

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) O'Toole, George A.	2. Court or Organization U.S. District Court	3. Date of Report 05/15/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address John Joseph Moakley United States Courthouse 1 Courthouse Way, Suite 4-730 Boston, MA 02210		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust #1
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 14

Name of Person Reporting

O'Toole, George A.

Date of Report

05/15/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	Enterprise Bank, Lowell MA (director's fees)
2. 2012	Raytheon Company (employment income)
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. New York Intellectual Property Law Association	03/23/2012 - 03/24/2012	New York, New York	Dinner in Honor of Federal Judiciary	Transportation, lodging, meals
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 14

Name of Person Reporting

O'Toole, George A.

Date of Report

05/15/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 14

Name of Person Reporting

O'Toole, George A.

Date of Report

05/15/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. 3M Company common	A	Dividend	J	T					See note 2 in Part VIII.
2. Same		None			Sold	01/09/12	J		
3. Same		None			Sold	01/13/12	J		
4. Absolute Strategies Fund	A	Dividend	K	T	Buy (add'l)	01/09/12	J		
5. Same	A	Dividend	J	T					
6. Same	A	Dividend	K	T	Buy (add'l)	01/13/12	J		
7. Allianz NFJ Intl Value Fund	A	Dividend	J	T	Sold (part)	01/09/12	J		
8. Same	A	Dividend	J	T					
9. Same	A	Dividend	J	T					
10. Apache Corp. common	A	Dividend	J	T					
11. Same	A	Dividend	J	T					
12. Same	A	Dividend	J	T					
13. Apple common	A	Dividend	J	T					
14. Aspen Managed Futures Strategies Fund		None	J	T	Buy	01/09/12	J		
15. Same		None	J	T	Buy	01/09/12	J		
16. Same		None	J	T	Buy	01/13/12	J		
17. Bank of America deposit accounts	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000	E –\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J –\$15,000 or less N –\$250,001 - \$500,000 P3 –\$250,000,001 - \$50,000,000	K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000 P4 –More than \$50,000,000	M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 14

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18. BHP Billiton ADR	A	Dividend	J	T					
19. Same	A	Dividend	J	T					
20. Same	A	Dividend	J	T					
21. BMC Software common		None			Sold	01/09/12	J		
22. CF Industries common	A	Dividend	J	T					
23. Chevron common	A	Dividend	J	T	Buy	01/13/12	J		
24. CVS Caremark common	A	Dividend	J	T					
25. Same	A	Dividend	J	T					
26. Dentsply Int common	A	Dividend			Sold	01/09/12	J	A	
27. Diageo ADR	A	Dividend	J	T					
28. Dodge & Cox Intl Stock Fund	A	Dividend	J	T	Buy	02/22/12	J		
29. Dover Corp. common	A	Dividend	J	T	Buy	01/09/12	J		
30.	A	Dividend	J	T	Buy	01/09/12	J		
31.	A	Dividend	J	T	Buy	01/13/12	J		
32. Dreyfus Cash Mgmt Fund	A	Interest	L	T					
33. Same	A	Interest	K	T					
34. Same	A	Interest	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 14

Name of Person Reporting

O'Toole, George A.

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35. Dreyfus Intl Bond Fund	A	Dividend	J	T	Buy	08/29/12	J		
36. Eaton Vance Structured Emerging Markets Fund	A	Dividend	J	T	Buy	02/22/12	J		
37. Enterprise Bank & Trust Co. common	B	Dividend	M	T					
38. Same	B	Dividend	K	T	Sold (part)	02/07/12	J	D	
39.					Sold (part)	02/08/12	K	E	
40. EMC Corp. common		None	J	T	Buy	01/09/12	J		
41. EQT Corp. common	A	Dividend	J	T					
42. Equity Residential SBI	A	Dividend	J	T					
43. Same	A	Dividend	J	T					
44. Same	A	Dividend	J	T					
45. Expeditors Int'l of Washington common		None			Sold	01/09/12	J		
46. Express Scripts common		None			Sold	01/09/12	J		
47. Exxon Mobile common	A	Dividend	K	T					
48. Fidelity Inst Money Market fund	A	Interest	J	T					
49. Same	A	Interest	J	T					
50. Franklin Int'l Small Cap Growth Fund		None			Sold	01/09/12	J		
51. Same		None			Sold	01/09/12	J		

