

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Dalton, Jr., Roy B.	2. Court or Organization U.S. District Court, Middle District of Florida	3. Date of Report 05/11/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 401 West Central Blvd., Suite 4750 Orlando, FL 32801		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Partner	Rugby Property, LLC
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2013

Rugby Property, LLC - Management Fee

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	New Traditions Bank	Mortgage on Rental Property #1, Orlando, FL (Part VII, line 3)	O
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Rugby Property, LLC: (Passive Investment as General Partner)									
2. - Seaside Bank Account		None	J	T					
3. - Rental Property #1 (Orlando, FL) \$720,000	F	Rent	O	W					
4. - Rental Property #2 (Pensacola, FL) \$440,000	D	Rent	N	W					
5. ITB Premium Fund I, LTD - Limited Interest (No Control)		None			Distributed	12/31/13	O		
6. Government Personnel Mutual Whole Life	A	Interest	J	T					
7. Seaside Bank Accounts		None	K	T					
8. John Hancock Guaranteed Universal Life Policy		None	M	T					
9. Lord Abbett (IRA #1) (Money Market Account)	A	Interest	K	T					
10. Limited Partnership Interest in ITB Premium Fund II, LTD (IRA #2)		None	M	U					
11. Working Interest - Baldwin, Clay County, TX	A	Royalty	J	W					
12. Working Interest - Butler, Palo Pinto County, TX (Y)									
13. Working Interest - Cato #11, Hardeman County, TX	D	Royalty	J	W					
14. Working Interest - Gibson 1, Cottle County, TX	A	Royalty	J	W					
15. Working Interest - Gibson A-1, Cottle County, TX (Y)									
16. Working Interest - Hamilton #2, Palo Pinto County, TX	A	Royalty	J	W					
17. Working Interest - Lytle Unit, Hardeman County, TX	A	Royalty	J	W					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Working Interest - Mayfield, Clay County, TX	A	Royalty	J	W					
19. Working Interest - McLellan- Swofford, Hardeman County, TX	B	Royalty	J	W					
20. Working Interest - McNeese West, Hardeman County, TX	B	Royalty	J	W					
21. Working Interest - Minshew Unit, Hardeman County, TX	B	Royalty	J	W					
22. Working Interest - Smith Eagle Creek #1, Nolan County, TX	A	Royalty	J	W					
23. Working Interest - Smith Eagle Creek #2, Nolan County, TX		None	J	W					
24. Working Interest - Southern Cotton, Hardeman County, TX	E	Royalty	K	W					
25. Working Interest - Stell #2, Clay County, TX	A	Royalty	J	W					
26. Working Interest - Word #5, Hardeman County, TX	A	Royalty	J	W					
27. Working Interest - Word #A-2, Hardeman County, TX	B	Royalty	J	W					
28. Working Interest - Young, Hardeman County, TX	C	Royalty	J	W					
29. Working Interest - Word #4, Hardeman County, TX		None	J	W					
30. Working Interest - Johnson #1, King County, TX	B	Royalty	J	W					
31. Working Interest - Word #6, Hardeman County, TX	D	Royalty	J	W	Buy	06/30/13	K		Corda Corporation
32. - Three Shores Bancorporation, Common Stock		None	M	T					
33. Brokerage Account #1:									
34. - Seaside Bank Sweep Fund		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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35. - Seaside Bank Sweep Fund	A	Interest	J	T					
36. - Fidelity Advisor Floating Rate High Income Fund	A	Dividend			Buy (add'l)	03/12/13	K		
37.					Sold	04/12/13	L	A	
38. - Vanguard GNMA Fund - ADM #536 Mutual Fund	B	Dividend			Sold (part)	02/21/13	J	A	
39.					Sold (part)	03/12/13	L	A	
40.					Sold (part)	05/02/13	K	A	
41.					Sold (part)	06/10/13	J	A	
42.					Sold	06/12/13	K	A	
43. - Vanguard Limited Term Tax Exempt Fund #531 Mutual Fund	B	Dividend			Sold (part)	05/02/13	L	A	
44.					Sold	06/17/13	L	A	
45. - iShares Tr Barclays 1-3 Yr Treas Bond Fund Mutual Fund	A	Dividend			Sold	05/01/13	J	A	
46. - American Electrical Power Inc Common Stock	A	Dividend			Sold	05/01/13	J	A	
47. - Apache Corp Common Stock	A	Dividend			Buy (add'l)	03/19/13	J		
48.					Sold	05/01/13	J	A	
49. - Abbvie Inc. Common Stock	A	Dividend			Buy	02/21/13	J		
50.					Sold	05/01/13	J	A	
51. - AstraZeneca PLC Sponsored ADR Common Stock	A	Dividend			Sold	05/01/13	J	A	

