

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Hall, Sarah A.	2. Court or Organization Bankruptcy Court - Western District of OK	3. Date of Report 04/18/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Court Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Initial ☒ Annual ☒ Amended Report ☐ Date ☐ Final	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address United States Bankruptcy Court 215 Dean A. McGee, 9th Floor Oklahoma City, OK 73102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee		Trust #1 (See Part VII, lines 16-136)
2. Director		Oklahoma Bar Association Bankruptcy Reorganization Committee
3. Director		Federal Bar Association - Oklahoma City Chapter
4. Director		The Historical Society for the United States District Court for the Western District of Oklahoma
5. Member		Board of Visitors, University of Oklahoma Honors College

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2015

spouse (self-employed lawyer) professional corporation - salary and member distribution - K-1

2. 2015

National Cooperative Refinery Association - royalty

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Morgan Stanley Smith Barney Bank Deposit Program		None	J	T					
2. LL&E Royalty Trust		None	J	T					
3. Oklahoma Dream 529 Plan - Child #2		None	L	T					
4. - Pimco Total Return C		None	J	T	Distributed (part)	05/20/15	J		
5. - Pimco Real Return C		None	K	T					
6. - TIAA-CREF Scap Bld Index C		None	J	T	Distributed (part)	09/01/15	J		
7. Massachusetts Mutual Limited Pay Whole Life Policy	A	Dividend	K	T					
8. Massachusetts Mutual Variable Life Select Policy		None	J	T					
9. - The Oppenheimer Capital Appreciation Fund									
10. - The Oppenheimer Small & Mid Cap Growth Fund									
11. Massachusetts Mutual Whole Life Policy	A	Dividend	K	T					
12. Massachusetts Mutual Ltd Pay Whole Life @ 65 Policy	A	Dividend	J	T					
13. Massachusetts Mutual Ltd Pay Whole Life Policy	A	Dividend	K	T					
14. Massachusetts Mutual Whole Life Policy	A	Dividend	K	T					
15. Massachusetts Mutual Ltd Pay Whole Life @65 Policy	A	Dividend	J	T					
16. TRUST #1	D	Dividend	M	T					
17. - MidFirst Bank Account	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. - Templeton Global Bond Fund	A	Dividend			Sold	08/26/15	J		
19. - Cash and Morgan Stanley Bank, N.A. Deposit Program	A	Interest	J	T					
20. - Lord Abbott Short Duration Income Fnd CL F	A	Dividend			Buy (add'l)	09/17/15	J		
21.					Sold	12/16/15	J		
22. - Franklin Income Adv.	A	Dividend	J	T	Sold (part)	09/17/15	J		
23.					Buy (add'l)	12/22/15	J		
24. - Altria Group, Inc.	A	Dividend	J	T	Sold (part)	09/17/15	J	A	
25.					Buy (add'l)	12/22/15	J		
26. - American Capital Agency	A	Dividend			Buy (add'l)	02/12/15	J		
27.					Sold	04/30/15	J		
28. - American Electric Power Co.	A	Dividend			Sold (part)	09/17/15	J	A	
29.					Sold	11/30/15	J	A	
30. - Annaly Capital Mngmt Inc.	A	Dividend	J	T	Buy (add'l)	02/12/15	J		
31.					Sold	04/30/15	J		
32.					Buy	12/07/15	J		
33.					Buy (add'l)	12/07/15	J		
34.					Buy (add'l)	12/22/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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35. - Astrazeneca PLC ADS	A	Dividend	J	T	Sold (part)	09/17/15	J	A	
36.					Buy (add'l)	12/22/15	J		
37. - AT&T	A	Dividend	J	T	Sold (part)	09/17/15	J		
38.					Buy (add'l)	12/07/15	J		
39. - BCE Inc. (New)	A	Dividend	J	T	Buy (add'l)	09/17/15	J		
40.					Buy (add'l)	12/22/15	J		
41. - BK Montreal					Sold	01/26/15	J		
42. - Centurylink Inc.	A	Dividend	J	T	Buy (add'l)	09/17/15	J		
43.					Buy (add'l)	12/22/15	J		
44. - Eaton Vance Tax Mgd Div Equ Fd	A	Dividend	J	T	Buy (add'l)	03/17/15	J		
45.					Sold (part)	09/17/15	J	A	
46.					Buy (add'l)	12/07/15	J		
47. - Health Care REIT Inc.	A	Dividend			Buy (add'l)	09/17/15	J		
48.					Sold	09/30/15	J		
49. - Leggett & Platt Inc.	A	Dividend			Sold	02/08/15	J	B	
50. - LTC Properties Inc.	A	Dividend	J	T	Sold (part)	09/17/15	J	A	
51.					Buy (add'l)	12/22/15	J		

