

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Wilner, Michael R.	2. Court or Organization U.S. District Court - Central District of California	3. Date of Report 05/05/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge (full-time)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address Roybal Federal Building 255 E. Temple St., Chambers Room 560 Los Angeles, CA 90012		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board Member	Federal Bar Association, Los Angeles Chapter
2. Executive Committee Member	Judge Paul Michel Intellectual Property American Inn of Court
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 17

Name of Person Reporting

Wilner, Michael R.

Date of Report

05/05/2016

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 3 of 17

Name of Person Reporting

Wilner, Michael R.

Date of Report

05/05/2016

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 17

Name of Person Reporting

Wilner, Michael R.

Date of Report

05/05/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Brokerage Acct # 1									
2. BMO INTERM TAX FREE BOND FUND	A	Distribution	K	T					
3. MFS EMERGING MARKETS DEBT FUND	A	Distribution			Sold	09/23/15	K	A	
4. RIDGEWORTH SEIX FLOATING RATE INCOME FUND	A	Distribution			Sold	12/10/15	K	A	
5. RIDGEWORTH SEIX HIGH YIELD FUND	A	Distribution			Sold	03/12/15	K	A	
6. SCHWAB CA TAX FREE BOND FUND	A	Distribution	K	T					
7. VANGUARD CA INTERM TERM TAX FREE	A	Distribution	K	T					
8. ACADIAN EMERGING MARKETS FUND	A	Distribution			Sold	09/03/15	J	A	
9. BARON SMALL CAP FUND	A	Distribution			Sold	12/07/15	J	A	
10. BROWN ADVISORY GROWTH FUND	A	Distribution	K	T					
11. DIAMOND HILL SMALL CAP	A	Distribution	J	T					
12. HARBOR INTERNATIONAL FUND	A	Distribution			Sold	09/23/15	K	A	
13. HODGES SMALL CAP FUND	A	Distribution	J	T					
14. OAKMARK INTL FUND	A	Distribution			Sold	08/26/15	K	A	
15. PARNASSUS CORE EQUITY FUND	A	Distribution	K	T					
16. PRINCIPAL EQUITY INCOME FUND	A	Distribution	K	T					
17. ISHARES MSCI VALUE ETF	A	Distribution	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 17

Name of Person Reporting

Wilner, Michael R.

Date of Report

05/05/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34–60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. ISHARES RUSSELL 1000 VALUE ETF	A	Distribution	L	T					
19. ISHARES S&P 500 GROWTH ETF	A	Distribution	K	T					
20. ISHARES RUSSELL 1000 GROWTH ETF	A	Distribution	K	T					
21. ISHARES RUSSELL 2000 GROWTH ETF	A	Distribution	J	T					
22. ISHARES MSCI EAFE SMALL CAP ETF	A	Distribution	J	T					
23. ISHARES NATL MUNI BOND ETF	A	Distribution	J	T					
24. SCHWAB US TREASURY ETF	A	Distribution	J	T					
25. SCHWAB US DIVIDEND EQUITY ETF	A	Distribution	K	T					
26. SCHWAB US MIDCAP ETF	A	Distribution	J	T					
27. SCHWAB EMERGING MARKETS ETF	A	Distribution			Sold	08/25/15	K	A	
28. SCHWAB INTL EQUITY ETF	A	Distribution			Sold	09/03/15	K	A	
29. SCHWAB INTL SMALL CAP ETF	A	Distribution	J	T					
30. SCHWAB US LARGE CAP ETF	A	Distribution	K	T					
31. SCHWAB US SMALL CAP ETF	A	Distribution	K	T	Buy (add'l)	12/17/15	J		
32. VANGARD FTSE EMERGING MARKETS ETF	A	Distribution	K	T	Buy	08/25/15	K		
33. VANGUARD FTSE DEVELOPED MARKETS ETF	A	Distribution	K	T	Buy	09/03/15	K		
34. POWERSHARES EMRG MKTS SOVRGN DBT ETF	A	Distribution	J	T	Buy	09/23/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 17

Name of Person Reporting

Wilner, Michael R.