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52. - AT&T Inc Common Stock	A	Dividend			Buy (add'l)	02/15/13	J		
53.					Sold	05/01/13	J	A	
54. - BCE Inc. Common Stock	A	Dividend			Sold (part)	01/15/13	J	A	
55.					Sold	05/01/13	J	A	
56. - Becton Dickson & Co Common Stock	A	Dividend			Sold	05/01/13	J	A	
57. - Berkley W R Corp Common Stock	A	Dividend			Sold	05/01/13	J	A	
58. - BP PLC Sponsored ADR Common Stock	A	Dividend			Buy	01/15/13	J		
59.					Buy (add'l)	02/21/13	J		
60.					Buy (add'l)	04/23/13	J		
61.					Sold	05/01/13	J	A	
62. - Bristol Myers Squibb Common Stock	A	Dividend			Sold	05/01/13	J	A	
63. - Campbell Soup Co Common Stock	A	Dividend			Sold (part)	02/27/13	J	A	
64.					Sold	03/19/13	J	A	
65. - CenturyLink Inc Common Stock		None			Sold	02/21/13	J	A	
66. - Chevron Corp Common Stock	A	Dividend			Sold	05/01/13	J	A	
67. - ConocoPhillips Common Stock	A	Dividend			Sold	05/01/13	J	A	
68. - CVS Caremark Corp Common Stock	A	Dividend			Sold	05/01/13	J	A	

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69. - Dominion Resources Inc VA New Common Stock	A	Dividend			Buy (add'l)	02/21/13	J		
70.					Sold	05/01/13	J	A	
71. - Duke Energy Hldg Corp Common Stock	A	Dividend			Sold	05/01/13	J	A	
72. - Exelon Corp Common Stock	A	Dividend			Sold	05/01/13	J	A	
73. - Expeditors Int'l Wash Inc, Common Stock		None			Sold	05/01/13	J	A	
74. - France Telecom Sponsored ADR Common Stock		None			Sold	05/01/13	J	A	
75. - Franco Nevada Corp Common Stock					Buy	04/26/13	J		
76.					Sold	05/01/13	J	A	
77. - Glaxosmithkline PCL Sponsored ADR Common Stock	A	Dividend			Sold (part)	04/23/13	J	A	
78.					Sold	05/01/13	J	A	
79. - HCP Inc, Common Stock	A	Dividend			Sold	05/01/13	J	A	
80. - Health Care Reit Inc, Common Stock	A	Dividend			Buy (add'l)	02/21/13	J		
81.					Sold	05/01/13	J	A	
82. - Heinz HJ Co Common Stock		None			Sold	02/21/13	J	A	
83. - Johnson & Johnson Common Stock	A	Dividend			Sold (part)	04/05/13	J	A	
84.					Sold (part)	05/01/13	J	A	
85.					Sold	05/01/13	J	A	

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2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
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86. - Kimberly Clark Corp. Common Stock	A	Dividend			Sold	05/01/13	J	A	
87. - Lilly Eli & Co Common Stock	A	Dividend			Sold	05/01/13	J	A	
88. - McDonalds Corp. Common Stock	A	Dividend			Sold (part)	02/21/13	J	A	
89.					Sold	05/01/13	J	A	
90. - Medtronic Inc. Common Stock	A	Dividend			Sold (part)	01/22/13	J	A	
91.					Sold	05/01/13	J	A	
92. - Merck & Co. Inc. Common Stock	A	Dividend			Buy (add'l)	02/21/13	J		
93.					Sold	05/01/13	J	A	
94. - Microsoft Corp. Common Stock	A	Dividend			Sold	05/01/13	J	A	
95. - Molson Coors Brewing Co. CL B	A	Dividend			Sold	05/01/13	J	A	
96. - National Grid PLC Sponsored ADR New Common Stock	A	Dividend			Sold	05/01/13	J	A	
97. - Newmont Mining Corp. Common Stock	A	Dividend			Buy (add'l)	03/19/13	J		
98.					Sold (part)	04/26/13	J	A	
99.					Sold	05/01/13	J	A	
100. - Novartis AG Sponsored ADR Common Stock	A	Dividend			Sold (part)	01/22/13	J	A	
101.					Sold (part)	02/27/13	J	A	
102.					Sold	05/01/13	J	A	