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52. - MFA Financial Inc.	A	Dividend			Sold	04/30/15	J		
53. - National Retail Properties I	A	Dividend	J	T	Sold (part)	09/17/15	J	A	
54. - Omega Healthcare Inv Inc.	A	Dividend	J	T	Sold (part)	09/17/15	J	A	
55.					Buy (add'l)	12/22/15	J		
56. - PPL Corporation	A	Dividend			Sold	02/12/15	J	A	
57. - Reynolds American Inc.	A	Dividend	J	T	Sold (part)	09/17/15	J	B	
58. - Senior Hsg Ppty Tr SBI	A	Dividend	J	T	Buy (add'l)	06/01/15	J		
59.					Buy (add'l)	09/17/15	J		
60.					Buy (add'l)	12/22/15	J		
61. - Vectren Cp	A	Dividend	J	T	Sold (part)	09/17/15	J	A	
62.					Buy (add'l)	12/22/15	J		
63. - Verizon Communications	A	Dividend	J	T	Buy (add'l)	12/22/15	J		
64. - Teco Energy	A	Dividend			Sold	09/08/15	J	B	
65. - Fifth Street Corp. Com	A	Dividend			Sold	02/12/15	J	B	
66. - Kimberly Clark Corp.	A	Dividend			Sold	10/20/15	J	A	
67. - WP Carey Inc.	A	Dividend	J	T	Sold (part)	09/17/15	J	A	
68. - Westar Energy Inc.	A	Dividend			Sold	03/22/15	J	A	

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69. - DNP Select Income Inc.	A	Dividend	J	T	Sold (part)	09/17/15	J		
70.					Buy (add'l)	12/16/15	J		
71. - Alerian MLP ETF					Buy (add'l)	04/30/15	J		
72.					Buy (add'l)	09/17/15	J		
73.					Sold	12/07/15	J		
74. - Lord Abbett Flt Rt F	A	Dividend	J	T	Sold (part)	09/17/15	J		
75. - Vector Group Ltd	A	Dividend	J	T	Sold (part)	09/17/15	J	B	
76.					Sold (part)	09/29/15	J		
77. - Resources Capital Corp.	A	Dividend			Sold	04/30/15	J		
78. - New York Mortgage Trust Inc.	A	Dividend			Sold	04/30/15	J	A	
79. - Old Republic Intl Cp	A	Dividend	J	T	Sold	01/26/15	J		
80.					Buy	08/26/15	J		
81.					Sold (part)	09/17/15	J	A	
82. - Univ. Hlth Rlty Inc. TR SBI	A	Dividend	J	T	Buy (add'l)	09/17/15	J		
83. - HCP Incorporated	A	Dividend	J	T	Buy (add'l)	09/17/15	J		
84.					Buy (add'l)	12/22/15	J		
85. - Blackrock Intl Grwth & Inc Tr	A	Dividend	J	T	Sold (part)	03/17/15	J		

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86.					Buy (add'l)	04/30/15	J		
87.					Buy (add'l)	06/01/15	J		
88.					Buy (add'l)	09/17/15	J		
89.					Buy (add'l)	12/07/15	J		
90.					Buy (add'l)	12/16/15	J		
91. - Main Street Capital Corp.	A	Dividend	J	T	Buy (add'l)	09/17/15	J		
92.					Buy (add'l)	12/22/15	J		
93. - Kinder Morgan Incorp.	A	Dividend			Buy (add'l)	01/26/15	J		
94.					Buy (add'l)	09/17/15	J		
95.					Sold	12/01/15	J		
96. - Goldman Sachs MLP Energy Ren					Buy (add'l)	01/12/15	J		
97.					Buy (add'l)	04/30/15	J		
98.					Buy (add'l)	09/17/15	J		
99.					Sold	12/07/15	J		
100. - Williams Co. Inc.	A	Dividend			Buy (add'l)	01/26/15	J		
101.					Buy (add'l)	09/17/15	J		
102.					Sold	12/17/15	J		