Date of Report

05/05/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. SCHWAB FUNDAMENTAL INL LARGE COM ETF	A	Distribution	K	T	Buy	08/27/15	K		
36. SPDR MSCI ACWI EX-US ETF	A	Distribution	K	T	Buy	09/23/15	K		
37.									
38.									
39. Brokerage Acct #2									
40. BMO INTERM TAX FREE BOND FUND	A	Distribution	J	T					
41. RIDGEWORTH SEIX FLOATING RATE INCOME FUND	A	Distribution			Sold	12/10/15	J	A	
42. RIDGEWORTH SEIX HIGH YIELD FUND	A	Distribution			Sold	04/09/15	J	A	
43. SCHWAB CA TAX FREE BOND FUND	A	Distribution	K	T	Buy (add'l)	12/02/15	J		
44. BROWN ADVISORY GROWTH FUND	A	Distribution	J	T					
45. HARBOR INTERNATIONAL FUND	A	Distribution			Sold	09/23/15	J	A	
46. HODGES SMALL CAP FUND	A	Distribution	J	T					
47. LAUDUS US LARGE CAP GROWTH FUND	A	Distribution	J	T					
48. OAKMARK INTL FUND	A	Distribution			Sold	12/10/15	J	A	
49. PARNASSUS CORE EQUITY FUND	A	Distribution	K	T					
50. PRINCIPAL EQUITY INCOME FUND	A	Distribution	J	T					
51. SCHWAB US TREASURY ETF	A	Distribution	J	T	Buy (add'l)	04/13/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 17

Name of Person Reporting

Wilner, Michael R.

Date of Report

05/05/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. SCHWAB AGGR BOND ETF	A	Distribution	K	T	Buy (add'l)	12/02/15	J		
53. SCHWAB US DIVIDEND EQUITY ETF	A	Distribution	J	T					
54. SCHWAB US TIPS ETF	A	Distribution	J	T	Buy (add'l)	12/02/15	J		
55. SCHWAB EMERGING MARKETS ETF	A	Distribution	J	T	Buy (add'l)	12/02/15	J		
56. SCHWAB INTL EQUITY ETF	A	Distribution	K	T	Buy (add'l)	12/02/15	J		
57.					Sold (part)	09/03/15	K	A	
58. SCHWAB US LARGE CAP ETF	A	Distribution	L	T	Buy (add'l)	12/02/15	J		
59. SCHWAB US SMALL CAP ETF	A	Distribution	K	T	Buy (add'l)	12/02/15	J		
60. SPDR MSCI ACWI EX-US ETF	A	Distribution	J	T	Buy	09/23/15	J		
61. VANGUARD FTSE DEVELOPED MARKETS ETF	A	Distribution	K	T	Buy	09/03/15	J		
62.									
63.									
64. Brokerage Acct #3									
65. BMO INTERM TAX FREE BOND FUND	A	Distribution	J	T					
66. RIDGEWORTH SEIX FLOATING RATE	A	Distribution			Sold	12/10/15	J	A	
67. RIDGEWORTH SEIX HIGH YIELD FUND	A	Distribution			Sold	08/31/15	J	A	
68. SCHWAB CA TAX FREE BOND FUND	A	Distribution	J	T	Buy (add'l)	12/02/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 17

Name of Person Reporting

Wilner, Michael R.

