

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Colton, Roberta A.	2. Court or Organization U.S. Bankruptcy Court for the Middle District of Florida	3. Date of Report 06/12/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 801 N. Florida Ave. Suite 954 Tampa, Florida 33602		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board of Trustees, Member	Hillsborough County Bar Foundation
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 03/31/2016	Return of Capital from Former Law Firm - Trenam Law and R.A. Colton - \$41,372 payable over two years.
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 3/31/2017	Return of Capital from former firm -Trenam Law	\$21,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Jacksonville Bar Association	Aug. 24-25, 2017	Jacksonville, Florida	Seminar	Hotel, Dinner and Transportation
2. Florida Bar - Business Law Section	September 1-2, 2017	Manalapan, Florida	Retreat	Hotel, Dinner and Transportation
3. American College of Bankruptcy	March 9-11, 2017	Washington, DC	Seminar, Meetings	stipend for expenses
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America Accounts	A	Interest	M	T					
2. Ally Bank CD	A	Interest	L	T					
3. Fifth Third - HSA	A	Interest	J	T					
4. Vanguard Retirement Fund 2025	D	Dividend	O	T	Buy (add'l)	04/27/17	K		
5. Vanguard Total Stock Index Admiral Fund	C	Dividend	M	T					
6. Vanguard Limited Municipal Fund	A	Dividend			Sold	04/27/17	K	A	
7. Vanguard Variable Annuity - Equity Index	A	Dividend	K	T					
8. Vanguard Variable Annuity - Balanced Fund	A	Dividend	L	T					
9. Vanguard Variable Annuity -Growth Fund	A	Dividend	K	T					
10. Vanguard Variable Annuity - Money Market	A	Dividend	K	T					
11. Vanguard Variable Annuity -Equity Income Fund	B	Dividend	J	T					
12. Vanguard Wellsley Fund - IRA	B	Dividend	M	T					
13.									
14.									
15. Shwab Money Mkt. Account	A	Interest	K	T					
16. Artison International Value	B	Distribution	K	T	Buy	02/13/17	K		
17. Artison International Value	A	Dividend							

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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18. Baird Short Term Bond List	D	Dividend	N	T	Buy (add'l)	09/19/17	J		
19. Chiron Capital Allocation I	A	Dividend	L	T	Buy	10/19/17	L		
20. Chiron Capital Allocation I		None	J	T	Buy (add'l)	12/26/17	J		
21. Eaton Vance Floating Rate Advantage I	B	Dividend			Sold	10/19/17	K	A	
22. FMI International		None			Sold	02/14/17	M	D	
23. Van Eck Global Hard Assets I		None	L	T	Buy (add'l)	03/29/17	K		
24. Van Eck Global Hard Assets I		None			Buy (add'l)	05/19/17	K		
25. Van Eck Global Hard Assets I		None			Sold (part)	11/17/17	J	A	
26. IVA Worldwide I	B	Distribution	L	T	Buy	10/19/17	L		
27. IVA Worldwide I	A	Dividend							
28. Oakmark International Fund	A	Dividend	L	T					
29. Oakmark International Fund	B	Distribution							
30. Openheimer Developing Markets Y	A	Dividend	K	T	Buy	02/13/17	K		
31. Pimco All Asset Instl	B	Dividend	L	T	Buy	10/17/17	L		
32. Pimco All Asset Instl		None			Buy (add'l)	12/26/17	J		
33. Brookfield Real Assets Securities Y	A	Dividend	L	T	Buy	10/19/17	L		
34. SeafarerOverseas GR and Income Instl	A	Distribution	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. SeafarerOverseas GR and Income Instl	B	Dividend							
36. Metropolitan West Total Return Bond Fund	D	Dividend	N	T	Sold (part)	02/13/17	M	A	
37. Deutsche Global Infrastructure Instl	B	Dividend			Sold	10/19/17	L	C	
38. Vanguard Total Bond Market Index Adm	A	Distribution	N	T	Buy (add'l)	02/13/17	M		
39. Vanguard Total Bond Market Index Adm	D	Dividend							
40. Vanguard Total Bond Market Index Adm		None			Buy (add'l)	05/19/17	K		
41. Vanguard Total Bond Market Index Adm		None			Buy (add'l)	06/21/17	K		
42. Vanguard Total Bond Market Index Adm		None			Buy (add'l)	07/14/17	K		
43. Vanguard Total Bond Market Index Adm		None			Buy (add'l)	10/19/17	K		
44. Vanguard REIT Index Admiral	A	Dividend			Sold	10/19/17	K	A	
45. Vanguard Small Cap Index Admiral	B	Dividend	M	T	Buy (add'l)	02/13/17	K		
46. Vanguard Small Cap Index Admiral		None			Buy (add'l)	03/29/17	K		
47. Vanguard Small Cap Index Admiral		None			Buy (add'l)	04/18/17	J		
48. Vanguard Short Term Inf-Prot SEC IDX Admiral	B	Dividend	L	T	Buy (add'l)	05/19/17	K		
49. Vanguard Total Int Stock Index Admiral	C	Dividend	M	T	Buy	02/13/17	M		
50. Vanguard Total Stock Mark Index Admiral	D	Dividend	N	T	Buy (add'l)	01/24/17	L		
51. Vanguard Total Stock Mark Index Admiral		None			Buy (add'l)	02/13/17	K		

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52. Vanguard Total Stock Mark Index Admiral		None			Buy (add'l)	04/18/17	K		
53. Westwood Income Opportunity Instl	C	Dividend	N	T	Buy (add'l)	03/29/17	J		
54. Westwood Income Opportunity Instl	D	Distribution			Buy (add'l)	07/14/17	K		
55. Westwood Income Opportunity Instl		None			Sold (part)	10/19/17	K	B	
56. Loomis Sayles Strategic Income Y	D	Dividend	N	T	Buy (add'l)	01/19/17	K		
57. Loomis Sayles Strategic Income Y	B	Distribution							
58. Templeton Global Total Return Adv	D	Dividend	N	T					
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII.

Note 1: Where a fund has multiple line entries (to indicate additional purchases or sales), I have reflected 2017 earnings for the fund as whole in just one of the lines. The remaining lines for that fund generally state "None" in column B(2).

Note 2: In some cases, there are two lines for a fund to reflect that both a dividend and a distribution was received for the same fund.

Note 3: For this report, the holdings have been organized to separate assets currently managed by a financial advisor, so that future reports can be handled more efficiently. They will remain on the designated lines for future reports.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Roberta A. Colton**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544