

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Haight, Jr., Charles S.	2. Court or Organization District Court, SDNY	3. Date of Report 07/13/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior United States District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address U.S. District Court 141 Church Street New Haven, CT 06510		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Advisory Trustee	American Scandinavian Foundation
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America Money Market	A	Dividend	M	T					
2. Walt Disney Co.	A	Dividend	K	T	Buy (add'l)	08/05/15	K		
3.					Buy (add'l)	08/20/15	J		
4. Home Depot Inc.	A	Dividend	K	T	Sold (part)	03/02/15	J	A	
5.					Sold (part)	04/20/15	J	B	
6. Exxon Mobil Corp.	B	Dividend	K	T					
7. Berkshire Hathaway		None	L	T					
8. Quest Diagnostics	A	Dividend	J	T					
9. Conn St Gen Rev Bonds	C	Interest	M	T					
10. Conn State Spc Tax Oblig Rev Bonds	D	Interest	M	T					
11. Capital One Savings Bank	A	Interest	M	T					
12. US Trust Co of NY Bank of America Checking Account		None	K	T					
13. Guilford Savings Bank		None	J	T					
14. Columbia Select Large Cap. Fund		None	J	T					
15. MasterCard Inc.	A	Dividend	K	T	Sold (part)	03/02/15	J	C	
16.					Sold (part)	04/23/15	J	C	
17. Cheshire, CT GO Bonds		None			Redeemed	01/15/15	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Manchester, CT GO Bonds	C	Interest	M	T					
19. Southington, CT GO Bonds (Non-Callable - due 9/1/17 & 8/1/18)	D	Interest	N	T					
20. Easton, CT GO Bonds	C	Interest	M	T					
21. West Hartford, CT GO Bonds	D	Interest	M	T					
22. Regional School District 18 CT Bonds	D	Interest	M	T					
23. Wethersfield, CT GO Bonds	D	Interest	M	T					
24. TJX Cos., Inc.	A	Dividend	K	T	Sold (part)	03/02/15	J	A	
25.					Sold (part)	04/20/15	J	A	
26. Church & Dwight, Inc.	A	Dividend	K	T	Sold (part)	03/02/15	J	B	
27.					Sold (part)	04/20/15	J	B	
28. Hershey Co.	A	Dividend	J	T	Sold (part)	03/05/15	J	A	
29.					Sold (part)	04/23/15	J	B	
30. JP Morgan Alerian MLP Index ETN		None			Sold	12/21/15	K		
31. Cerner Corp.		None			Sold (part)	03/05/15	J	B	
32.					Sold	04/23/15	J	B	
33. Valeant Pharmaceuticals Intl., Inc.		None			Sold (part)	03/02/15	J	C	
34.					Sold (part)	04/20/15	J	D	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35.					Sold	10/21/15	K	D	
36. Hunt JB Trans Svcs. Inc.	A	Dividend	J	T	Sold (part)	03/02/15	J	A	
37.					Sold (part)	04/20/15	J	A	
38. Apple, Inc.	A	Dividend	K	T	Sold (part)	03/02/15	J	B	
39.					Sold (part)	04/23/15	J	C	
40. Google, Inc.		None			Sold (part)	03/02/15	J	B	
41.					Sold	04/20/15	J	B	
42. Southington, CT GO Bonds (Non-Callable - due 8/1/18)	C	Interest	M	T					
43. Stericycle Inc.		None			Buy (add'l)	03/02/15	J		
44.					Buy (add'l)	03/03/15	J		
45.					Buy (add'l)	03/04/15	J		
46.					Buy (add'l)	03/05/15	J		
47.					Buy (add'l)	03/06/15	J		
48.					Buy (add'l)	03/10/15	J		
49.					Sold (part)	03/11/15	J		
50.					Sold (part)	04/20/15	J	A	
51.					Sold (part)	12/15/15	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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52.					Sold (part)	12/16/15	J		
53.					Sold	12/17/15	J		
54. Johnson & Johnson	A	Dividend	K	T	Sold (part)	03/02/15	J	A	
55.					Sold (part)	04/20/15	J		
56. Polaris		None			Sold (part)	03/02/15	J	A	
57.					Sold (part)	04/20/15	J	A	
58.					Sold	12/22/15	J		
59. LyondellBasell Industries	A	Dividend	K	T	Sold (part)	03/02/15	J	A	
60.					Sold (part)	04/20/15	J	A	
61. Costco Wholesale Corp. (misspelled on prior report as "Cosco")	A	Dividend	K	T	Sold (part)	03/02/15	J	A	
62.					Sold (part)	04/20/15	J	A	
63. Gilead Sciences, Inc.	A	Dividend	K	T	Sold (part)	02/27/15	K	D	
64.					Sold (part)	03/02/15	J	A	
65.					Sold (part)	04/20/15	J	B	
66. Priceline Group		None	K	T	Sold (part)	03/02/15	J	A	
67.					Sold (part)	04/20/15	J	B	
68. Amphenol Corp.	A	Dividend	J	T	Sold (part)	04/20/15	J	A	

