	08/07/17	J	A	
459.					Buy (add'l)	09/25/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

460. Putnam New Opportunities (Y)									
461. Quest Diagnostics Inc.	A	Dividend	J	T	Buy	05/22/17	J		
462.					Buy (add'l)	05/25/17	J		
463.					Buy (add'l)	07/27/17	J		
464.					Buy (add'l)	08/30/17	J		
465.					Buy (add'l)	10/24/17	J		
466. Raytheon Company	A	Dividend	J	T	Buy	07/27/17	J		
467.					Buy (add'l)	07/31/17	J		
468.					Buy (add'l)	08/09/17	J		
469.					Buy (add'l)	09/05/17	J		
470.					Buy (add'l)	09/15/17	J		
471. Ross Stores Inc.	A	Dividend	K	T	Buy (add'l)	04/10/17	J		
472.					Buy (add'l)	04/28/17	J		
473.					Buy (add'l)	05/02/17	J		
474.					Sold (part)	08/03/17	J	A	
475.					Sold (part)	08/07/17	J	A	
476.					Buy (add'l)	11/20/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

477. S & P Global Inc.	A	Dividend	J	T	Buy	07/07/17	J		
478.					Buy (add'l)	07/10/17	J		
479.					Buy (add'l)	07/18/17	J		
480.					Buy (add'l)	07/27/17	J		
481.					Buy (add'l)	09/22/17	J		
482. Schlumberger Ltd.	A	Dividend	J	T	Sold (part)	02/28/17	J	A	
483.					Sold (part)	03/16/17	J	A	
484.					Buy (add'l)	05/03/17	J		
485.					Buy (add'l)	05/08/17	J		
486.					Sold (part)	06/08/17	J	A	
487.					Sold (part)	08/07/17	J	A	
488. Schwab Breckinridge (no control)	A	Interest	P1	T					
489. Schwab Gov't Money Fund	A	Dividend	K	T	Buy (add'l)	01/03/17	J		
490.					Buy (add'l)	01/05/17	J		
491.					Buy (add'l)	02/01/17	J		
492.					Buy (add'l)	03/01/17	J		
493.					Buy (add'l)	03/14/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

494.					Buy (add'l)	03/15/17	J		
495.					Buy (add'l)	04/03/17	J		
496.					Sold (part)	04/04/17	J	A	
497.					Buy (add'l)	05/01/17	J		
498.					Sold (part)	05/30/17	J	A	
499.					Buy (add'l)	06/01/17	J		
500.					Buy (add'l)	06/14/17	J		
501.					Buy (add'l)	07/03/17	J		
502.					Buy (add'l)	08/01/17	J		
503.					Buy (add'l)	09/01/17	J		
504.					Buy (add'l)	09/13/17	J		
505.					Sold (part)	09/13/17	J	A	
506.					Buy (add'l)	10/02/17	J		
507.					Buy (add'l)	10/16/17	J		
508.					Buy (add'l)	11/01/17	J		
509.					Buy (add'l)	11/21/17	J		
510.					Buy (add'l)	12/01/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

