

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2011**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Rosenbaum, Robin S.	2. Court or Organization U.S. District Court for the Southern District of Florida	3. Date of Report 09/29/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge (in 2011)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 1/1/2011 to 12/31/2011
7. Chambers or Office Address 299 E. Broward Blvd., Room 310-A Fort Lauderdale, Florida 33301		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☒ **NONE** *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☒ **NONE** *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2011	University of Miami School of Law (teaching legal writing course)	\$10,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2011	United States Courts -- salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. American Conference Institute	July 28-29, 2011	Chicago, IL	Presenter at Continuing Legal Education Conference	lodging, coach airfare, food, transportation
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Ameritrade Money Market (IRA)	A	Interest	J	T					
2. Astropower common (IRA Fidelity)		None	J	T					
3. Ballard Power Systems common (IRA Fidelity)		None	J	T					
4. Bank of America -- checking/savings	A	Interest	J	T					
5. Cisco Systems common	A	Dividend	J	T					
6. DiscoverBank Savings and Money Market Account	B	Interest	L	T					
7. Domini Social Equity Fund (IRA)	A	Dividend	J	T					
8. Ericsson L M Tel Co ADR (Ameritrade IRA)	A	Dividend	J	T					
9. Emagin, Inc. common (IRA Fidelity)		None	J	T	Buy	01/06/11	J		
10. Etrade Bank Money Market Account	A	Interest			Merged (with line 6)	05/26/11	J		
11. Fidelity Cash Reserves (IRA)	A	Dividend	J	T					
12. Fidelity Contrafund (IRA)	A	Interest	K	T					
13. Fidelity Municipal Money Market	A	Interest	J	T					
14. Fidelity Smart Cash acct (held at Fifth Third Bank)		None			Merged (with line 13)	05/13/11	J		
15. Fidelity Unique NH 2015 Portfolio (529 account)	A	Int./Div.	L	T	Sold (part)	11/30/11	L		
16. Fidelity Unique NH Bank Deposit Portfolio (529 account)	A	Int./Div.	M	T	Sold (part)	11/30/11	L		
17. Intel Corp. (common)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. J2 Global Communications, Inc. common	A	Dividend	J	T					
19. Janus Twenty Fund (IRA)	A	Dividend	J	T					
20. Janus Worldwide Fund	A	Dividend	J	T					
21. JDS Uniphase Corp. common (Ameritrade IRA)		None	J	T					
22. Oppenheimer Capital Appreciation Fund (Mass Mutual)		None	J	T					
23. Oppenheimer Midcap Fund (Mass Mutual)		None	J	T					
24. Parnassus Fund (IRA)	A	Dividend	K	T	Sold (part)	02/18/11	J	B	
25. Rhythms Netconnections common (Ameritrade IRA)		None	J	T					
26. Suntech Power Holdings Co. ADR		None			Sold	01/05/11	J	A	
27. T. Rowe Price College Savings Plan Portfolio 2018-529account	A	Int./Div.	M	T					
28. T. Rowe Price Blue Chip Growth Fund	A	Dividend	J	T					
29. TZ Limited common (Fidelity IRA)		None	J	T					
30. Universal Display Corp. (common)		None	J	T					
31. Ameritrade Money Market	A	Interest	J	T					
32. AOL, Inc. (common)		None			Sold	04/04/11	J	A	
33. Corning	A	Dividend			Sold	04/06/11	J	A	
34. ishares Silver Trust		None	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Janus Twenty Fund	A	Dividend	J	T	Spinoff (from line 19)		J		
36. Molycorp		None	J	T	Buy	04/12/11	J		
37. Nortel Networks (common)		None	J	T					
38. Oracle (common)	A	Dividend	J	T					
39.									
40.									
41.									
42.									
43.									
44.									
45.									
46.									
47.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

A portion of Line 15 was transferred to Line 16. No gain is reported as both lines are part of the same 529 college savings account.

Lines 31 through 38 are or were held by [REDACTED], but I am listed as joint tenant on [REDACTED] account. This has been the case for several years. I derive no income or other benefits from [REDACTED] investments.

Amended to add purchase date of line 36 (Molycorp).

Amended to explain that Line 35 represents the Janus Twenty Fund shares owned by [REDACTED], now disclosed separately from the Janus Twenty Fund shares I own in an IRA, which is disclosed on line 19. Line 35 is not a new investment, but is split off from Line 19. No date is disclosed because there is no date for the "spin-off." I was advised to report [REDACTED] shares on separate lines from my shares, so there is no "spin off" transaction to disclose. In 2010, both my shares of Janus Twenty Fund and [REDACTED] shares were reported together on that report.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Robin S. Rosenbaum**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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