

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Donato, James	2. Court or Organization U.S. District Court	3. Date of Report 11/04/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address United States Courthouse 450 Golden Gate Avenue San Francisco, CA 94102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 11

Name of Person Reporting

Donato, James

Date of Report

11/04/2019

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2018

Solutus Legal Search -- commissions

2.

3.

4.

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 3 of 11

Name of Person Reporting

Donato, James

Date of Report

11/04/2019

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 11

Name of Person Reporting

Donato, James

Date of Report

11/04/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Wells Fargo Account	A	Interest	J	T					
2. Vanguard 529 Plan -- Age Based Conservative Growth Portfolio	C	Int./Div.	K	T					
3. Vanguard 529 Plan -- Age Based Conservative Growth Portfolio	D	Int./Div.	M	T					
4. Contributory IRA #1									
5. -- SPDR S&P 500 Index ETF	A	Int./Div.	J	T					
6. -- DFA Int'l Small Cap Value	A	Int./Div.	J	T					
7. -- Pimco All Asset All Authority Instl.	A	Int./Div.		T	Sold	12/17/18	J	A	
8. -- iShares S&P 500 Index ETF	A	Int./Div.	J	T	Buy	12/18/18	J		
9. Contributory IRA #2									
10. -- Pimco All Asset All Authority Instl.	A	Int./Div.		T	Sold	12/17/18	J	A	
11. -- SPDR S&P 500 ETF	A	Int./Div.	J	T					
12. -- DFA Int'l Value	A	Int./Div.	J	T	Buy	12/18/18	J		
13. -- Vanguard FTSE Developed Markets ETF	A	Int./Div.	J	T	Buy	12/18/18	J		
14. Brokerage Account #1									
15. --Schwab Advisor Cash Reserves Premier	A	Interest	K	T					
16. --Pimco All Asset All Authority	A	Int./Div.		T	Sold	12/17/18	K	A	
17. --Pimco Commodity Real Return Instl.	A	Int./Div.		T	Sold	12/17/18	J	A	

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

FINANCIAL DISCLOSURE REPORT

Page 5 of 11

Name of Person Reporting

Donato, James

Date of Report

11/04/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -- Pimco Low Duration Fund	C	Int./Div.	L	T					
19. -- Pimco Short-Term Instl.	B	Int./Div.	K	T					
20. -- TIAA-Cref Bond Fund Instl.	B	Int./Div.	L	T					
21. -- Vanguard Short-Term Bd Index Admiral	C	Int./Div.	M	T	Buy (add'l)	09/17/18	K		
22.					Sold (part)	12/17/18	K	A	
23. --Vanguard Total Bd Mkt Index Admiral	C	Int./Div.	L	T					
24. -- Pimco Real Return Instl.	B	Int./Div.	K	T					
25. -- Vanguard Fixed Income Inflation Protected	A	Int./Div.	K	T	Sold (part)	12/17/18	J	A	
26. -- Pimco Foreign Bond Hedged	B	Int./Div.	K	T					
27. -- Invesco QQQ TR ETF	C	Int./Div.	K	T					
28. -- DFA Real Estate Securities	B	Int./Div.	K	T	Buy (add'l)	09/17/18	K		
29. -- SPDR S&P 500 Index ETF	C	Int./Div.	L	T	Buy (add'l)	09/17/18	J		
30. -- Vanguard Equity Income Fund Admiral	C	Int./Div.		T	Sold	09/17/18	K	A	
31. -- DFA U.S. Large Cap Value	C	Int./Div.	M	T	Sold (part)	09/17/18	K	A	
32. -- DFA U.S. Small Cap	B	Int./Div.	K	T					
33. -- DFA U.S. Small Cap Value	B	Int./Div.	L	T	Sold (part)	09/17/18	J	A	
34.					Buy (add'l)	12/17/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$5,001,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 11