511.					Buy (add'l)	12/11/17	J		
512.					Buy (add'l)	12/13/17	J		
513.					Buy (add'l)	12/26/17	J		
514. Schwab S&P 500 Index	A	Dividend	M	T	Buy (add'l)	05/26/17	J		
515.					Sold (part)	10/12/17	J	A	
516. Schwab Value Advantage Money Fund	A	Dividend	J	T	Buy	04/03/17	J		
517.					Sold (part)	05/25/17	J	A	
518.					Sold (part)	09/13/17	J	A	
519. Sealed Air Corp.	A	Dividend			Sold	06/02/17	J	A	
520. Seligman Communication - Mutual Fund	B	Dividend	J	T					
521. Starbucks Corp.	A	Dividend	J	T	Buy (add'l)	02/01/17	J		
522.					Sold (part)	05/23/17	J	A	
523.					Sold (part)	07/13/17	J	A	
524.					Sold (part)	08/07/17	J	A	
525.					Sold (part)	08/25/17	J	A	
526.					Sold (part)	09/22/17	J	A	
527.					Sold (part)	10/20/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
528. Synchrony Financial	A	Dividend	K	T	Sold (part)	02/02/17	J	A	
529.					Sold (part)	02/17/17	J	A	
530.					Sold (part)	02/21/17	J	A	
531.					Buy (add'l)	05/09/17	J		
532.					Buy (add'l)	06/05/17	J		
533.					Buy (add'l)	06/08/17	J		
534. T-Mobile US Inc.	A	Dividend	J	T	Sold (part)	09/14/17	J	A	
535.					Sold (part)	09/15/17	J	A	
536. Texas Instruments Inc.	A	Dividend	K	T	Buy (add'l)	02/07/17	J		
537.					Buy (add'l)	02/13/17	J		
538.					Sold (part)	05/02/17	J	A	
539.					Sold (part)	07/13/17	J	A	
540.					Sold (part)	07/25/17	J	A	
541.					Sold (part)	07/27/17	J	A	
542.					Sold (part)	08/07/17	J	A	
543.					Buy (add'l)	09/22/17	J		
544. Thornburg Ltd.	A	Dividend	N	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

545. TJX Companies Inc.	A	Dividend	K	T	Buy	05/24/17	J		
546.					Buy (add'l)	06/05/17	J		
547.					Buy (add'l)	06/07/17	J		
548. Tyson Foods Inc.	A	Dividend	K	T	Buy (add'l)	01/31/17	J		
549.					Buy (add'l)	11/20/17	J		
550. U.S. Savings Bonds for Children	A	Interest	J	T					
551. U.S. Treasury Bills	A	Interest	N	T	Buy (add'l)	01/12/17	J		
552.					Buy (add'l)	01/13/17	J		
553.					Buy (add'l)	01/18/17	J		
554.					Sold (part)	01/19/17	J	A	
555.					Buy (add'l)	02/01/17	J		
556.					Sold (part)	02/02/17	J	A	
557.					Buy (add'l)	02/08/17	J		
558.					Sold (part)	02/09/17	J	A	
559.					Buy (add'l)	03/14/17	J		
560.					Sold (part)	03/15/17	J	A	
561.					Buy (add'l)	04/05/17	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
562.					Sold (part)	04/06/17	J	A	
563.					Buy (add'l)	04/19/17	J		
564.					Sold (part)	04/20/17	J	A	
565.					Buy (add'l)	05/03/17	J		
566.					Sold (part)	05/04/17	J	A	
567.					Buy (add'l)	06/14/17	J		
568.					Sold (part)	06/15/17	J	A	
569.					Buy (add'l)	07/05/17	J		
570.					Sold (part)	07/06/17	J	A	
571.					Buy (add'l)	07/19/17	J		
572.					Sold (part)	07/20/17	J	A	
573.					Buy (add'l)	08/02/17	J		
574.					Sold (part)	08/03/17	J	A	
575.					Buy (add'l)	09/13/17	J		
576.					Sold (part)	09/14/17	J	A	
577.					Buy (add'l)	10/04/17	J		
578.					Sold (part)	10/05/17	J	A	

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2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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579.					Buy (add'l)	10/18/17	J		
580.					Sold (part)	10/19/17	J	A	
581.					Buy (add'l)	11/01/17	J		
582.					Sold (part)	11/02/17	J	A	
583.					Buy (add'l)	12/07/17	J		
584.					Sold (part)	12/08/17	J	A	
585.					Buy (add'l)	12/13/17	J		
586.					Sold (part)	12/14/17	J	A	
587. US Bancorp.	A	Dividend	K	T	Sold (part)	01/26/17	J	A	
588.					Buy (add'l)	02/03/17	J		
589.					Buy (add'l)	02/06/17	J		
590.					Buy (add'l)	02/07/17	J		
591.					Sold (part)	04/21/17	J	A	
592.					Sold (part)	05/05/17	J	A	
593.					Sold (part)	06/02/17	J	A	
594.					Sold (part)	06/22/17	J	A	
595.					Sold (part)	07/13/17	J	A	

