

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Benitez, Roger T.	2. Court or Organization U. S. District Court, Southern District of California	3. Date of Report 05/04/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge, Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 221 West Broadway San Diego, California 92101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board of Trustees-	Thomas Jefferson School of Law
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2016

California State Teachers Retirement

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Quicken Home Loans	Mortgage	M
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Parcel 4 Salton City, Ca		None	J	W					
2. Parcel 5 San Diego, CA	E	Rent	N	W					
3. Note (X)- Steve and Anna Seibert	E	Interest	M	T					
4. Northern Life Annuity/ING now VOYA TSA group	B	Interest	L	T					
5. Brokerage account-- MSSB--- (H)---					Closed	11/01/16			
6. Scottrade Brokerage Account 1 (H)									
7. investment account-	A	Interest	L	T					
8. Citibank- Bank Deposit Program	A	Interest	K	T					
9. At&t (T)	A	Dividend	J	T	Buy (add'l)	11/15/16	J		
10. Annaly Capital --NLY	A	Dividend	J	T					
11. Apple-common stock-AAPL	A	Dividend	K	T	Buy (add'l)	12/07/16	J		
12. Qwest Corp Preferential Rate- preferred stock-cty	A	Dividend	J	T					
13. Facebook Inc CLA--common stock FB		None	K	T	Buy	11/15/16	J		
14.					Buy (add'l)	11/28/16	J		
15. Google Inc CLC--common stock GOOGname change Alphabet Inc		None	K	T					
16. Qualcomm Inc. common stock QCOM	A	Dividend	J	T	Buy (add'l)	11/15/16	J		
17. Dow Chemical common stock DOW	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Fitbit Inc. common stock FIT		None			Sold	11/07/16	J	A	
19. Chevron Corporation- common-CVX		None	K	T	Buy	12/07/16	J		
20.		None			Buy (add'l)	11/15/16	J		
21. Automatic Data Processing- common -ADP		None	J	T	Buy	12/27/16	J		
22. Old Republic International Corporation - common - ORI		None	J	T	Buy	12/28/16	J		
23. Bank of America- common BAC		None	J	T	Buy	12/28/16	J		
24. Alibaba Group Holding LTD-common- BABA		None	J	T	Buy	05/27/16	J		
25. Gilead Sciences Inc.- common GILD		None			Buy	05/18/16	J		
26.					Sold	11/28/16	J	A	
27. Aberdeen Asia Pacific-Income Fund-FAX	A	Dividend			Buy	05/27/16	J		
28.					Sold	12/23/16	J	A	
29. Monsanto Company-common- MON	A	Dividend			Buy	12/23/16	J		
30.					Sold	12/27/16	J	A	
31. Brokerage account-- (H) E-Trade									
32. Extended insurance sweep deposit account (X)		None	J	T					
33. Stag Industrial --(STAG)		None			Sold	01/13/16	J	B	
34. Miller Energy Resources-- Fixed SER D-- MILL (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. Orchid Island Capital Inc.--common stock-- ORC		None			Sold	01/13/16	J	A	
36. SPDR Gold Trust--GLD-- --common		None			Sold	02/16/16	J	B	
37.		None			Buy	03/29/16	J		
38.		None			Buy (add'l)	03/29/16	J		
39.		None			Sold	12/06/16	J	A	
40. Facebook-common stock--FB		None			Sold	02/16/16	J	A	
41.					Buy	03/30/16	J		
42.					Buy (add'l)	04/05/16	J		
43.			K	T	Buy (add'l)	12/08/16	J		
44. Walmart Corp- common stock WMT	A	Dividend			Buy (add'l)	03/30/16	J		
45.					Buy (add'l)	04/19/16	J		
46.					Sold	09/08/16	J	A	
47. Amirin Pharmaceutical common stock AMRN		None			Buy (add'l)	02/17/16	J		
48.					Buy (add'l)	06/08/16	J		
49.					Sold	08/02/16	J	A	
50. Chevron Corporation -common stock- CVX	B	Dividend	L	T	Buy (add'l)	02/03/16	J		
51.					Buy (add'l)	03/17/16	J		

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52.					Buy (add'l)	09/13/16	J		
53.					Buy (add'l)	12/08/16	J		
54. Valero Oil Partners--common stock--elro		None			Sold	03/29/16	J		
55. Twitter- common TWTR		None			Buy	02/04/16	J		
56.					Sold	02/17/16	J	A	
57.					Buy	02/18/16	J		
58.					Sold	03/18/16	J	A	
59. Deutsche Bank- common stock DB		None	J	T	Buy	09/28/16	J		
60.					Buy (add'l)	10/10/16	J		
61. ExxonMobil- common stock XOM		None	J	T	Buy	12/13/16	J		
62. Valic---(H)									
63. Valic Fixed Account Plus	A	Dividend	J	T					
64. Valic Midcap Strat. GR./Morgan Stanley		None	J	T					
65. AIG Valic Mid Cap Fund (Wellington)		None	K	T					
66. Valic Small Cap Special Value-Evergreen/ Putnam		None	K	T					
67. Valic International Small Cap Equity/ AIG		None	J	T					
68. Chase Bank- aggregate	B	Interest	N	T					

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69. San Diego County Credit Union aggregate	A	Interest	M	T					
70. Bank of America aggregate	A	Interest	J	T					
71. Brokerage Account Scottrade - 2 (H)									
72. Sweep account	A	Interest	J	T					
73. SPDR Gold Trust- GLD		None			Sold	10/05/16	J	B	
74. Chevron Corporation---common stock-- CVX	A	Dividend	J	T	Buy	09/09/16	J		
75. Facebook Inc-- CLA common stock FB		None	J	T	Buy	07/26/16	J		
76. Amarin Pharmaceutical--common stock-- AMRN (Y)									
77. Netflix--common stock--NFLX		None			Sold	07/28/16	J	A	
78. General Electric Company--common stock-- GE	A	Dividend			Sold	08/02/16	J	A	
79. Direxion Gold Miners- ETF-NUGT		None	J	T	Buy	10/12/16	J		
80.					Buy (add'l)	11/04/16	J		
81.					Buy (add'l)	12/12/16	J		
82. Twitter, Inc. common-TWTR		None			Buy	08/04/16	J		
83.					Sold	08/15/16	J	A	
84. Deutsche Bank-common-DB		None			Buy	10/21/16	J		
85.					Sold	12/14/16	J	A	

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86. British Petroleum- common BP		None			Buy	10/21/16	J		
87.					Sold	11/04/16	J	A	
88. Monsanto Corporation-common MON		None			Buy	09/19/16	J		
89.					Sold	10/21/16	J	A	
90. California State Employee 401K-Savings Plus MidCap Fund	A	Dividend	J	T					
91. Trust- - - (H)									
92. --Wells Fargo- aggregate	B	Interest	M	T					
93. --Parcel 6- San Diego, CA	E	Rent	N	W					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 5- account was closed-assets transfereed to account beginning at line 6

Line 37 -company was reorganized Chapter 11- stock voided.

Line 76-stock sold in February 2015 below reporting threshold <\$1000

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Roger T. Benitez

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544