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103. - Paychex Inc. Common Stock		None			Sold	05/01/13	J	A	
104. - Peoples United Financial Inc. Common Stock	A	Dividend			Sold	05/01/13	J	A	
105. - Pepsico Inc. Common Stock	A	Dividend			Sold	05/01/13	J	A	
106. - PPL Corp. Common Stock	A	Dividend			Buy (add'l)	02/21/13	J		
107.					Sold	06/17/13	J	A	
108. - Procter & Gamble Co. Common Stock	A	Dividend			Sold (part)	02/04/13	J	A	
109.					Sold (part)	04/05/13	J	A	
110.					Sold	05/01/13	J	A	
111. - Royal Dutch Shell PLC Sponsored ADR Common Stock	A	Dividend			Sold	05/01/13	J	A	
112. - Senior Housing PPTYs Tr Common Stock	A	Dividend			Buy	02/21/13	J		
113.					Sold	05/01/13	J	A	
114. - Southern Co. Common Stock	A	Dividend			Sold	05/01/13	J	A	
115. - Spectra Energy Corp. Common Stock	A	Dividend			Buy (add'l)	02/04/13	J		
116.					Sold	05/01/13	J	A	
117. - Sysco Corp. Common Stock	A	Dividend			Sold	05/01/13	J	A	
118. - Total SA Sponsored ADR Common Stock	A	Dividend			Sold	05/01/13	J	A	
119. - Unilever PLC Sponsored ADR Common Stock	A	Dividend			Sold	05/01/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. - Vodafone Group PLC New Sponsored ADR Common Stock	A	Dividend			Sold	05/01/13	J	A	
121. - Western Union Co. Common Stock	A	Dividend			Sold	05/01/13	J	A	
122. - Windstream Corp. Common Stock (Y)									
123. - Federated Strategic Value Dividend Fund	A	Dividend			Buy (add'l)	05/02/13	M		
124.					Sold	06/18/13	M	A	
125. - First Eagle High Yield Fund	A	Dividend			Buy	05/02/13	K		
126.					Sold	06/17/13	K	A	
127. - Oppenheimer Developing Market Fund		None			Sold	05/02/13	J	A	
128. - Pimco All Asset Fund CL I #34 Mutual Fund	B	Dividend			Sold	06/18/13	L	A	
129. - Pimco Income Fund INS #1821 Mutual Fund	A	Dividend			Buy	05/02/13	L		
130.					Sold	06/17/13	L	A	
131. - Pimco Low Duration Fund #36 Mutual Fund	A	Dividend			Buy	06/12/13	K		
132.					Sold	06/17/13	K	A	
133. - Yacktman Fund Service Class f/k/a The Yacktman Fund		None			Buy (add'l)	05/02/13	J		
134.					Sold	06/18/13	K	A	
135. - Thornberg International Value Fund Class I #209 Mutual Fund	A	Dividend			Sold	05/02/13	K	A	
136. - Thornberg Investment Income Builder Fund Class I #261 Mutual Fund	A	Dividend			Buy	05/02/13	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Sold	06/18/13	K	A	
138. - Touchstone Sands Capital Select Growth Fund Class Y#342 Mutual Fund		None			Buy	05/02/13	K		
139.					Sold	06/18/13	K	A	
140. - T Rowe Price Institutional Floating Rate Fund #170 Mutual Fund	A	Dividend			Buy	04/12/13	L		
141.					Sold (part)	05/02/13	K	A	
142.					Sold	06/17/13	L	A	
143. - Vanguard Dividend Growth Fund #57 Mutual Fund		None			Buy (add'l)	05/02/13	J		
144.					Sold	06/18/13	K	A	
145. - Vanguard Short Term Investment Grade Adm 539 Mutual Fund	A	Dividend			Buy	03/12/13	K		
146.					Sold	06/17/13	K	A	
147. - Vanguard Total Stock Market Index Fund SG - #1341 Mutual Fund		None			Buy	05/02/13	K		
148.					Sold	06/18/13	L	A	
149. - Market Vectors ETF Tr Agribusiness Exchange Traded Fund		None			Sold	06/20/13	K	A	
150. - Sprott Physical Gold Tr Unit Mutual Fund		None			Sold	05/01/13	J	A	
151. - Three Shores Bancorporation, Common Stock		None	M	T					
152.									
153. Brokerage Account #2:									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. - Seaside Bank Account		None	J	T					
155. - Seaside Bank Account	A	Interest	K	T					
156. - Alaska Mun BD BK Alaska Mun B Rev Municipal Bond	C	Interest			Sold	06/25/13	L	A	
157. - California St Univ Rev Sys Rev Bds Municipal Bond	A	Interest			Sold	06/25/13	K	A	