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103. - Textainer Group Holdings LTD.					Buy	02/12/15	J		
104.					Buy (add'l)	09/17/15	J		
105.					Sold	11/03/15	J		
106. - Abbvie Inc. Com	A	Dividend	J	T	Buy	03/12/15	J		
107.					Sold (part)	09/17/15	J	A	
108.					Buy (add'l)	12/22/15	J		
109. - Cohen & Steers CEF OPP FD, Inc/.	A	Dividend			Buy	04/30/15	J		
110.					Buy (add'l)	04/30/15	J		
111.					Buy (add'l)	04/30/15	J		
112.					Buy (add'l)	09/17/15	J		
113.					Sold	10/28/15	J		
114. - PIMCO Corporate & Income Oppor.	A	Dividend	J	T	Buy (add'l)	04/30/15	J		
115.					Buy (add'l)	06/01/15	J		
116.					Buy (add'l)	09/17/15	J		
117.					Buy (add'l)	12/07/15	J		
118. - Chevron Corp.	A	Dividend	J	T	Buy	08/26/15	J		
119.					Sold (part)	09/17/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Hall, Sarah A.

Date of Report

04/18/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. - Waddell & Reed Fincl Inc. Cl A	A	Dividend	J	T	Buy	08/26/15	J		
121.					Buy (add'l)	09/17/15	J		
122. - Southern Co.	A	Dividend	J	T	Buy	09/08/15	J		
123.					Sold (part)	09/17/15	J	A	
124. - Emerson Electronic Co.	A	Dividend	J	T	Buy	10/20/15	J		
125.					Buy (add'l)	12/22/15	J		
126. - Liberty All Star Equity FD SBI			J	T	Buy	10/28/15	J		
127.					Buy (add'l)	12/07/15	J		
128.					Buy (add'l)	12/16/15	J		
129. - Glaxosmithkline PLC ADS			J	T	Buy	11/03/15	J		
130.					Buy (add'l)	12/22/15	J		
131. - Realty Income Com			J	T	Buy	11/30/15	J		
132.					Buy (add'l)	12/22/15	J		
133. - RMR Group Inc. Cl A					Distributed	12/14/15	J		
134.					Sold	12/18/15	J	A	
135. - Welltower Inc.	A	Dividend	J	T	Buy	09/30/15	J		
136.					Buy (add'l)	12/22/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Hall, Sarah A.

