

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Halfenger, Gerald M.	2. Court or Organization U.S. Bankruptcy Court, E.D. Wisconsin	3. Date of Report 12/15/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2012 to 12/31/2012
5b. ☒ Amended Report		
7. Chambers or Office Address U.S. Courthouse & Federal Building Room 140 517 East Wisconsin Avenue Milwaukee, WI 53217		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Partner	Foley & Lardner LLP
2. Governor & Second Vice President	Seventh Circuit Bar Association
3. Director	Milwaukee Lawyers' Chapter of the Federalist Society
4. Director	Milwaukee Youth Symphony Orchestra
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1/10/2013	Parties: G. Michael Halfenger & Foley & Lardner LLP. Terms: Halfenger's withdrawal from partnership effective 1/10/2013 (see Part VIII)
2. 2/1/2000	Parties: G. Michael Halfenger & Foley & Lardner; partnership agreement
3. 2000	Foley & Lardner Defined Contribution Plan ("RPC Fund"), no control
4. 1/2010	Foley & Lardner Cash Balance Plan, no control

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2011	Foley & Lardner LLP partner distribution for FYE 1/31/2011	\$325,829.00
2. 2012	Foley & Lardner LLP partner distribution for FYE 1/31/2012	\$350,884.00
3. 2013	Foley & Lardner LLP partner distribution for FYE 1/31/2013	\$341,000.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. exempt				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	EXEMPT		
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Vanguard Target Retirement 2020 Inv		None	L	T	Exempt				
2. Fidelity Contrafund		None	L	T	Exempt				
3. Vanguard Institutional Index I		None	L	T	Exempt				
4. Alger Small Cap Growth I-2		None	K	T	Exempt				
5. Dreyfus/theBoston Co Sm Cap Val I		None	K	T	Exempt				
6. Dodge & Cox International Stock		None	L	T	Exempt				
7. DFA International Small Cap Value I		None	J	T	Exempt				
8. PIMCO High Yield Instl		None	K	T	Exempt				
9. RPC Fund (Foley & Lardner Defined Contribution Plan)		None	N	T	Exempt				
10. Foley & Lardner Cash Balance Pension Plan		None	L	U	Exempt				
11. Foley & Larnder Capital Account	B	Interest	L	T	Exempt				
12. Allianz NFJ Large Cap Value	A	Dividend	J	T	Exempt				
13. Blackrock Equity Dividend	A	Dividend	K	T	Exempt				
14. Columbia Marsico Growth	A	Dividend			Exempt				
15. Delaware Select Growth		None	J	T	Exempt				
16. Dodge & Cox Intl Stock	A	Dividend	K	T	Exempt				
17. DWS Managed Municipal Bonds	A	Dividend	K	T	Exempt				

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Eaton Vance Tax Managed Value	A	Dividend	J	T	Exempt				
19. Franklin Fed Int-Term T/F Inc		None	J	T	Exempt				
20. Fundamental Investors	A	Dividend	K	T	Exempt				
21. Harbor Capital Appreciation	A	Dividend	K	T	Exempt				
22. Hotchkis & Wiley Mid Cap Val	A	Dividend	J	T	Exempt				
23. Invesco International Growth	A	Dividend	K	T	Exempt				
24. Invesco Comstock Fd CI Y	A	Dividend	J	T	Exempt				
25. Manning & Napier World Opp	A	Dividend	J	T	Exempt				
26. MFS Municipal Lim Maturity	A	Dividend	J	T	Exempt				
27. Mutual Global Discovery CI Z	A	Dividend	K	T	Exempt				
28. Neuberger Berman Mid Cap Gr	A	Dividend	J	T	Exempt				
29. Pioneer Oak Ridge Sm Cap Gr	A	Dividend	J	T	Exempt				
30. T Rowe Price Tax-Ex Mon Mkt	A	Int./Div.	J	T	Exempt				
31. American Fund Tax Exempt Bond	A	Int./Div.	K	T	Exempt				
32. Columbia Acorn International	A	Dividend	J	T	Exempt				
33. Eaton Vance Atlanta Cap SMID 1	A	Dividend	J	T	Exempt				
34. Guggenheim Mid Cap Value Fund 1	A	Dividend	J	T	Exempt				

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. MFS Growth Fund CI I	A	Dividend	K	T	Exempt				
36. American Balanced Fd CI A-529	A	Dividend	K	T	Exempt				
37. Capital Income Builder Fd A-529	A	Dividend	K	T	Exempt				
38. New Perspective Fund CI A-529	A	Dividend	K	T	Exempt				
39. Washington Mutual Investment CI A-529	A	Dividend	K	T	Exempt				
40. Northern Trust Co	A	Dividend	J	T	Exempt				
41. Europacific Growth Fund CI A	A	Dividend	J	T	Exempt				
42. Fundamental Investors Fund A	A	Dividend	K	T	Exempt				
43. Goldman Sachs Mid Cap Value CI A	A	Dividend	K	T	Exempt				
44. Washington Mutual Invs Fund CI A	A	Dividend	K	T	Exempt				
45. SmallCap World Fund CI A	A	Dividend	K	T	Exempt				
46. Invesco Growth & Income Fund A	A	Dividend	J	T	Exempt				
47. Invesco Comstock Fund CI A	A	Dividend	J	T	Exempt				
48. Nike	A	Dividend	J	T	Exempt				
49. EdVest Wells Fargo Moderate Portfolio	A	Dividend	J	T	Exempt				
50. Walt Disney	A	Dividend	J	T	Exempt				
51. Putnam Multi-Cap Growth Fund-A		None	J	T	Exempt				

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52. Northwestern Mutual Whole Life Ins.		None	N	U	Exempt				
53. Oppenheimer Champion Income Fund A	A	Dividend	J	T	Exempt				

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part II. Foley & Lardner LLP Withdrawal Agreement. Terms: 1. firm's consent to withdrawal on Jan. 10, 2013. 2. Setting of total participation in earnings for fiscal year ending Jan. 31, 2013. 3. Provide for transition of work to other firm attorneys. 4. Agreement to consult with firm as necessary regarding work and events that took place pre-withdrawal. 5. Termination of insurance and benefits programs, except vested rights in pension benefit plans; discontinuation of sharing in earnings after withdrawal date; payment of capital account. 6. Confidentiality of firm proprietary matters. 7. Release of Halfenger by firm. 8. Release of firm by Halfenger. 9. Acknowledgments and right to revoke.

Part VII: "RPC Fund" is the Foley & Lardner Defined Contribution Plan (no control). Foley & Lardner capital account was cashed out on 1/30/2013. Columbia Marisico Growth was sold during the reporting period.

Amendment: the amendment adds an additional fund to Part VII at line 53. The added fund, Oppenheimer Champion Income Fund A, was purchased several years ago, and I was unaware of ownership of it when I submitted the original initial report. This second amendment adds this explanation. The first amendment is identical except it lacks this explanation.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Gerald M. Halfenger**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
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Washington, D.C. 20544