

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Willett, Eileen S.	2. Court or Organization District Court- Arizona	3. Date of Report 04/16/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US Magistrate Judge: full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address US District Court, District of Arizona 401 West Washington Street, Ste. 321-SPC 13 Phoenix, AZ 85003-2120		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	2014	Elected Officials Retirement Plan participant with the State of Arizona as a retired Superior Court Judge, no control
2.	2014	Arizona Department of Administration Dental plan participant through the Elected Officials Retirement Plan as a retired Superior Court Judge, no control
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2017	Elected Officials' Retirement Plan--defined benefit pension plan annual income received as a retired Superior Court Judge, State of Arizona	\$94,956.60
2. 07/30/2017	Maricopa County:wage reimbursement for over-payment of Elected Officials' Retirement Plan employee contribution Superior Court Judge, State of AZ	\$20,880.26
3. 08/08/2017	Maricopa County: interest on wage reimbursement for over-payment of Elected Officials' Retirement Plan employee contribution reflected in line 2 above	\$3,869.11
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2017	salary as employee [REDACTED]
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America cash accounts	A	Interest	L	T					
2. One AZ Credit Union cash accounts	A	Interest	L	T					
3. Meriwest Credit Union cash accounts	A	Interest	M	T					
4. Maricopa County Deferred Compensation 457 (b) Plan (H)									
5. -Nationwide Maricopa Fixed Option	A	Int./Div.			Sold	03/20/17	J		
6.  Restricted Stock Units		None	J	T					
7. Marcus by Goldman Sachs (formerly Goldman Sachs Bank USA) cash accts	B	Interest	M	T					
8. Wells Fargo cash account	A	Interest	J	T					
9. Charles Schwab IRA (H)									
10. -Apple, Inc. (APPL) stock, common	A	Dividend	K	T					
11. -Intel Corp (INTC) stock, common	A	Dividend	J	T					
12. -PIMCO INCM CL D (POND)	A	Dividend	J	T					
13. -Ridgeworth SEIX Float Rate High Inc I (SAMBX)	A	Dividend	J	T					
14. -Blackrock Util & Infrast (BUI)	A	Dividend	J	T					
15. -Schwab Fundamental GBL Real Estate Index Fund (SFREX)	A	Dividend	J	T					
16. -Allianzgi Equity and CNV (NIE)	A	Dividend	J	T					
17. -Liberty All Star Equity(USA)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$5,001 - \$15,000	D = \$15,001 - \$50,000 E = \$50,001 - \$100,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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18. -Deposit account	A	Interest	J	T					
19. 401(K) Plus Plan (H)									
20. -Core Building Block Funds(FKATier 2 Core Funds): Interest Income Fund		None	P1	T	Buy (add'l)	02/15/17	J		
21.					Buy (add'l)	02/28/17	J		
22.					Buy (add'l)	03/15/17	J		
23.					Buy (add'l)	03/31/17	J		
24.					Buy (add'l)	04/13/17	J		
25.					Buy (add'l)	04/28/17	J		
26.					Buy (add'l)	05/15/17	J		
27.					Buy (add'l)	05/31/17	J		
28.					Buy (add'l)	06/15/17	J		
29.					Buy (add'l)	06/30/17	J		
30.					Buy (add'l)	12/15/17	J		
31.					Buy (add'l)	12/29/17	K		
32. -Expanded Choice-Mutual Funds: Vang Wellington ADM(VWENX)	A	Dividend	J	T					
33. -Expanded Choice-Mutual Funds: Vang Div Growth Inv (VDIGX)	A	Dividend	J	T					
34. -Core Building Blocks -Funds: Global RE Stock Index		None	J	T					

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35. -Expanded Choice-Mutual Funds:Fidelity Dividend GR K (FDGKX) (X)	A	Dividend	J	T					
36. -Expanded Choice-Mutual Funds:Pimco Inv GRD BD Inst(PIGIX) (X)	A	Dividend	J	T					
37. Charles Schwab One Brokerage Account (H)									
38. -General Electric Co (GE)	A	Dividend	J	T					
39. -US Treasury Note	A	Interest	J	T					
40. -Getty Realty Corp New REIT (GTY)	A	Dividend	J	T					
41. - Synchrony Bank CD	A	Interest	J	T					
42. -Schwab Monthly Income FD Enhanced Payout (SWKRX)	A	Dividend	J	T					
43. -Voya Prime Rate Trust (PPR)	A	Dividend	J	T					
44. ☐ Stock, Common (☐)	A	Dividend	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

☐ Restricted Stock Options reflected in Part VII at line 6 may vest over time. I have reported in Part VII (C)(1) the gross value of matured vested shares for this reporting period.

Part III-A at line 2 reflects reimbursement by my former employer of excess mandatory contributions into my defined benefit pension plan which were deducted from my pay over the course of my employment until retirement in 2014. The interest on those funds is reflected in Part III-A at line 3. This reimbursement of excess pension plan contributions deducted from salary was paid to all Judges of the Maricopa County Superior Court who were hired before the date at which contribution rates were incorrectly increased.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Eileen S. Willett

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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