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04/18/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. IRA #2	E	Dividend	N	T					
138. - Lord Abbett Short Duration Income Fd Cl F	B	Dividend			Sold (part)	09/17/15	J		
139.					Sold	12/16/15	K		
140. - Templeton Global Bond Fund	A	Dividend			Buy (add'l)	06/01/15	J		
141.					Sold	08/26/15	K		
142. - Cash and MS Liquid Asset Fund - Morgan Stanley	A	Dividend	J	T					
143. - Altria Group, Inc.	A	Dividend	J	T	Sold (part)	09/17/15	J	B	
144.					Buy (add'l)	12/22/15	J		
145. - American Capital Agency	A	Dividend			Buy (add'l)	02/12/15	J		
146.					Sold	04/30/15	J		
147. - American Electric Power Co.	A	Dividend			Sold (part)	09/17/15	J	A	
148.					Sold	11/30/15	J	B	
149. - Annaly Capital Mngmt Inc.	A	Dividend	J	T	Buy (add'l)	02/12/15	J		
150.					Sold	04/30/15	J		
151.					Buy	12/07/15	J		
152.					Buy (add'l)	12/07/15	J		
153.					Buy (add'l)	12/22/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Hall, Sarah A.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. - Astrazeneca PLC Ads	A	Dividend	J	T	Sold (part)	09/17/15	J	A	
155.					Buy (add'l)	12/22/15	J		
156. - AT&T	A	Dividend	J	T	Sold (part)	09/17/15	J		
157.					Buy (add'l)	12/07/15	J		
158. - BCE Inc. (New)	A	Dividend	J	T	Buy (add'l)	09/17/15	J		
159.					Buy (add'l)	12/22/15	J		
160. - BK Monreal					Sold	01/26/15	J		
161. - Centurylink Inc.	A	Dividend	J	T	Buy (add'l)	06/01/15	J		
162.					Buy (add'l)	09/17/15	J		
163.					Buy (add'l)	12/15/15	J		
164. - Eaton Vance Tax Mgd Div Equ Fd	B	Dividend	K	T	Buy (add'l)	03/17/15	J		
165.					Buy (add'l)	06/01/15	J		
166.					Buy (add'l)	09/17/15	J		
167.					Buy (add'l)	12/07/15	J		
168. - Franklin Income Adv	B	Dividend	J	T	Sold (part)	09/17/15	J		
169.					Buy (add'l)	12/22/15	J		
170. - Health Care REIT Inc.	A	Dividend			Buy (add'l)	09/17/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Sold	09/30/15	J		
172. - Leggett & Platt Inc.	A	Dividend			Sold	02/12/15	J	C	
173. - LTC Properties Inc.	A	Dividend	J	T	Sold (part)	09/17/15	J	A	
174.					Buy (add'l)	12/22/15	J		
175. - MFA Financial Inc.	A	Dividend			Sold	04/30/15	J		
176. - National Retail Properties I	A	Dividend	J	T	Sold (part)	09/17/15	J	A	
177. - Omega Healthcare Inv Inc.	A	Dividend	J	T	Sold (part)	09/17/15	J	A	
178.					Buy (add'l)	12/22/15	J		
179. - PPL Corporation	A	Dividend			Sold	02/12/15	J	A	
180. - Reynolds American Inc.	A	Dividend	J	T	Sold (part)	09/17/15	J	B	
181.					Buy (add'l)	12/22/15	J		
182. - Senior Hsg Ppty Tr SBI	A	Dividend	J	T	Buy (add'l)	06/01/15	J		
183.					Buy (add'l)	09/17/15	J		
184.					Buy (add'l)	12/22/15	J		
185. - Vectren Cp	A	Dividend	J	T	Sold (part)	09/17/15	J	A	
186.					Buy (add'l)	12/22/15	J		
187. - Verizon Communications	A	Dividend	J	T	Buy (add'l)	12/22/15	J		

1. Income Gain Codes:	A - \$1,000 or less	B - \$1,001 - \$2,500	C - \$2,501 - \$5,000	D - \$5,001 - \$15,000	E - \$15,001 - \$50,000
(See Columns B1 and D4)	F - \$50,001 - \$100,000	G - \$100,001 - \$1,000,000	H1 - \$1,000,001 - \$5,000,000	H2 - More than \$5,000,000	
2. Value Codes	J - \$15,000 or less	K - \$15,001 - \$50,000	L - \$50,001 - \$100,000	M - \$100,001 - \$250,000	
(See Columns C1 and D3)	N - \$250,001 - \$500,000	O - \$500,001 - \$1,000,000	P1 - \$1,000,001 - \$5,000,000	P2 - \$5,000,001 - \$25,000,000	
	P3 - \$25,000,001 - \$50,000,000		P4 - More than \$50,000,000		
3. Value Method Codes	Q - Appraisal	R - Cost (Real Estate Only)	S - Assessment	T - Cash Market	
(See Column C2)	U - Book Value	V - Other	W - Estimated		