158. - California St Univ Rev System Wide Municipal Bond	A	Interest	J	T					
159. - Cedar Park Tex Util Sys Rev Ref Municipal Bond	A	Interest			Sold	06/25/13	J	A	
160. - Cedar Park Tex Util Sys Rev Ref Municipal Bond	A	Interest			Sold	06/25/13	K	A	
161. - Columbia SC CTFS Partn Tourism Dev Municipal Bond	B	Interest			Sold	06/03/13	K	A	
162. - Delaware Transn Auth Transn Sys Sr Rev Bds Municipal Bond	A	Interest	K	T					
163. - Energy Northwest Wash Elec Rev Municipal Bond	B	Interest			Sold	05/01/13	K	A	
164. - Franklin Ind Cmnty Multi Sch B First Municipal Bond	B	Interest	L	T					
165. - Hollywood Fla Wtr & Swr Rev Wtr and Swr Ref and Impt 4.00%	B	Interest			Sold	06/25/13	L	A	
166. - Indiana Fin Autho Hwy Rev Municipal Bond	B	Interest	L	T					
167. - Jacksonville Fla Sales Tax Rev Sales Municipal Bond	B	Interest			Sold	06/25/13	L	A	
168. - Lauderdale Fla Wtr & Swr Rev Municipal Bond	B	Interest	L	T					
169. - Nassau Cnty NY Interim Fin Auth Municipal Bond 4.00%	A	Interest			Sold	06/25/13	K	A	
170. - New Jersey St CTFS Partn Equip Lease Municipal Bond	C	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. - New York NY City Transitional Fin Auth Municipal Bond	B	Interest	K	T					
172. - New York St Dorm Auth Revs Ref Secd Municipal Bond	C	Interest	L	T					
173. - Phoenix Ariz Civic Impt Corp Transit Municipal Bond	B	Interest	K	T					
174. - Pickerington Ohio Loc Sch Dist Sch Municipal Bond	B	Interest			Sold	12/02/13	K	A	
175. - Pierce Cnty Wash Sch Dist No 4 Municipal Bond	B	Interest	L	T					
176. - Seattle Wash RFDG Municipal Bond	C	Interest	L	T					
177. - Van Buren TWP Mich LOC Dev Fin Auth Municipal Bond	B	Interest			Sold	05/01/13	K	A	
178. - Fidelity Advisor Floating Rate High Income Fund #279 Mutual Fund	A	Dividend			Buy (add'l)	03/12/13	J		
179.					Sold	04/12/13	L	B	
180. - Vanguard GNMA Fund #36 Mutual Fund	A	Dividend			Sold (part)	03/12/13	K	A	
181.					Sold (part)	05/02/13	J	A	
182.					Sold	06/12/13	J	A	
183. - Vanguard Inter Term T/E Fund #542 Mutual Fund	B	Dividend			Sold (part)	01/15/13	J	A	
184.					Sold (part)	02/05/13	J	A	
185.					Sold (part)	06/13/13	K	A	
186.					Sold	06/20/13	K	A	
187. - Vanguard Sht Term Invst Grade Adm 539 Mutual Fund	A	Dividend	K	T	Sold (part)	02/05/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A - \$1,000 or less F - \$50,001 - \$100,000	B - \$1,001 - \$2,500 G - \$100,001 - \$1,000,000	C - \$2,501 - \$5,000 H1 - \$1,000,001 - \$5,000,000	D - \$5,001 - \$15,000 H2 - More than \$5,000,000	E - \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J - \$15,000 or less N - \$250,001 - \$500,000 P3 - \$25,000,001 - \$50,000,000	K - \$15,001 - \$50,000 O - \$500,001 - \$1,000,000	L - \$50,001 - \$100,000 P1 - \$1,000,001 - \$5,000,000 P4 - More than \$5,000,000	M - \$100,001 - \$250,000 P2 - \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q - Appraisal U - Book Value	R - Cost (Real Estate Only) V - Other	S - Assessment W - Estimated	T - Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Buy (add'l)	03/12/13	J		
189.					Buy (add'l)	04/16/13	K		
190.					Sold (part)	05/02/13	L	A	
191.					Sold (part)	12/12/13	J	A	
192. - Ishares Tr Barclays 1-2 Yr Treas Bd Fund Mutual Fund	A	Dividend			Sold	05/01/13	J	A	
193. - American Electrical Power Inc Common Stock	A	Dividend			Sold	05/01/13	J	A	
194. - Apache Corp Common Stock	A	Dividend			Buy (add'l)	03/19/13	J		
195.					Sold	05/01/13	J	A	
196. - Abbvie Inc. Common Stock	A	Dividend			Buy	02/21/13	J		
197.					Sold	05/01/13	J	A	
198. - Astrazeneca PLC Sponsored ADR Common Stock	A	Dividend			Sold	05/01/13	J	A	