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Donato, James

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35. -- DFA Int'l Small Company	A	Int./Div.	K	T					
36.					Buy (add'l)	12/17/18	J		
37. -- DFA Int'l Value	A	Int./Div.	L	T	Buy (add'l)	09/17/18	J		
38. -- iShares MSCI EAFE Index ETF	B	Int./Div.	K	T					
39. -- Vanguard FTSE Developed Mkts ETF	A	Int./Div.	L	T					
40. -- DFA Emerging Mkts Core Equity	A	Int./Div.	K	T	Buy (add'l)	09/17/18	J		
41. -- Pioneer ILS Interval FD SHS Ben Int	A	Int./Div.	K	T	Buy	12/17/18	K		
42. ii iShares S&P 500 Index ETF	A	Int./Div.	J	T	Buy	12/17/18	J		
43. Brokerage Account #2	A								
44. -- Schwab Advisor Cash Reserves	A	Interest	J	T					
45. Roth Conversion IRA #1									
46. -- Pimco Real Return Instl.	A	Int./Div.	J	T					
47. -- SPDR S&P 500 Index ETF	A	Int./Div.	K	T					
48. -- DFA U.S. Large Cap Value	A	Int./Div.	J	T					
49. -- DFA U.S. Small Cap Value	A	Int./Div.	J	T					
50. --DFA Int'l Value	A	Int./Div.	J	T					
51. -- DFA Emerging Mkts Core Equit	A	Int./Div.	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 11

Name of Person Reporting

Donato, James

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52. Roth Conversion IRA #2									
53. -- Vanguard Fixed Income Inflation Protected	A	Int./Div.	J	T					
54.					Buy (add'l)	09/17/18	J		
55. -- Vanguard Information Tech ETF	A	Int./Div.	K	T					
56.					Sold (part)	09/17/18	J	A	
57. -- Vanguard REIT Index ETF	B	Int./Div.		T	Sold	09/17/18	K	A	
58. -- SPDR S&P 500 Index ETF	A	Int./Div.	K	T					
59. -- DFA U.S. Small Cap	A	Int./Div.	J	T					
60. -- DFA Emerging Mkts Core Equity	A	Int./Div.	J	T					
61. -- Vanguard FTSE Developed Markets ETF	A	Int./Div.	K	T	Buy	09/17/18	K		
62. Rollover IRA									
63. -- DFA Commodity Strategy	A	Int./Div.		T	Sold	12/17/18	J	A	
64. --Pimco Low Duration Fund	A	Int./Div.	K	T	Sold (part)	12/17/18	K	A	
65. -- Pimco Short-term Instl	A	Int./Div.	K	T					
66. -- Vanguard Total Bond Mkt Index Admiral	C	Int./Div.	L	T					
67. -- Vanguard Fixed Income Inflation Protected	A	Int./Div.	K	T					
68. -- Pimco Foreign Bond Hedged	A	Int./Div.	K	T					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 11

Name of Person Reporting

Donato, James

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11/04/2019

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69. -- Vanguard Information Tech ETF	A	Int./Div.	K	T					
70. -- Vanguard REIT Index ETF	A	Int./Div.		T	Sold	09/17/18	J	A	
71. -- SPDR S&P 500 Index ETF	C	Int./Div.	M	T					
72. -- Vanguard Equity Income Fund Admiral	A	Int./Div.		T	Sold	09/17/18	J	A	
73. -- DFA U.S. Large Cap Value	A	Int./Div.	K	T					
74.					Buy (add'l)	12/17/18	J		
75. -- DFA U.S. Micro Cap	A	Int./Div.	K	T					
76. -- DFA U.S. Small Cap Value	A	Int./Div.	K	T					
77. -- DFA Int'l Small Cap Value	A	Int./Div.	J	T	Buy (add'l)	09/17/18	J		
78. -- DFA Int'l Small Company	A	Int./Div.	J	T					
79. -- DFA Int'l Value	A	Int./Div.	J	T					
80. -- Vanguard FTSE Developed Mkts ETF	A	Int./Div.	K	T					
81. -- DFA Emergin Mkts Core Equity	A	Int./Div.	K	T					
82. -- Pioneer ILS Interval FD SHS Ben Int	A	Int./Div.	J	T	Buy	12/17/18	J		
83. -- TIAA Cref Bond Fund Instl	A	Int./Div.	K	T	Buy	09/17/18	K		
84.									
85.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 9 of 11

Name of Person Reporting

Donato, James

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86.									
87.									
88.									
89.									
90.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 10 of 11

Name of Person Reporting

Donato, James

Date of Report

11/04/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 11 of 11

Name of Person Reporting

Donato, James

Date of Report

11/04/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **James Donato**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544