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Name of Person Reporting

Hall, Sarah A.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. - Teco Energy	A	Dividend			Sold	09/08/15	J	C	
189. - Fifth Street Finance Corp. Com	A	Dividend			Sold	02/12/15	J		
190. - Kimberly Clark Corp.	A	Dividend			Buy (add'l)	09/17/15	J		
191.					Sold	10/20/15	J	B	
192. - WP Carey Inc. Com	A	Dividend	J	T	Sold (part)	09/17/15	J		
193. - Westar Energy Inc.	A	Dividend			Sold	03/12/15	J	A	
194. - Lord Abbett Flt Rt F	A	Dividend	K	T	Sold (part)	09/17/15	J		
195. - DNP Select Income Inc.	A	Dividend	K	T	Buy (add'l)	09/17/15	J		
196.					Buy (add'l)	12/16/15	J		
197. - Alerian MLP ETF	A	Dividend			Buy (add'l)	04/30/15	J		
198.					Buy (add'l)	09/17/15	J		
199.					Sold	12/07/15	J		
200. - Vector Group Ltd	A	Dividend	J	T	Sold (part)	09/17/15	J	A	
201.					Sold (part)	09/29/15	J		
202. - Resources Capital Corp.	A	Dividend			Sold	04/30/15	J		
203. - New York Mortgage Trust Inc.	A	Dividend			Sold	04/30/15	J	A	
204. - Old Republic Intl Cp	A	Dividend	J	T	Sold	01/26/15	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.					Buy	08/26/15	J		
206.					Sold (part)	09/17/15	J	A	
207. - Univ. Hlth Rlty Inc TR SBI	A	Dividend	J	T	Buy (add'l)	09/17/15	J		
208. - HCP Incorporated	A	Dividend	J	T	Buy (add'l)	09/17/15	J		
209.					Buy (add'l)	12/22/15	J		
210. - Blackrock Intl Grwth & Inc Tr	A	Dividend	K	T	Sold (part)	03/17/15	J		
211.					Buy (add'l)	04/30/15	J		
212.					Buy (add'l)	06/01/15	J		
213.					Buy (add'l)	09/17/15	J		
214.					Buy (add'l)	12/07/15	J		
215.					Buy (add'l)	12/16/15	J		
216. - Main Street Capital Corp.	A	Dividend	J	T	Buy (add'l)	09/17/15	J		
217.					Buy (add'l)	12/22/15	J		
218. - Kinder Morgan Incorp	A	Dividend			Buy (add'l)	01/26/15	J		
219.					Buy (add'l)	09/17/15	J		
220.					Sold	12/07/15	J		
221. - Goldman Sachs MLP Energy Ren	A	Dividend			Buy (add'l)	02/12/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222.					Buy (add'l)	04/30/15	J		
223.					Buy (add'l)	09/17/15	J		
224.					Sold	12/07/15	J		
225. - Williams Co. Inc.	A	Dividend			Buy	01/26/15	J		
226.					Buy (add'l)	09/17/15	J		
227.					Sold	12/07/15	J		
228. - Textainer Group Holdings LTC	A	Dividend			Buy	02/02/15	J		
229.					Buy (add'l)	09/17/15	J		
230.					Sold	11/30/15	J		
231. - Avvbi Inc. Com	A	Dividend	J	T	Buy	03/12/15	J		
232.					Sold (part)	09/17/15	J	A	
233. - Cohen & Steers CEF OPP FD, Inc.	A	Dividend			Buy	04/30/15	J		
234.					Buy (add'l)	04/30/15	J		
235.					Buy (add'l)	04/30/15	J		
236.					Buy (add'l)	09/17/15	J		
237.					Sold	10/28/15	J		
238. - PIMCO Corporate & Incom Oppor.	A	Dividend	K	T	Buy	04/30/15	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239.					Buy (add'l)	06/01/15	J		
240.					Buy (add'l)	09/17/15	J		
241.					Buy (add'l)	12/07/15	J		
242.					Buy (add'l)	12/07/15	J		
243. - Chevron Corp.	A	Dividend	J	T	Buy	08/26/15	J		
244.					Sold (part)	09/17/15	J	A	
245. - Waddell & Reed Fincl Inc. CLA	A	Dividend	J	T	Buy (add'l)	08/26/15	J		
246.					Buy (add'l)	09/17/15	J		
247. - Southern Co.	A	Dividend	J	T	Buy	09/08/15	J		
248.					Sold (part)	09/17/15	J	A	
249. - Emerson Electric Co.	A	Dividend	J	T	Buy	09/20/15	J		
250. - Liberty All Star Equity FD SBI			K	T	Buy	09/28/15	J		
251.					Buy (add'l)	12/07/15	J		
252.					Buy (add'l)	12/16/15	J		
253. - Glaxosmithkline PLC ADS			J	T	Buy	11/03/15	J		
254.					Buy (add'l)	12/22/15	J		
255. - Realty Income Corp.			J	T	Buy	11/30/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Hall, Sarah A.