199. - AT&T Inc Common Stock	A	Dividend			Sold	05/01/13	J	A	
200. - BCE Inc. Common Stock	A	Dividend			Sold (part)	01/15/13	J	A	
201.					Sold	05/01/13	J	A	
202. - Becton Dickson & Co Common Stock	A	Dividend			Sold (part)	02/20/13	J	A	
203.					Sold	05/01/13	J	A	
204. - Berkley WR Corp Common Stock	A	Dividend			Sold	05/01/13	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. - BP PLC Sponsored ADR Common Stock	A	Dividend			Buy	01/15/13	J		
206.					Buy (add'l)	02/21/13	J		
207.					Buy (add'l)	04/23/13	J		
208.					Sold	05/01/13	J	A	
209. - Bristol Myers Squibb Common Stock	A	Dividend			Sold	05/01/13	J	A	
210. - Campbell Soup Co. Common Stock		None			Sold (part)	02/27/13	J	A	
211.					Sold	03/19/13	J	A	
212. - CenturyLink Inc Common Stock		None			Sold	02/21/13	J	A	
213. - Chevron Corp Common Stock	A	Dividend			Sold	05/01/13	J	A	
214. - ConocoPhillips Common Stock	A	Dividend			Sold	05/01/13	J	B	
215. - CVS Caremark Corp Common Stock	A	Dividend			Sold	05/01/13	J	B	
216. - Dominion Resources Inc Va New Common Stock	A	Dividend			Buy (add'l)	02/21/13	J		
217.					Sold	05/01/13	J	A	
218. - Duke Energy Corp New Common Stock	A	Dividend			Sold	05/01/13	J	B	
219. - Exelon Corp Common Stock	A	Dividend			Sold	05/01/13	J	A	
220. - Expeditors Int'l Wash Inc. Common Stock		None			Sold	05/01/13	J	A	
221. - France Telecom Sponsored ADR Common Stock		None			Sold	05/01/13	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. - Franco Nevada Corp Common Stock		None			Buy	04/26/13	J		
223.					Sold	05/01/13	J	A	
224. - Glaxosmithkline PCL Sponsored ADR Common Stock	A	Dividend			Sold (part)	04/23/13	J	A	
225.					Sold	05/01/13	J	A	
226. - HCP Inc. Common Stock	A	Dividend			Sold	05/01/13	J	A	
227. - Health Care Reit Inc. Common Stock	A	Dividend			Buy (add'l)	02/21/13	J		
228.					Sold	05/01/13	J	B	
229. - Heinz HJ Co Common Stock		None			Sold	02/21/13	J	B	
230. - Johnson & Johnson Common Stock	A	Dividend			Sold (part)	05/01/13	J	B	
231.					Sold	05/01/13	J	B	
232. - Kimberly Clark Corp. Common Stock	A	Dividend			Sold	05/01/13	J	B	
233. - Lilly Eli & Co Common Stock	A	Dividend			Sold	05/01/13	J	B	
234. - McDonalds Corp. Common Stock	A	Dividend			Sold	05/01/13	J	A	
235. - Medtronic Inc. Common Stock	A	Dividend			Sold (part)	01/22/13	J	A	
236.					Sold	05/01/13	J	A	
237. - Merck & Co. Inc. New Common Stock	A	Dividend			Buy (add'l)	02/21/13	J		
238.					Sold	05/01/13	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. - Microsoft Corp. Common Stock	A	Dividend			Sold	05/01/13	J	B	
240. - Molson Coors Brewing Co. CL B Common Stock	A	Dividend			Sold	05/01/13	J	A	
241. - National Grid PLC Sponsored ADR New Common Stock	A	Dividend			Sold	05/01/13	J	B	
242. - Newmont Mining Corp. Common Stock	A	Dividend			Buy (add'l)	03/19/13	J		
243.					Sold (part)	04/26/13	J	A	
244.					Sold	05/01/13	J	A	
245. - Novartis AG Sponsored ADR Common Stock	A	Dividend			Sold (part)	02/27/13	J	A	
246.					Sold	05/01/13	J	B	
247. - Paychex Inc. Common Stock		None			Sold	05/01/13	J	A	
248. - Peoples United Financial Inc. Common Stock	A	Dividend			Sold	05/01/13	J	A	
249. - Pepsico Inc. Common Stock	A	Dividend			Sold	05/01/13	J	A	
250. - PPL Corp. Common Stock	A	Dividend			Buy (add'l)	02/21/13	J		
251.					Sold	05/01/13	J	A	
252. - Procter & Gamble Co. Common Stock	A	Dividend			Sold (part)	02/04/13	J	A	
253.					Sold (part)	04/05/13	J	A	
254.					Sold	05/01/13	J	A	
255. - Royal Dutch Shell PLC Sponsored ADR Common Stock		None			Sold	05/01/13	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Dalton, Jr., Roy B.