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69. American Express Co.		None			Sold (part)	03/02/15	J		
70.					Sold (part)	04/20/15	J		
71.					Sold (part)	07/15/15	J		
72.					Sold	07/16/15	J		
73. Regeneron Pharmaceuticals, Inc.		None	K	T	Sold (part)	04/20/15	J	B	
74. Morgan Stanley	A	Dividend	K	T	Sold (part)	03/02/15	J		
75.					Sold (part)	04/20/15	J	A	
76. Salesforce.com		None	J	T	Sold (part)	03/02/15	J	A	
77.					Sold (part)	04/20/15	J	A	
78. Metro-West T/R BD	E	Interest	O	T					
79. Autozone, Inc.		None	K	T	Sold (part)	03/02/15	J	A	
80.					Sold (part)	04/20/15	J	A	
81. Starbucks	A	Dividend	K	T	Sold (part)	03/02/15	J	A	
82.					Sold (part)	04/20/15	J	A	
83. Tesla Motors		None	J	T	Sold (part)	03/02/15	J		
84.					Sold (part)	04/20/15	J		
85. McKesson Corp.	A	Dividend	K	T	Sold (part)	03/02/15	J	A	

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86.					Sold (part)	04/20/15	J	B	
87. Zoetis, Inc.	A	Dividend	K	T	Sold (part)	03/02/15	J	A	
88.					Sold (part)	04/20/15	J	A	
89. Danaher Corp.	A	Dividend	K	T	Sold (part)	03/02/15	J	A	
90.					Sold (part)	04/20/15	J	A	
91. Union Pacific Corp.	A	Dividend			Sold (part)	03/02/15	J	A	
92.					Sold (part)	04/20/15	J	A	
93.					Sold (part)	08/08/15	J	A	
94.					Sold (part)	12/17/15	J		
95.					Sold	12/18/15	J		
96. United Rentals Corp.		None			Sold (part)	02/27/15	J		
97.					Sold (part)	03/02/15	J	A	
98.					Sold	03/03/15	J	A	
99. 3M Co.	A	Dividend			Sold (part)	03/02/15	J	A	
100.					Sold (part)	04/20/15	J	A	
101.					Sold	08/05/15	J	A	
102. Alibaba Group		None			Sold (part)	03/02/15	J		

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103.					Sold (part)	04/20/15	J		
104.					Sold	12/23/15	J		
105. Facebook, Inc.		None	K	T	Sold (part)	03/02/15	J	A	
106.					Sold (part)	04/20/15	J	A	
107. FireEye, Inc.		None			Sold (part)	02/15/15	J		
108.					Sold (part)	03/02/15	J	A	
109.					Sold (part)	04/20/15	J	A	
110.					Sold	12/16/15	J		
111. Microsoft Corp.	B	Dividend	K	T	Sold (part)	03/03/15	J	A	
112.					Sold (part)	04/20/15	J	A	
113. Verizon	A	Dividend	K	T	Sold (part)	03/02/15	J		
114.					Sold (part)	04/20/15	J		
115. SPDR S&P 500 ETF		None			Sold	01/30/15	K	A	
116. DoubleLine Total Return Bond Fund	D	Interest			Buy (add'l)	03/05/15	L		
117.					Buy (add'l)	04/21/15	L		
118.					Buy (add'l)	04/28/15	M		
119.					Redeemed	12/22/15	O		

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2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Columbia CT Intermediate Muni Bond Fund	C	Interest	L	T					
121. EOG Resources Inc.		None			Buy	02/09/15	K		
122.					Sold (part)	03/02/15	J		
123.					Sold (part)	04/20/15	J	A	
124.					Sold	12/17/15	J		
125. Amazon.com		None	K	T	Buy	01/30/15	K		
126. Alphabet Inc.		None	K	T	Buy	01/30/15	K		
127. Lauder Estee Cos. Inc.	A	Dividend	K	T	Buy	01/12/15	J		
128.					Buy (add'l)	01/13/15	J		
129.					Sold (part)	03/02/15	J	A	
130.					Sold (part)	04/20/15	J	A	
131. BB & T Corp.	A	Dividend	K	T	Buy	07/15/15	J		
132.					Buy (add'l)	07/16/15	J		
133. Ishares Russell 1000 Growth	A	Dividend	K	T	Buy	12/17/15	K		
134. Allergan PLC		None	K	T	Buy	12/18/15	J		
135. Actavis PLC		None			Buy	02/27/15	K		
136.					Sold (part)	03/02/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Haight, Jr., Charles S.

Date of Report

07/13/2016

VII. INVESTMENTS and TRUSTS -- Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Sold	04/20/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting	Date of Report
Haight, Jr., Charles S.	07/13/2016

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, page 11, lines 125 & 126 (Amazon.com and Alphabet Inc.) - Dates of purchase to be confirmed with financial advisor and revised/amended if necessary.

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Name of Person Reporting

Haight, Jr., Charles S.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Charles S. Haight, Jr.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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