Date of Report

05/05/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. BROWN ADVISORY GROWTH FUND	A	Distribution	J	T					
70. HARBOR INTERNATIONAL FUND	A	Distribution			Sold	09/23/15	J	A	
71. JANUS VENTURE FUND	A	Distribution	J	T					
72. LAUDUS US LARGE CAP GROWTH FUND	A	Distribution	J	T					
73. OAKMARK INTL FUND	A	Distribution			Sold	12/10/15	J	A	
74. PARNASSUS CORE EQUITY FUND	A	Distribution	J	T					
75. PRINCIPAL EQUITY INCOME FUND	A	Distribution	J	T					
76. SCHWAB SMALL CAP EQUITY FUND	A	Distribution			Sold	12/10/15	J	A	
77. UNDISCOVERED MANAGERS VALUE FUND	A	Distribution	J	T					
78. ISHARES CALIF AMT FREE MUNI ETF	A	Distribution	J	T	Buy	08/31/15	J		
79. ISHARES NATL MUNI BOND ETF	A	Distribution	J	T					
80. SCHWAB US TREASURY ETF	A	Distribution	J	T					
81. SCHWAB AGGR BOND ETF	A	Distribution	K	T	Buy	12/02/15	J		
82. SCHWAB US DIVIDEND EQUITY ETF	A	Distribution	J	T					
83. SCHWAB US TIPS ETF	A	Distribution	J	T	Buy (add'l)	12/02/15	J		
84. SCHWAB EMERGING MARKETS ETF	A	Distribution	J	T	Buy (add'l)	12/02/15	J		
85.					Sold (part)	09/28/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 17

Name of Person Reporting

Wilner, Michael R.

Date of Report

05/05/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

86. SCHWAB INTL EQUITY ETF	A	Distribution	J	T	Buy (add'l)	12/10/15	J		
87.					Sold (part)	09/28/15	K	A	
88. SCHWAB US LARGE CAP ETF	A	Distribution	L	T	Buy (add'l)	12/02/15	J		
89. SCHWAB US SMALL CAP ETF	A	Distribution	K	T	Buy (add'l)	12/10/15	J		
90. SCHWAB FUNDA EMG MKTS LARGE COM ETF	A	Distribution	J	T	Buy	09/28/15	J		
91. SPDR MSCI ACWI EX-US ETF	A	Distribution	K	T	Buy	09/28/15	K		
92.									
93.									
94. Brokerage Acct #4									
95. SCHWAB CA TAX FREE BOND FUND	A	Distribution	K	T	Buy (add'l)	12/02/15	J		
96. ACADIAN EMERGING MARKETS FUND	A	Distribution			Sold	09/03/15	J	A	
97. BROWN ADVISORY GROWTH FUND	A	Distribution	K	T					
98. HARBOR INTERNATIONAL FUND	A	Distribution			Sold	09/23/15	J	A	
99. HODGES SMALL CAP FUND	A	Distribution	J	T					
100. JANUS VENTURE FUND	A	Distribution	J	T					
101. OAKMARK INTL FUND	A	Distribution			Sold	12/10/15	J	A	
102. PARNASSUS CORE EQUITY FUND	A	Distribution	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 17

Name of Person Reporting

Wilner, Michael R.

Date of Report

05/05/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. PRINCIPAL EQUITY INCOME FUND	A	Distribution	K	T					
104. UNDISCOVERED MANAGERS VALUE FUND	A	Distribution	J	T					
105. SCHWAB AGGR BOND ETF	A	Distribution	J	T	Buy (add'l)	12/02/15	J		
106. SCHWAB US DIVIDEND EQUITY ETF	A	Distribution	J	T					
107. SCHWAB US TIPS ETF	A	Distribution	J	T	Buy (add'l)	12/02/15	J		
108. SCHWAB EMERGING MARKETS ETF	A	Distribution	J	T	Sold (part)	09/03/15	J	A	
109.					Buy (add'l)	12/02/15	J		
110. SCHWAB INTL EQUITY ETF	A	Distribution	J	T	Sold (part)	09/03/15	J	A	
111.					Buy (add'l)	12/02/15	J		
112. SCHWAB US LARGE CAP ETF	A	Distribution	L	T	Buy (add'l)	12/02/15	J		
113. SCHWAB US SMALL CAP ETF	A	Distribution	K	T	Buy (add'l)	12/02/15	J		
114. SPDR MSCI ACWI EX-US ETF	A	Distribution	K	T	Buy	09/28/15	K		
115. VANGUARD FTSE EMERGING MARKETS ETF	A	Distribution	J	T	Buy	09/03/15	J		
116. POWERSHARES INTL DIVIDEND ETF	A	Distribution	J	T					
117.									
118.									
119. Brokerage Acct # 5									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 17

Name of Person Reporting

Wilner, Michael R.

