

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Willett, Don R.	2. Court or Organization U.S. Court of Appeals for the Fifth Circuit	3. Date of Report 08/12/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge (active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 903 San Jacinto Blvd. Austin, Texas 78701		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member	American Law Institute
2. Life Fellow	American Bar Foundation
3. Life Fellow	Texas Bar Foundation
4. Life Fellow	Austin Bar Foundation
5. Fellow	Texas Supreme Court Historical Society
6. Editorial Board	Judicature—The Scholarly Journal for Judges
7. National Advisory Board	ConSource—The Constitutional Sources Project
8. Advisory Council	Honors College, Baylor University
9. Advisory Board	Harlan Institute
10. Board of Advisors	Texas Review of Law & Politics
11. Board of Advisors	Federalist Society for Law and Public Policy Studies (Austin Lawyers Chapter)
12. Member	Federalist Society for Law and Public Policy Studies
13. Advisory Council	Texas Lyceum
14. Duke University School of Law	Senior Lecturing Fellow

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1. 2017	State of Texas Employee Retirement System (defined benefit pension plan)
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2018	Judicial Retirement Systems of Texas (pension)	\$60,380.68
2. 2018	Duke University School of Law (teaching)	\$4,500.00
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Federal Bar Association (New Orleans Chapter)	May 15-16, 2018	New Orleans, LA	CLE Speaker	Transportation, meals, lodging
2.	Federalist Society (Dallas Lawyers Chapter)	June 11, 2018	Dallas, TX	Luncheon Meeting Speaker	Transportation
3.	Federalist Society (Houston Lawyers Chapter)	June 13, 2018	Houston, TX	Luncheon Meeting Speaker	Transportation
4.	Federalist Society (Texas Chapters)	September 7-9, 2018	Ft. Worth, TX	Conference Speaker	Transportation
5.	George Mason University Antonin Scalia Law School	September 20-21, 2018	Arlington, VA	Symposium Speaker	Transportation, meals, lodging

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6.	Duke University School of Law	November 13-14, 2018	Durham, NC	Senior Lecturing Fellow	Transportation, meals, lodging
7.	Federalist Society	November 14-18, 2018	Washington, DC	National Lawyers Convention Speaker	Transportation, meals, lodging

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Chase	Credit Card	J
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Chase (cash)	A	Interest	K	T					
2. Farmland, Kaufman County, Texas		None			Sold	02/02/18	M	G	Michael D. Cope
3. Rental Property, Travis County, Texas	E	Rent	M	W					
4. When Angels Sing Productions, LP		None	J	W					
5. Brokerage Account #1 (H)									
6. E*TRADE Financial Corp. (ETFC)	A	Dividend	J	T					
7. Coverdell ESA #1 (H)									
8. Akre Focus Fund Retail Class (AKREX)	A	Dividend	J	T					
9. Citigroup Inc. (C)	A	Dividend	J	T					
10. Gamco Global Growth Fund Class AAA (GICPX)	A	Dividend	J	T					
11. Vanguard Emerging Markets Stock Index Fund Investor Shares (VEIEX)	A	Dividend	J	T					
12. Vanguard REIT Index Fund Investor Shares (VGSIX)	A	Dividend	J	T					
13. Vanguard Total Stock Market Index Fund Investor Shares (VTSMX)	A	Dividend	K	T	Buy (add'l)	01/05/18	J		
14. Coverdell ESA #2 (H)									
15. Akre Focus Fund Retail Class (AKREX)	A	Dividend	J	T					
16. Citigroup Inc. (C)	A	Dividend	J	T					
17. Gamco Global Growth Fund Class AAA (GICPX)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Voya Russia Fund Class A (LETRX)	A	Dividend	J	T					
19. Vanguard Total Stock Market Index Fund Investor Shares (VTSMX)	A	Dividend	K	T	Buy (add'l)	01/09/18	J		
20. Wal-Mart Stores Inc. (WMT)	A	Dividend	J	T					
21. Coverdell ESA #3 (H)									
22. Akre Focus Fund Retail Class (AKREX)	A	Dividend	J	T					
23. Buffalo Small Cap Fund (BUFSX)	B	Dividend	J	T					
24. Vanguard Total Stock Market Index Fund (VTSMX)	A	Dividend	K	T	Buy (add'l)	01/09/18	J		
25. Roth IRA #1 (H)									
26. GAMCO Global Growth Fund Class AAA (GICPX)	B	Dividend	K	T					
27. Voya Russia Fund Class A (LETRX)	A	Dividend	J	T					
28. Lazard U.S. Realty Income Portfolio Open Shares (LRIOX)	A	Dividend	J	T					
29. Vanguard REIT Index Fund Investor Shares (VGSIX)	A	Dividend	J	T					
30. Vanguard Total Stock Market Index Fund Investor Shares (VTSMX)	B	Dividend	L	T	Buy (add'l)	01/05/18	J		
31.					Buy (add'l)	01/09/18	J		
32. Roth IRA #2 (H)									
33. GAMCO Global Growth Fund Class AAA (GICPX)	B	Dividend	K	T					
34. Voya Russia Fund Class A (LETRX)	A	Dividend	J	T					

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35. Lazard U.S. Realty Income Portfolio Open Shares (LRIOX)	A	Dividend	J	T					
36. Vanguard REIT Index Fund Investor Shares (VGSIX)	A	Dividend	J	T					
37. Vanguard Total Stock Market Index Fund Investor Shares (VTSMX)	B	Dividend	L	T	Buy (add'l)	01/05/18	J		
38.					Buy (add'l)	01/09/18	J		
39. TexaSaver 457 Plan (H)									
40. Fidelity Diversified International Fund (FDIVX)	D	Dividend	L	T					
41. Lord Abbett Small Cap Value Fund I (LRSYX)	C	Dividend	K	T					
42. Victory Munder Mid-Cap Core Growth Fund (MGOYX)	D	Dividend	K	T					
43. Vanguard Institutional Index Fund Institutional Plus Shares (VIMI)	B	Dividend	L	T					
44. Vanguard Wellington Admiral (VWENX)	D	Dividend	M	T					
45. BlackRock Bond Index Fund (BMOIX)		None	L	T					
46. TexaSaver 401(k) Plan (H)									
47. Fidelity Diversified International Fund (FDIVX)	C	Dividend	K	T					
48. Lord Abbett Small Cap Value Fund I (LRSYX)	B	Dividend	J	T					
49. Victory Munder Mid-Cap Core Growth Fund (MGOYX)	D	Dividend	K	T					
50. Vanguard Institutional Index Fund Institutional Plus Shares (VIMI)	A	Dividend	K	T					
51. Vanguard Wellington Admiral (VWENX)	C	Dividend	K	T					

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52. BlackRock Bond Index Fund (BMOIX)		None	K	T					
53. Brokerage Account #2 (H)									
54. JPMorgan TR II (QWERQ)	B	Dividend	N	T	Open	08/13/18	M		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

Part VII, lines 19, 49, and 52-54 of the nomination report are not reportable for 2018.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Don R. Willett

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544