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FINANCIAL DISCLOSURE REPORT

Page 7 of 14

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52. Same		None			Sold	01/13/12	J		
53. Frontegra Netols Small Cap Value Fund		None			Sold	01/09/12	J		
54. Same		None			Sold	01/13/12	J		
55. General Dynamics common		None			Sold	01/13/12	J		
56. Illinois Tool Works common	A	Dividend			Sold	01/13/12	J	A	
57. IBM common	A	Dividend	J	T					
58. Same	A	Dividend	J	T					
59. iShares Barclays 1-3 yr Treas Bond Fund	A	Dividend	L	T					
60. iShares Barclays 1-3 yr Credit Bond Fund	A	Dividend	J	T					
61. Same	A	Dividend	K	T					
62. iShares Nasdaq Biotech Fund	A	Dividend	J	T					
63. Same	A	Dividend	J	T	Sold (part)	01/13/12	J	B	
64. Johnson & Johnson common	A	Dividend	J	T	Sold (part)	01/09/12	J	C	
65. JP Morgan Mtge-Backed Securities Fund	A	Dividend	J	T	Buy	02/22/12	J		
66. JP Morgan Research Mkt. Neutral fund		None			Sold	01/13/12	J		
67. Loomis Sayles Investment Grade Bond Fund	A	Dividend	J	T	Buy	02/22/12	J		
68. Manulife Financial common	A	Dividend	K	T					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 14

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O'Toole, George A.

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69. Matthews Asian Growth fund	A	Dividend	J	T					
70. Matthews Pacific Tiger fund	A	Dividend	J	T					
71. Same	A	Distribution	J	T					
72. Merger Fund	A	Dividend	J	T					
73. Same	A	Dividend	J	T					
74. Same	A	Dividend	J	T					
75. Mettler-Toledo Intl. common		None	J	T					
76. MFS Value Fund	A	Dividend	J	T	Buy	02/22/12	J		
77. Microsoft common	A	Dividend	J	T					
78. Same	A	Dividend	J	T					
79. Novartis AG spnsrd ADR	A	Dividend	J	T					
80. Same	A	Dividend	J	T					
81. Occidental Petroleum	A	Dividend			Sold	01/13/12	J	A	
82. Pepsico common	A	Dividend	J	T					
83. PIMCO Commodity Real Return Fund	A	Dividend	J	T	Buy	02/22/12	J		
84. PIMCO Total Return Fund	A	Dividend	J	T	Buy	02/22/12	J		
85. Praxair, Inc. common	A	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 9 of 14

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86. Same	A	Dividend	J	T	Sold (part)	01/09/12	J	C	
87. Princeton Futures Strategy Fund I		None	J	T					
88. Procter & Gamble common	A	Dividend	J	T					
89. Same	A	Dividend	J	T					
90. Questar common	A	Dividend	J	T	Buy	01/13/12	J		
91. Raytheon common	A	Dividend	L	T	Sold (part)	10/16/12	K		
92. Raytheon options		None	K	T					
93. Raytheon Savings and Investment Plan	D	Int./Div.	N	T					
94. -Raytheon Stock Fund									
95. -Real Estate Securities Fund									
96. -NT Aggregate Bond Index Fund									
97. -PIMCO Total Return Inst									
98. --Fidelity Inst Money Mkt									
99. RidgeWorth Floating Rate High Income Fund	A	Dividend	J	T	Buy	02/22/12	J		
100. Royal Dutch Shell plc spnsrd ADR	A	Dividend	J	T					
101. Schwab Advisor Cash Reserve Fund	A	Interest	J	T					See Part VIII, note 3.
102. Sempra Energy common	A	Dividend			Sold	01/09/12	J	A	