Date of Report

05/05/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

120. ACADIAN EMERGING MARKETS FUND	A	Distribution	J	T					
121. BROWN ADVISORY GROWTH FUND	A	Distribution	K	T					
122. HARBOR INTERNATIONAL FUND	A	Distribution	J	T					
123. HODGES SMALL CAP FUND	A	Distribution	J	T					
124. JANUS VENTURE FUND	A	Distribution	J	T					
125. MOTLEY FOOL GREAT AMERICAN FUND	A	Distribution	J	T					
126. OAKMARK INTL FUND	A	Distribution	J	T					
127. PRINCIPAL EQUITY INCOME FUND	A	Distribution	J	T					
128. UNDISCOVERED MANAGERS VALUE FUND	A	Distribution	J	T					
129. POWERSHARES INTL DIVIDEND ACHIEVERS ETF	A	Distribution	J	T					
130. SCHWAB US DIVIDEND EQUITY ETF	A	Distribution	J	T					
131. SCHWAB EMERGING MARKETS ETF	A	Distribution	J	T					
132. SCHWAB INTL EQUITY ETF	A	Distribution	J	T					
133. SCHWAB US LARGE CAP ETF	A	Distribution	K	T					
134. SCHWAB US SMALL CAP ETF	A	Distribution	K	T					
135.									
136.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 17

Name of Person Reporting

Wilner, Michael R.

Date of Report

05/05/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Brokerage Acct #6									
138. BAIRD CORE PLUS BOND FUND	A	Distribution	J	T					
139. PIMCO TOTAL RETURN FUND	A	Distribution	J	T					
140. BROWN ADVISORY GROWTH FUND	A	Distribution	J	T					
141. HARBOR INTERNATIONAL FUND	A	Distribution	J	T					
142. HODGES SMALL CAP FUND	A	Distribution	J	T					
143. PARNASSUS CORE EQUITY FUND	A	Distribution	J	T					
144. PRINCIPAL EQUITY INCOME FUND	A	Distribution	J	T					
145. POWERSHARES HIGH YIELD BOND ETF	A	Distribution	J	T					
146. SCHWAB AGGR BOND ETF	A	Distribution	J	T					
147. SCHWAB US TIPS ETF	A	Distribution	J	T					
148. SCHWAB EMERGING MARKETS ETF	A	Distribution	J	T					
149. SCHWAB INTL EQUITY ETF	A	Distribution	J	T					
150. SCHWAB US LARGE CAP ETF	A	Distribution	J	T					
151. SCHWAB US SMALL CAP ETF	A	Distribution	J	T					
152. SPDR BARCLAYS EX-US TREASURY ETF	A	Distribution	J	T					
153.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 17

Name of Person Reporting

Wilner, Michael R.