Date of Report

04/18/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256.					Buy	12/22/15	J		
257. - RMR Group Inc. CL A					Distributed	12/14/15	J		
258.					Sold	12/18/15	J	A	
259. - Welltower Inc.	A	Dividend	J	T	Buy	09/30/15	J		
260.					Buy	12/22/15	J		
261. TRUST #2 (sep ira #2)	E	Dividend	O	T					
262. - MidFirst Bank	A	Interest	M	T					
263. - Templeton Global Bank Fund Advisor Class	A	Dividend			Sold	08/26/15	K		
264. - Cash and MS Liquid Asset Fund - Morgan Stanley	A	Interest							
265. - Lord Abbett Short Duration Income FD Cl F	B	Dividend			Sold (part)	09/17/15	J		
266.					Sold	12/16/15	K		
267. - Eaton Vance Tax Mgd Div Equ Fd	C	Dividend	K	T	Buy (add'l)	03/17/15	J		
268.					Buy (add'l)	04/13/15	J		
269.					Buy (add'l)	06/01/15	J		
270.					Buy (add'l)	09/17/15	J		
271.					Buy (add'l)	12/17/15	J		
272. - Main Street Capital Corp.	B	Dividend	J	T	Buy (add'l)	04/13/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Hall, Sarah A.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273.					Buy (add'l)	09/17/15	J		
274.					Buy (add'l)	12/22/15	J		
275. - Franklin Income Adv	B	Dividend	K	T	Buy (add'l)	04/13/15	J		
276.					Buy (add'l)	06/01/15	J		
277.					Buy (add'l)	09/17/15	J		
278.					Buy (add'l)	12/22/15	J		
279. - LTC Properties, Inc.	A	Dividend	K	T	Sold (part)	09/17/15	J	A	
280.					Buy (add'l)	12/22/15	J		
281. - MFA Financial Inc.	A	Dividend			Buy (add'l)	04/13/15	J		
282.					Sold	04/30/15	J		
283. - Altria Group Inc.	A	Dividend	J	T	Sold (part)	09/17/15	J	A	
284.					Buy (add'l)	12/22/15	J		
285. - PPL Corporation	A	Dividend			Sold	02/12/15	J	B	
286. - Omega Healthcare Inv.	A	Dividend	J	T	Buy (add'l)	06/01/15	J		
287.					Buy (add'l)	09/17/15	J		
288.					Buy (add'l)	12/22/15	J		
289. - Senior Hsg Ppty Tr SBI	A	Dividend	K	T	Buy (add'l)	04/13/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Hall, Sarah A.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290.					Buy (add'l)	06/01/15	J		
291.					Buy (add'l)	09/17/15	J		
292.					Buy (add'l)	12/22/15	J		
293. - Leggett & Platt Inc.	A	Dividend			Sold	02/12/15	K	D	
294. - Annaly Capital Mngmt Inc.	A	Dividend	J	T	Buy (add'l)	02/12/15	J		
295.					Buy (add'l)	04/13/15	J		
296.					Sold	04/30/15	K		
297.					Buy	12/07/15	J		
298.					Buy (add'l)	12/07/15	J		
299.					Buy (add'l)	12/22/15	J		
300. - AT&T Inc.	A	Dividend	K	T	Buy (add'l)	04/13/15	J		
301.					Sold (part)	09/17/15	J		
302.					Buy (add'l)	12/07/15	J		
303. - American Electric Power Co.	A	Dividend			Sold (part)	09/17/15	J		
304.					Sold	11/30/15	J	C	
305. - Vectren CP	A	Dividend	J	T	Sold (part)	09/17/15	J	A	
306.					Buy (add'l)	12/22/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. - American Capital Agency	A	Dividend			Buy (add'l)	02/12/15	J		
308.					Buy (add'l)	04/13/15	J		
309.					Sold	04/30/15	K		
310. - BCE Inc. (New)	A	Dividend	J	T	Buy (add'l)	04/13/15	J		
311.					Buy (add'l)	09/17/15	J		
312.					Buy (add'l)	12/22/15	J		
313. - National Retail Properties I	A	Dividend	K	T	Sold (part)	09/17/15	J		
314. - Verizon Communications	A	Dividend	K	T	Buy (add'l)	04/13/15	J		
315.					Buy (add'l)	06/01/15	J		
316.					Buy (add'l)	09/17/15	J		
317.					Buy (add'l)	12/22/15	J		
318. - Health Care REIT Inc.	A	Dividend			Buy (add'l)	09/17/15	J		
319.					Sold	09/30/15	J		
320. - Reynolds American Inc.	A	Dividend	J	T	Sold (part)	09/17/15	J	C	
321.					Buy (add'l)	12/22/15	J		
322. - BK Montreal					Sold	01/26/15	J	A	
323. - Astrazeneca PLC ADS	A	Dividend	K	T	Buy (add'l)	04/13/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324.					Buy (add'l)	06/01/15	J		
325.					Sold (part)	09/17/15	J	A	
326.					Buy (add'l)	12/22/15	J		
327. - Centurylink Inc.	A	Dividend	J	T	Buy (add'l)	06/01/15	J		
328.					Buy (add'l)	09/17/15	J		
329.					Buy (add'l)	12/22/15	J		
