

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Jones, Robert L.	2. Court or Organization Bankruptcy Court - N.D. Texas	3. Date of Report 05/15/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address U.S. Bankruptcy Court 1205 Texas Avenue, Room 312 Lubbock, TX 79401-4002		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Stockholder	Lubbock Country Club
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2016

Texas Tech University - salary

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Wachovia Education Finance (ACS Education Services)	Parent Plus Loan	J
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Robert Jones IRA carried by First Clearing Corp., custodian (H)									
2. --Money market fund - Wells Fargo Bank Deposit Program	A	Interest	L	T					
3. --First Trust Sabrient Bakers Dozen (Investment Trust)		None			Redeemed	09/16/16	K		
4. --American Funds Balanced Fund			L	T	Buy	12/29/16	L		
5. Texas Teacher Retirement System (Texas Tech University)	B	Interest	M	T					
6. Wells Fargo Market Rate Savings Account	A	Interest	M	T					
7. Wells Fargo Market Rate Plus Savings Account	A	Interest	K	T					
8. Wells Fargo Advantage Plus Checking Account	A	Interest	J	T					
9. Wells Fargo IRA (Retirement Savings Certificates)	A	Interest	J	T					
10. Estate (H)									
11. --Prudential Alliance Acct (savings account)	A	Interest	K	T					
12. --LPL Financial (Beneficiary) IRA									
13. --Deposit Cash Account - Bank of the Ozarks	A	Interest	J	T					
14. --Mutual Funds									
15. --Aberdeen Global High Income	A	Dividend			Sold	02/02/16	J		
16. --Blackrock High Yield Bond	A	Dividend	J	T	Buy	02/02/16	J		
17. --American Century Equity	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -- Blackrock Global	A	Dividend	J	T					
19. -- Calamos Market Neutral	A	Dividend	J	T					
20. -- Ishares U.S. Pfd. Stock	A	Dividend	J	T					
21. -- Oakmark Equity & Income	A	Dividend	J	T					
22. -- Pimco All Asset	A	Dividend			Sold	05/04/16	J		
23. -- Pimco Total Return	A	Dividend			Sold	04/28/16	J		
24. -- Pimco Income	A	Dividend	J	T	Buy	04/28/16	J		
25. -- Ridgeworth Seix Income	A	Dividend	J	T					
26. -- Templeton Global Bond	A	Dividend	J	T	Sold (part)	06/03/16	J		
27. --LPL Financial Account (H)									
28. --JP Morgan Muni Money Market	A	Interest	J	T					
29. --Equities									
30. -- AT&T, Inc.	A	Dividend	J	T					
31. -- Chevron Corp.	A	Dividend	J	T	Buy	01/21/16	J		
32.					Buy (add'l)	03/10/16	J		
33.					Buy (add'l)	06/10/16	J		
34.					Buy (add'l)	09/12/16	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
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(See Column C2)	U = Book Value	V = Other	W = Estimated		

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35.					Buy (add'l)	12/12/16	J		
36. -- Spectra Energy Corp. (merged with Embridge, Inc.)	A	Dividend	J	T	Buy	01/21/16	J		
37.					Buy (add'l)	03/08/16	J		
38.					Buy (add'l)	06/07/16	J		
39.					Buy (add'l)	09/07/16	J		
40.					Buy (add'l)	12/06/16	J		
41. -- Ventas, Inc.	A	Dividend	J	T	Buy	01/21/16	J		
42.					Buy (add'l)	03/31/16	J		
43.					Buy (add'l)	06/30/16	J		
44.					Buy (add'l)	09/30/16	J		
45.					Buy (add'l)	12/30/16	J		
46. --Goldman Sachs Group, Inc. (corporate bonds)	A	Interest	J	T					
47. --Mutual Funds									
48. -- Aberdeen Global High Income	A	Dividend			Sold	03/02/16	J		
49. -- Western Asset Mutual Fund	A	Dividend	J	T	Buy	03/02/16	J		
50. -- AMCAP	A	Dividend	J	T					
51. -- American Century Equity Income	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. -- Artisan Small Cap	A	Dividend			Sold	04/26/16	J		
53. -- Blackrock Global Investor	A	Dividend	J	T					
54. -- Calamos Market Neutral	A	Dividend	J	T	Sold (part)	01/21/16	J		
55. -- Capital Income Builder	A	Dividend	J	T					
56. -- Franklin High Yield	A	Dividend	J	T	Sold (part)	06/08/16	J		
57.					Sold (part)	07/08/16	J		
58.					Sold (part)	08/08/16	J		
59.					Sold (part)	09/08/16	J		
60. -- Pimco All Asset	A	Dividend	J	T	Sold (part)	01/21/16	J		
61. -- Thornburg Strategic Income	A	Dividend	J	T	Sold (part)	01/21/16	J		
62.					Sold (part)	03/02/16	J		
63.					Sold (part)	06/23/16	J		
64. -- Thornburg Strategic Mun. Income	A	Dividend	J	T	Buy	06/23/16	J		
65. -Plains Capital Bank Account	A	Interest	K	T					
66. -Wells Fargo Account	A	Interest	L	T					
67. -Rent House #1 Lubbock, TX (1/2 interest) (\$104,000-100% int.)	C	Rent	L	S					
68. -Rent House #2 Lubbock, TX (1/2 interest) (\$80,000-100% int.)	C	Rent	L	S					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Income stated for the Robert Jones IRA was reinvested and thus not realized.

The money market fund-Wells Fargo Bank Deposit Program (line 2 in Section VII.) was closed out in 2016, but I don't have the date for this---the date is not reflected in my records. All funds in the Robert Jones IRA were, as of December 29, 2016, invested in the American Funds Balanced Fund (item 4).

I overstated the estate's holdings in 2015: Chevron Corp. (line 31), Spectra Energy Corp. (line 36), and Ventas, Inc. (line 41) were first acquired in 2016.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Robert L. Jones**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544