

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Sullivan, Emmet G.	2. Court or Organization U.S. District Court for the District of Columbia	3. Date of Report 01/24/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 333 Constitution Ave, NW Washington, DC 20001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Abramson Scholarship Foundation	Director
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 12

Name of Person Reporting

Sullivan, Emmet G.

Date of Report

01/24/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2016	Pension (D.C. Government)	\$66,451.00
2. 2016	Adjunct Professor, American University Washington College of Law	\$12,400.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 12

Name of Person Reporting

Sullivan, Emmet G.

Date of Report

01/24/2018

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 12

Name of Person Reporting

Sullivan, Emmet G.

Date of Report

01/24/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Dreyfus Government Cash Mgmt Fund (prev. Delaware Cash Mgmt Fund)	A	Dividend	J	T	Buy (add'l)	07/06/16	J		
2.					Sold (part)	07/08/16	J	A	
3.					Buy (add'l)	08/09/16	J		
4.					Sold (part)	08/11/16	J	A	
5.					Sold (part)	08/25/16	J	A	
6.					Buy (add'l)	09/06/16	J		
7.					Buy (add'l)	11/10/16	J		
8.					Buy (add'l)	11/18/16	J		
9. Alphabet Inc. C1 A		None	J	T	Buy	10/21/16	J		
10. Apple Inc.	A	Dividend	J	T	Buy	10/21/16	J		
11. Broadcom Ltd.	A	Dividend	J	T	Buy	10/21/16	J		
12.					Buy (add'l)	11/07/16	J		
13. Celgene Corp.		None	J	T	Buy	11/07/16	J		
14. Delaware Value Institutional Fund (same as Delaware Value Fund)	A	Dividend	J	T					
15. First Trust Dorsey Wright Focus ETF (same as FT Dorsey Wright Focus)	A	Dividend	J	T	Buy (add'l)	08/24/16	J		
16.					Sold (part)	12/05/16	J	A	
17. First Trust Morningstar Dividend ETF (same as FT Morningstar Dividend)	A	Dividend	J	T	Sold (part)	12/05/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 12

Name of Person Reporting

Sullivan, Emmet G.

Date of Report

01/24/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Harbor International Institutional Fund	A	Dividend	J	T	Sold (part)	12/05/16	J	A	
19. Henderson European		None			Sold	07/15/16	J	A	
20. Home Depot	A	Dividend	J	T	Buy	10/21/16	J		
21. iShares Russell 1000 Value ETF	A	Dividend	J	T					
22. iShares Russell 1000 Growth ETF	A	Dividend	J	T	Buy (add'l)	01/07/16	J		
23.					Sold (part)	11/15/16	J	A	
24. iShares International Select Dividend ETF		None			Sold	01/07/16	J	A	
25. JP Morgan Mid Cap Value Select (same as JPM Midcap)	A	Dividend	J	T					
26. MFS International Value Fund	A	Dividend	J	T	Buy (add'l)	11/07/16	J		
27. Mainstay Hi Yield Corp Fund	A	Dividend			Sold	02/16/16	J	A	
28. Mainstay Floating Rate	A	Dividend			Sold	08/08/16	J	A	
29. Oppenheimer International Small Mid Co	A	Dividend	J	T	Sold (part)	12/05/16	J	A	
30. Putnam Short Duration Income Fund	A	Dividend			Buy	02/18/16	J		
31.					Sold	05/11/16	J	A	
32. Templeton Global Bond Fund (same as Templeton Global Bond Adv)	A	Dividend			Sold	02/16/16	J	A	
33. T Rowe Price Emerging Markets Stock Fund	A	Dividend	J	T	Buy	08/24/16	J		
34.					Buy (add'l)	11/08/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 12

Name of Person Reporting

Sullivan, Emmet G.

Date of Report

01/24/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35.					Sold (part)	12/05/16	J	A	
36. T Rowe Price Growth Stock Fund	A	Dividend	J	T	Buy (add'l)	08/25/16	J		
37.					Sold (part)	12/05/16	J	A	
38. Thornburg Developing World Growth Fund		None			Sold	01/07/16	J	A	
39. Tesla		None			Sold	07/22/16	K	A	
40. Spider Gold Trust EFT		None			Buy	07/05/16	J		
41.					Sold	11/07/16	J	A	
42. Vanguard Strategic Small Cap	A	Dividend	J	T	Buy (add'l)	08/18/16	J		
43.					Sold (part)	02/18/16	J	A	
44. Prudential Total Return Bond Fund	A	Dividend	K	T	Buy (add'l)	01/07/16	J		
45.					Buy (add'l)	02/16/16	J		
46.					Buy (add'l)	05/11/16	J		
47.					Sold (part)	12/05/16	J	A	
48. Under Armour		None	J	T	Buy	07/22/16	K		
49. United Health Group	A	Dividend	J	T	Buy	10/21/16	J		
50. AB Global Bond Advisor	A	Dividend	J	T	Buy	02/16/16	J	A	
51. Vanguard REIT Index ETF	A	Dividend			Buy	08/24/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 12

Name of Person Reporting

Sullivan, Emmet G.