330. - Teco Energy	A	Dividend			Sold	09/08/15	K	D	
331. - Fifth Street Finance Corp. Com	A	Dividend			Sold	02/12/15	J		
332. - Kimberly Clark Corp.	A	Dividend			Buy (add'l)	09/17/15	J		
333.					Sold	10/20/15	J	C	
334. - Glaxosmithkline PLC ADS			J	T					
335. - WP Carey Inc.	B	Dividend	K	T	Sold (part)	09/17/15	J		
336. - Westar Energy Inc.					Sold	03/12/15	J	B	
337. - Lord Abbett Flt Rt F	C	Dividend	K	T	Sold (part)	09/17/15	J		
338. - DNP Select Income Inc.	B	Dividend	K	T	Buy (add'l)	04/13/15	J		
339.					Buy (add'l)	09/17/15	J		
340.					Buy (add'l)	12/07/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341. - Alerian MLP ETF	B	Dividend			Buy (add'l)	04/13/15	J		
342.					Buy (add'l)	04/30/15	J		
343.					Sold (part)	09/17/15	J		
344.					Sold	12/17/15	K		
345. - Vector Group Ltd	B	Dividend	K	T	Sold (part)	09/17/15	J	B	
346.					Sold (part)	09/29/15	J		
347. - Resources Capital Corp.	A	Dividend			Buy (add'l)	04/13/15	J		
348.					Sold	04/30/15	J		
349. - New York Mortgage Trust Inc.	A	Dividend			Buy (add'l)	04/13/15	J		
350.					Sold	04/30/15	J	A	
351. - Old Republic Intl Cp	A	Dividend	K	T	Sold	01/26/15	J		
352.					Buy	08/26/15	J		
353. - Univ. Hlth Rlty Inc TR SBI	A	Dividend	K	T	Buy (add'l)	09/17/15	J		
354. - HCP Incorporated	A	Dividend	J	T	Buy (add'l)	04/13/15	J		
355.					Buy (add'l)	09/17/15	J		
356.					Buy (add'l)	12/22/15	J		
357. - Blackrock Intl Grwth & Inc Tr	B	Dividend	K	T	Sold (part)	03/17/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358.					Buy (add'l)	04/13/15	J		
359.					Buy (add'l)	04/30/15	J		
360.					Buy (add'l)	09/17/15	J		
361.					Buy (add'l)	12/07/15	J		
362.					Buy (add'l)	12/16/15	J		
363. - Kinder-Morgan Incorp	A	Dividend			Buy (add'l)	01/26/15	J		
364.					Buy (add'l)	04/13/15	J		
365.					Buy (add'l)	09/17/15	J		
366.					Sold	12/07/15	J		
367. - Goldman Sachs MLP Energy Ren	B	Dividend			Buy (add'l)	02/12/15	K		
368.					Buy (add'l)	04/30/15	J		
369.					Buy (add'l)	09/17/15	J		
370.					Sold	12/17/15	K		
371. - Williams Co Inc.	A	Dividend			Buy	01/26/15	J		
372.					Buy (add'l)	09/17/15	J		
373.					Sold	12/07/15	J		
374. - Textainer Group Holdings Ltd.	A	Dividend			Buy	02/12/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375.					Buy (add'l)	04/13/15	J		
376.					Buy (add'l)	09/17/15	J		
377.					Sold	11/03/15	J		
378. - Abbvie Inc. Com	A	Dividend	J	T	Buy	03/12/15	J		
379.					Sold (part)	09/17/15	J	A	
380. - PIMCO Corporate & Income Oppor.	B	Dividend	K	T	Buy	04/30/15	K		
381.					Buy (add'l)	06/01/15	J		
382.					Buy (add'l)	09/17/15	J		
383.					Buy (add'l)	12/07/15	J		
384. - Cohen & Steers CEF Opp FD, Inc.	B	Dividend			Buy	04/30/15	K		
385.					Buy (add'l)	04/30/15	J		
386.					Buy (add'l)	04/30/15	J		
387.					Sold (part)	09/17/15	J		
388.					Sold	10/28/15	K		
389. - Chevron Corp.			K	T	Buy	08/26/15	J		
390.					Sold (part)	09/17/15	J	A	
391. - Waddell & Reed Fincl	A	Dividend	J	T	Buy	08/26/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392.					Buy (add'l)	09/17/15	J		
393. - Southern Co.	A	Dividend	J	T	Buy	09/08/15	K		
394.					Sold (part)	09/17/15	J	A	
395.					Buy (add'l)	12/22/15	J		
396. - Emerson Electric Co.	A	Dividend	K	T	Buy	10/20/15	J		
397. - Liberty All Star Equity FD SBI			K	T	Buy	10/28/15	K		
398.					Buy (add'l)	12/07/15	J		
399.					Buy (add'l)	12/16/15	J		
400. - Glaxosmithkline PLC			J	T	Buy	11/03/15	J		
401.					Buy (add'l)	12/22/15	J		
402. - Realty Income Corp.			J	T	Buy	11/30/15	J		
403.					Buy (add'l)	12/22/15	J		
404. - RMR Group Inc. Cl. A					Distributed	12/14/15	J		
405.					Sold	12/18/15	J	A	
406. - Welltower Inc.			J	T	Buy	09/30/15	J		
407.					Buy (add'l)	12/22/15	J		
408. - Overriding royalty interest Scott, KS	A	Royalty	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Hall, Sarah A.