Date of Report

05/05/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.									
155. Brokerage #7									
156. IPATH BLOOMBERG COMMODITY INDEX ETF	A	Distribution			Sold	05/04/15	J	A	
157. ISHARES AMT BOND ETF	A	Distribution	J	T					
158. VANGUARD TOTAL STOCK MARKET ETF	A	Distribution	J	T					
159. VANGUARD FTSE EMERGING MARKETS ETF	A	Distribution			Sold	08/06/15	J	A	
160. VANGUARD ENERGY ETF	A	Distribution	J	T	Buy	05/04/15	J		
161. VANGUARD DIVIDEND APPRECIATION ETF	A	Distribution			Sold	09/14/15	J	A	
162. VANGUARD FTSE DEVELOPED MARKETS ETF	A	Distribution			Sold	12/14/15	J	A	
163. ISHARES CORE MSCI EMERGING MARKETS	A	Distribution	J	T	Buy	08/06/15	J		
164. SELECT SECTOR SPDR ENERGY TRUST	A	Distribution	J	T	Buy	05/04/15	J		
165. SCHWAB INTL EQUITY ETF	A	Distribution	J	T	Buy	12/14/15	J		
166. SCHWAB US BROAD MARKET ETF	A	Distribution	K	T	Buy	09/11/15	K		
167. SCHWAB US DIVIDEND EQUITY ETF	A	Distribution	J	T	Buy	09/25/15	J		
168.									
169.									
170. Brokerage # 8									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 17

Name of Person Reporting

Wilner, Michael R.

Date of Report

05/05/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. SCHWAB US REIT ETF	A	Distribution	J	T	Buy	03/12/15	J		
172. ISHARES GOLD ETF	A	Distribution	J	T	Buy	03/12/15	J		
173. ISHARES HIGH YIELD CORPORATE BOND ETF	A	Distribution	J	T	Buy	03/12/15	J		
174. MARKET VECTORS EMERGING MARKET ETF	A	Distribution	J	T	Buy	03/12/15	J		
175. SCHWAB EMERGING MARKETS ETF	A	Distribution	J	T	Buy	03/12/15	J		
176. SCHWAB FUNDAMENTAL EMERGING MARKETS ETF	A	Distribution	J	T	Buy	03/12/15	J		
177. SCHWAB FUNDAMENTAL INTL LARGE CAP ETF	A	Distribution	J	T	Buy	03/12/15	J		
178. SCHWAB FUNDAMENTAL INTL SMALL CAP ETF	A	Distribution	J	T	Buy	03/12/15	J		
179. SCHWAB FUNDAMENTAL US LARGE CAP ETF	A	Distribution	J	T	Buy	03/12/15	J		
180. SCHWAB FUNDAMENTAL US SMALL CAP ETF	A	Distribution	J	T	Buy	03/12/15	J		
181. SCHWAB INTERNATIONAL ETF	A	Distribution	J	T	Buy	03/12/15	J		
182. SCHWAB INTERNATIONAL SMALL CAP ETF	A	Distribution	J	T	Buy	03/12/15	J		
183. SCHWAB US LARGE CAP ETF	A	Distribution	J	T	Buy	03/12/15	J		
184. SCHWAB USC SMALL CAP ETF	A	Distribution	J	T	Buy	03/12/15	J		
185. SPDR CALIF MUNI ETF	A	Distribution	J	T	Buy	03/12/15	J		
186. VANGUARD GLOBAL EXUS REAL ESTATE ETF	A	Distribution	J	T	Buy	03/12/15	J		
187. VANGUARD TOTAL INTERNATIONAL BOND ETF	A	Distribution	J	T	Buy	03/12/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 17

Name of Person Reporting

Wilner, Michael R.

Date of Report

05/05/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.									
189.									
190. Schwab Checking / Brokerage Sweep Accts	A	Int./Div.	L	T					
191. Citibank Checking / Savings Accts	A	Int./Div.	K	T					
192. Capital One Bank Savings Acct	A	Int./Div.	L	T					
193. 529 Account #1 New York Col Savings Prog Cons/Mod Growth (no control)	B	Distribution	N	T					
194.									
195.									
196.									
197.									
198.									
199.									
200.									
201.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 16 of 17

Name of Person Reporting

Wilner, Michael R.

Date of Report

05/05/2016

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 17 of 17

Name of Person Reporting

Wilner, Michael R.

Date of Report

05/05/2016

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Michael R. Wilner

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544