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FINANCIAL DISCLOSURE REPORT

Page 10 of 14

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103. Same	A	Dividend			Sold	01/09/12	J	A	
104. Same	A	Dividend			Sold	01/13/12	J	A	
105. SPDR Gold Trust		None	J	T					
106. Same		None	J	T	Buy (add'l)	01/09/12	J		
107. Same		None	J	T	Buy	01/13/12	J		
108. Stericycle, Inc. common		None	J	T					
109. Same		None	J	T					
110. Same		None			Sold	01/13/12	J	B	
111. Templeton Global Bond Fund	B	Dividend	K	T	Buy (add'l)	01/09/12	J		
112. Same	B	Dividend	K	T					
113. Same	B	Dividend	K	T					
114. Teva Pharmaceuticals ADR		None			Sold	01/13/12	J	B	
115. Thermo Fisher common	A	Dividend	J	T					
116. Same	A	Dividend	J	T					
117. Thomas White Intl Fund		None	J	T					
118. Same		None	J	T					
119. Thornburg Intl Value Fund	A	Dividend	K	T					

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FINANCIAL DISCLOSURE REPORT

Page 11 of 14

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Same	A	Dividend	J	T					
121. Same	A	Dividend	J	T					
122. Same	A	Dividend	J	T	Buy	02/22/12	J		
123. Tortoise Energy Infrastructure Corp.	A	Dividend	J	T					
124. Same	A	Dividend	J	T					
125. Same	A	Dividend	J	T					
126. Tortoise MLP Fund	A	Dividend	J	T					
127.	A	Dividend	J	T					
128.	A	Dividend	J	T					
129. T Rowe Price High Yield Fund	A	Dividend	J	T	Buy	02/22/12	J		
130. Union Pacific common	A	Dividend	J	T	Buy	01/09/12	J		
131. Same	A	Dividend	J	T	Buy	01/09/12	J		
132.	A	Dividend	J	T	Buy	01/13/12	J		
133. Vanguard Emerging Mkts. Index Fund		None	J	T					
134. Same		None	J	T					
135. Same		None	J	T					
136. Vanguard GNMA Fund	A	Dividend	K	T	Buy (add'l)	01/09/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 14

Name of Person Reporting

O'Toole, George A.

Date of Report

05/15/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Same	A	Dividend	K	T	Buy (add'l)	01/09/12	J		
138. Same	A	Dividend	J	T	Buy	01/13/12	J		
139. Vanguard Growth Index Fund	A	Dividend	J	T	Buy	02/22/12	J		
140. Vanguard Ltd Term Tax Exempt Fund	A	Dividend	K	T					
141. Vanguard Short-Term Inv Grade Fund	A	Dividend	J	T					
142. Same	A	Dividend	K	T					
143. Same	A	Dividend	K	T					
144. Vaughan Nelson Value Oppty Fund	A	Distribution	J	T	Buy	02/22/12	J		
145. Verizon Communications common	A	Dividend	J	T					
146. Same	A	Dividend	J	T					
147. Wal-Mart common	A	Dividend	J	T					
148. Walthausen SML Cap Value		None			Sold	01/09/12	J	A	
149. Same		None			Sold	01/13/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 14

Name of Person Reporting

O'Toole, George A.

Date of Report

05/15/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. The trust identified in Part I is a [REDACTED] trust. All the assets held in the trust are reported individually in Part VII but are not specifically identified in that Part to the trust.
2. General comment regarding reporting in Part VII: As in past years, most of the assets reported are held in separate accounts managed by an investment adviser. Beginning in February 2012, there is a fourth account managed by a different adviser. For convenience in tracking year-to-year changes, the assets are reported by reference to the separate accounts, resulting in some cases in multiple reports for the same identified asset. The designation "Same" is used to indicate a separate account. If there were multiple transactions within the same account during the year, the designation "Same" is used for the first, but not subsequent transactions within that account.
3. The Schwab Advisor Cash Reserve Fund is held in an IRA at the Enterprise Bank, Lowell, Massachusetts. The holding was initiated on June 1, 2011. It was inadvertently omitted from last year's report. Its value at the end of 2011 was J, with interest income of A.

FINANCIAL DISCLOSURE REPORT

Page 14 of 14

Name of Person Reporting

O'Toole, George A.

Date of Report

05/15/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ George A. O'Toole**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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