Date of Report

01/24/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52.					Sold	11/07/16	J	A	
53. Vanguard Strategic Small Cap Equity	A	Dividend	J	T	Buy	08/08/16	J		
54.					Sold (part)	02/18/16	J	A	
55. Visa	A	Dividend	J	T	Buy	10/21/16	J		
56. Victory Munder Mid Cap Core Growth		None			Sold	02/18/16	J	A	
57. Voya Global Real Estate	A	Dividend			Sold	08/24/16	J	A	
58. Weitz Value Fund		None			Sold	01/07/16	J	A	
59. Principal Midcap Fund		None			Buy	08/24/16	J		
60.					Sold	12/05/16	J	A	
61. First Trust US Equity Opportunity Fund	A	Dividend	J	T	Buy	12/05/16	J		
62. First Trust Nasdaq ABA Community Bk	A	Dividend	J	T	Buy	12/05/16	J		
63. First Trust Emerging Markets Alphadex	A	Dividend	J	T	Buy	12/05/16	J		
64. First Trust Europe Alphadex ETF	A	Dividend	J	T	Buy	12/05/16	J		
65. First Trust Healthcare Alphadex ETF	A	Dividend	J	T	Buy	12/05/16	J		
66. First Trust Japan Alphadex EFT	A	Dividend	J	T	Buy	12/05/16	J		
67. First Trust Large Cap Value Opportunity ETF	A	Dividend	J	T	Buy	12/05/16	J		
68. First Trust Large Cap Growth Opportunity	A	Dividend	J	T	Buy	12/05/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 12

Name of Person Reporting

Sullivan, Emmet G.

Date of Report

01/24/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. First Trust Mid Cap Core Alphadex	A	Dividend	J	T	Buy	12/05/16	J		
70. First Trust NYSE Arca Bio Tech Index	A	Dividend	J	T	Buy	12/05/16	J		
71. First Trust Small Cap Core Alphadex	A	Dividend	J	T	Buy	12/05/16	J		
72. First Trust Technology Alphadex	A	Dividend	J	T	Buy	12/05/16	J		
73. Prudential Premier Retirement Variable Annuity (H)									
74. -AST T Rowe Price Asset Allocation (same as AST T Rowe Price in 2015)		None	L	T	Sold (part)	01/11/16	J		
75.					Buy (add'l)	02/04/16	J		
76.					Sold (part)	02/10/16	J	A	
77.					Buy (add'l)	02/22/16	J		
78.					Buy (add'l)	03/04/16	J		
79.					Buy (add'l)	05/04/16	J		
80.					Buy (add'l)	06/06/16	J		
81. -AST Prudential Growth Allocation		None	M	T	Sold (part)	01/11/16	K	A	
82.					Buy (add'l)	02/04/16	J		
83.					Sold (part)	02/10/16	K	A	
84.					Buy (add'l)	02/22/16	J		
85.					Buy (add'l)	03/04/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 12

Name of Person Reporting

Sullivan, Emmet G.

Date of Report

01/24/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86.					Buy (add'l)	05/04/16	J		
87.					Buy (add'l)	06/06/16	J		
88. -AST Advanced Strategies Portfolio		None	L	T	Sold (part)	01/11/16	J	A	
89.					Buy (add'l)	02/04/16	J		
90.					Sold (part)	02/10/16	J	A	
91.					Buy (add'l)	02/22/16	J		
92.					Buy (add'l)	03/04/16	J		
93.					Buy (add'l)	05/04/16	J		
94.					Buy (add'l)	06/06/16	J		
95. -AST Schroders Global Tactical Portfolio		None	L	T	Sold (part)	01/11/16	J	A	
96.					Buy (add'l)	02/04/16	J		
97.					Sold (part)	02/10/16	J	A	
98.					Buy (add'l)	02/22/16	J		
99.					Buy (add'l)	03/04/16	J		
100.					Buy (add'l)	05/04/16	J		
101.					Buy (add'l)	06/06/16	J		
102. -AST Investment Grade Bond		None			Buy	01/11/16	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 12

Name of Person Reporting

Sullivan, Emmet G.

Date of Report

01/24/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103.					Sold (part)	02/04/16	K	A	
104.					Buy (add'l)	02/10/16	K		
105.					Sold (part)	02/22/16	K	A	
106.					Sold (part)	03/04/16	K	A	
107.					Sold (part)	05/04/16	J	A	
108.					Sold	06/06/16	J	A	
109. Prudential Invt 18 Jennison	A	Dividend			Sold	08/24/16	J	A	
110. Cash - Janney Montgomery Scott, LLC		None	J	T					
111. Rental Property I Income (Washington, DC)	A	Rent	O	S					
112. Rental Property II Income (Washington, DC)	E	Rent	P1	S					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 12

Name of Person Reporting

Sullivan, Emmet G.

Date of Report

01/24/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII. Investments and Trusts.

Line Item 111: One-half interest Rental Property I (Washington, DC) - the assessed total value is \$706,000.00

Line Item 112 : One-third interest in Rental Property II (Washington, DC) - the assessed total value is \$1,400,000.00

Line 48 of the 2016 report should have indicated "Sold (part)" rather than "Sold". Please accept this as an amendment to the 2016 report.

FINANCIAL DISCLOSURE REPORT

Page 12 of 12

Name of Person Reporting

Sullivan, Emmet G.

Date of Report

01/24/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Emmet G. Sullivan

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544