Date of Report

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256. - Senior Housing PPTYs Tr Sh Ben Int Common Stock	A	Dividend			Buy	02/21/13	J		
257.					Sold	05/01/13	J	A	
258. - Southern Co. Common Stock	A	Dividend			Sold	05/01/13	J	B	
259. - Spectra Energy Corp. Common Stock	A	Dividend			Buy (add'l)	02/04/13	J		
260.					Sold	05/01/13	J	A	
261. - Sysco Corp. Common Stock	A	Dividend			Sold	05/01/13	J	B	
262. - Total SA Sponsored ADR Common Stock	A	Dividend			Sold	05/01/13	J	A	
263. - Unilever PLC Sponsored ADR Common Stock	A	Dividend			Sold	05/01/13	J	A	
264. - Vodafone Group PLC New Sponsored ADR Common Stock	A	Dividend			Sold	05/01/13	J	A	
265. - Western Union Co. Common Stock	A	Dividend			Sold	05/01/13	J	A	
266. - Windstream Corp. Common Stock	A	Dividend			Sold	05/01/13	J	A	
267. - First Eagle High Yield Fund Class I #818 Mutual Fund	B	Dividend	K	T	Buy	05/02/13	K		
268. - Franklin Low Duration Total Return Fund - ADV #621 Mutual Fund	A	Dividend	K	T	Buy	07/25/13	J		
269.					Buy (add'l)	12/09/13	J		
270. - Eagle MLP Strategy Fund Class I #445	A	Dividend	K	T	Buy	07/25/13	K		
271.					Buy (add'l)	12/09/13	K		
272. - American Funds - Fundamental Investors - F2 Mutual Fund	A	Dividend			Sold	04/16/13	K	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. - Federated Strategic Value Dividend Fund IS #662 Mutual Fund	C	Dividend	M	T	Buy (add'l)	05/02/13	M		
274.					Sold (part)	06/02/13	K	B	
275. - Pimco All Asset Fund CL I #34 Mutual Fund	A	Dividend			Buy (add'l)	05/02/13	K		
276.					Sold (part)	06/02/13	J	A	
277.					Sold	07/26/13	K	A	
278. - Pimco Income Fund - INS #1821 Mutual Fund	A	Dividend			Buy	05/02/13	K		
279.					Sold	07/26/13	K	A	
280. - Pimco Low Duration Fund #36 Mutual Fund	A	Dividend			Buy	06/12/13	J		
281.					Sold	07/25/13	J	A	
282. - Yacktman Fund Service Class f/k/a The Yacktman Fund	A	Dividend	K	T	Sold (part)	06/20/13	J	B	
283. - Thornberg Investment Income Builder Fund Class I #261	A	Dividend	K	T	Buy	05/02/13	K		
284.					Sold (part)	06/20/13	J	A	
285.					Sold (part)	11/07/13	J	A	
286. -Touchstone Sands Capital Select Growth Fund Class Y #342 Mutual Fund		None	K	T	Buy	05/02/13	K		
287.					Sold (part)	06/20/13	J	A	
288. - T Rowe Price Institutional Floating Rate Fund #170 Mutual Fund	B	Dividend	L	T	Buy	04/12/13	L		