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596.					Sold (part)	08/07/17	J	A	
597. Unit EIF Equity S&P 500 (Y)									
598. United Technologies Corp.	A	Dividend			Sold (part)	02/01/17	J	A	
599.					Sold (part)	02/27/17	J	A	
600.					Sold (part)	03/16/17	J	A	
601.					Sold (part)	04/21/17	J	A	
602.					Sold (part)	06/05/17	J	A	
603.					Sold (part)	06/06/17	J	A	
604.					Sold (part)	09/01/17	J	A	
605.					Sold	10/23/17	J	A	
606. Unitedhealth Group Inc.	A	Dividend	K	T	Sold (part)	05/08/17	J	A	
607.					Sold (part)	07/28/17	J	A	
608.					Sold (part)	08/07/17	J	A	
609. Vanguard Morgan Growth Fund Admiral Share	A	Dividend	M	T					
610. Vanguard Short Term Tax Exempt Admiral Share	A	Int./Div.	O	T					
611. Vanguard Windsor Fund Admiral Share	A	Dividend	K	T					
612. Visa Inc.	A	Dividend	K	T	Sold (part)	04/25/17	J	A	

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613.					Sold (part)	07/06/17	J	A	
614.					Sold (part)	08/07/17	J	A	
615.					Sold (part)	09/15/17	J	A	
616. Wal-mart Stores Inc.	A	Dividend	K	T	Buy	06/12/17	J		
617.					Buy (add'l)	09/11/17	J		
618.					Buy (add'l)	09/18/17	J		
619.					Buy (add'l)	10/24/17	J		
620. Walt Disney Co.	A	Dividend	J	T	Sold (part)	08/03/17	J	A	
621.					Sold (part)	08/07/17	J	A	
622. Westwood Income Oppty	A	Dividend	O	T					
623. Xerox Corp.	A	Dividend	J	T					
624. Xilinx Inc.	A	Dividend	K	T	Sold (part)	02/03/17	J	A	
625.					Sold (part)	02/23/17	J	A	
626. Zoetis Inc.	A	Dividend	J	T	Buy	08/28/17	J		
627.					Buy (add'l)	08/30/17	J		
628.					Buy (add'l)	09/15/17	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Page 4 of 42, Line 13; AB International Small Cap - reported twice last year by mistake. (2016 Financial Report Page 5of 55, line 24 AB International Small Cap; Page 5of 55, line 29 AB Small Cap Core Portfolio)

Page 9 of 42, Line 101; Bioverativ Inc. - On 2/2/2017, Bioverativ Inc. was a spinoff from Biogen Inc. Biogen Inc. was renamed on 3/25/2015.

Page 10 of 42, Line 103; Black Knight Inc. - On 10/2/17, Black Knight Inc. was a spinoff from FNF Group.

Page 13 of 42, Line 163; Conduent Inc. - On 1/3/2017, Conduent Incorporation was a spinoff off from Xerox Corp.

Page 15 of 42, Line 194; Dow DuPont Inc. (formerly Dow Chemical) - On 9/1/2017, Dow Chemical and DowDuPont merged and are operating under DowDuPont Inc.

Page 15 of 42, Line 204; DXC Technology Co. - On 4/3/2017, DXC Technology was a spinoff from Hewlett Packard Enterprise Co..

Page 24 of 42, Line 354 - Lord Abbott Equity Fund; Page 24 of 42, Line 355 - LMP Real Estate Income; Page 24 of 42, Line 356- LMP Stk Appr. Fund; Page 34 of 42, Line 520 - Seligman Communication Mutual Fund; - these are holdings [REDACTED] acquired a very long time ago and we have no way of further describing it. In efforts of full disclosure, these holdings have been disclosed as a precaution rather than omitting them from the report even though he is not sure he still owns them.

On the 2016 Financial Disclosure Report, we erroneously reported two entries for the same holding. Page 5 of 55, Line 26 and 27 are the same holding - AB International Strategic Equities Portfolio with one buy on 2/22/2016 and one buy 12/16/2016. On the 2017 Financial Disclosure Report, we corrected the error and reported it as one holding.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Cheryl L. Pollak

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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