

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) ROGERS, RICHARD D.	2. Court or Organization U.S. DISTRICT COURT - TOPEKA, KS	3. Date of Report 11/03/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. SENIOR DISTRICT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 410 FRANK CARLSON FEDERAL BUILDING AND U.S. COURTHOUSE 444 SE QUINCY ST TOPEKA, KANSAS 66683		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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Name of Person Reporting

ROGERS, RICHARD D.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2014

KANSAS FARM BUREAU RETIREMENT PENSION

2. 2015

KANSAS PUBLIC EMPLOYEES RETIREMENT PENSION

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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ROGERS, RICHARD D.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

ROGERS, RICHARD D.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. WESTERN RESOURCES COMMON STOCK	D	Dividend	M	T	Buy	06/24/14	J		
2. FIDELITY STATE BANK	A	Interest	J	T					
3. NUVEEN TAX EXEMPT	A	Dividend	K	T					
4. BANK OF AMERICA SAVINGS	A	Interest	J	T					
5. CITIGROUP COMMON STOCK	A	Dividend			Sold	12/29/14	J	A	
6. QUEST CREDIT UNION	A	Int./Div.	J	T					
7. TARGET COMMON STOCK	A	Dividend	J	T					
8. SOUTHERN COMPANY COMMON STOCK	A	Dividend	K	T					
9. BANK OF AMERICA COMMON STOCK	A	Dividend	K	T	Buy	06/23/14	J		
10. AT&T COMMON STOCK	A	Dividend	K	T					
11. CAP. FED COMMON STOCK	A	Dividend	J	T	Buy	06/23/14	J		
12. VERIZON COMMON STOCK	A	Dividend	K	T	Buy	06/23/14	J		
13. PROCTOR & GAMBLE COMMON STOCK	A	Dividend	K	T	Buy	07/10/14	J		
14. ONEOK COMMON STOCK	B	Dividend	K	T	Buy	06/23/14	J		
15. JOHNSON & JOHNSON COMMON	A	Dividend	K	T	Buy	06/25/14	J		
16. 3M COMMON STOCK	A	Dividend	K	T	Buy	06/27/14	J		
17. BARCLAY'S COMMON STOCK	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. BRITISH PETROLEUM COMMON STOCK	A	Dividend	J	T					
19. ROYAL DUTCH SHELL COMMON STOCK	A	Dividend	J	T					
20. BERKSHIRE HATHAWAY COMMON STOCK	A	Dividend	K	T					
21. VANGUARD TAX-EXEMPT BOND FUND	D	Dividend	M	T					
22. KS FARM BUREAU LIFE INSURANCE INTEREST ON POLICIES	B	Interest	J	T					
23. DUPONT	A	Dividend	J	T					
24. GENERAL ELECTRIC	A	Dividend	J	T					
25. ACCENTURE STOCK	A	Dividend	K	T					
26. CONOCO PHILLIPS STOCK	B	Dividend	K	T	Buy	06/25/14	J		
27. ELY LILLY STOCK	A	Dividend	K	T					
28. FERRELL GAS LP	A	Distribution	K	T	Buy	01/24/14	J		
29. DUKE ENERGY COMMON STOCK	B	Dividend	K	T					
30. ALTRIA	A	Dividend	K	T	Buy	06/25/14	J		
31. CATERPILLAR	A	Dividend	K	T					
32. XELON CORP	A	Dividend	J	T					
33. MARATHON OIL CORP	A	Dividend	J	T					
34. DOW CHEMICAL COMMON STOCK	A	Dividend	J	T					

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35. INTEL CORPORATION COMMON STOCK	A	Dividend	J	T					
36. MARATHON PETROLEUM CORP COMMON STOCK	A	Dividend	J	T					
37. PHILLIPS 66 COMMON STOCK	A	Dividend	K	T	Buy	07/10/14	J		
38. FRONTIER COMMUNICATION COMMON STOCK	A	Dividend	J	T	Buy	03/21/14	J		
39. ILLINOIS TOOL WORKS	A	Dividend	J	T	Buy	10/21/14	J		
40. ONE GAS, INC	A	Dividend	J	T	Buy	07/10/14	J		
41. OIL ROYALTY - HASKELL COUNTY, OK (Y)									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ RICHARD D. ROGERS**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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