Date of Report

04/18/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409. BROKERAGE ACCOUNT #1	A	Dividend	J	T					
410. - Extended Insurance Sweep Account	A	Interest	J	T	Buy (add'l)	01/26/15	J		
411.					Buy (add'l)	02/26/15	J		
412.					Buy (add'l)	03/26/15	J		
413.					Buy (add'l)	04/27/15	J		
414.					Buy (add'l)	05/26/15	J		
415.					Buy (add'l)	06/26/15	J		
416.					Buy (add'l)	07/27/15	J		
417.					Buy (add'l)	08/26/15	J		
418.					Buy (add'l)	09/28/15	J		
419.					Buy (add'l)	10/26/15	J		
420.					Buy (add'l)	11/27/15	J		
421.					Buy (add'l)	12/28/15	J		
422. - PMX Communities Inc.			J	T					
423. - Vanguard Natural Resources LLC	A	Dividend	J	T	Buy	01/30/15	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

Hall, Sarah A.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII. Investments and Trusts

Page 6, line 48 - D(1) - Exchange out stock for stock; no gain or loss incurred.

Page 11, line 135, D(1) - Exchange in stock for stock; no gain or loss incurred.

Page 14, line 171, D(1) - Exchange out stock for stock; no gain or loss incurred.

Page 19, line 259, D(1) - Exchange in stock for stock; no gain or loss incurred.

Page 22, line 319, D(1) - Exchange out stock for stock; no gain or loss incurred.

Page 27, line 406, D(1) - Exchange in stock for stock; no gain or loss incurred.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Sarah A. Hall**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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