289.					Sold (part)	05/02/13	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A - \$1,000 or less F - \$50,001 - \$100,000	B - \$1,001 - \$2,500 G - \$100,001 - \$1,000,000	C - \$2,501 - \$5,000 H1 - \$1,000,001 - \$5,000,000	D - \$5,001 - \$15,000 H2 - More than \$5,000,000	E - \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J - \$15,000 or less N - \$250,001 - \$500,000 P3 - \$25,000,001 - \$50,000,000	K - \$15,001 - \$50,000 O - \$500,001 - \$1,000,000	L - \$50,001 - \$100,000 P1 - \$1,000,001 - \$5,000,000 P4 - More than \$5,000,000	M - \$100,001 - \$250,000 P2 - \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q - Appraisal U - Book Value	R - Cost (Real Estate Only) V - Other	S - Assessment W - Estimated	T - Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290.					Sold (part)	11/07/13	J	A	
291.					Buy (add'l)	12/09/13	J		
292. - Vanguard Dividend Growth Fund #57 Mutual Fund	A	Dividend	L	T	Buy	04/16/13	K		
293.					Buy (add'l)	05/02/13	K		
294.					Sold (part)	06/20/13	J	A	
295.					Buy (add'l)	12/09/13	K		
296. - Vanguard Total Stock Market Index Fund - SG #1341 Mutual Fund	A	Dividend	L	T	Buy (add'l)	04/16/13	J		
297.					Buy (add'l)	05/02/13	K		
298.					Sold (part)	06/20/13	J	A	
299.					Buy (add'l)	12/09/13	K		
300. - Vanguard Limited Term Tax-Exempt Fund #531 Mutual Fund	A	Dividend			Buy	06/13/13	K		
301.					Sold	12/09/13	K	A	
302. -Wells Fargo Advantage Adjustable Rate Gov't Fund CL I #4118 Mutual Fd	A	Dividend			Buy	07/26/13	K		
303.					Sold (part)	10/10/13	J	A	
304.					Sold (part)	11/21/13	J	A	
305.					Sold	12/09/13	J	A	
306. - Sprott Physical Gold Tr Unit Mutual Fund		None			Sold	05/01/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. - Three Shores Bancorporation Common Stock			K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1) Part I (Positions): Judge Dalton holds a limited partnership interest in the following entity:

A - ITB Premium Fund I, LTD.

His position in this entity is not listed in Part I due to the limited nature of his responsibilities. This partnership interest is instead reported as an asset held, which is disclosed in Part VII (Investments and Trusts).

2) Part VII (Investments and Trusts): The royalty income disclosed in Column B for each working interest is gross income from the specific oil well.

3) Part VII (Investments and Trusts): The asset listed on line 122 of the 2013 Financial Disclosure Report was inadvertently omitted in 2012. There were no reportable transactions in 2012.

4) Part VII (Investments and Trusts): The asset listed on line 161 of the 2012 Financial Disclosure Report was a total sale.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Roy B. Dalton, Jr.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544