

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Burroughs, Allison D.	2. Court or Organization U.S. District Court, Massachusetts	3. Date of Report 05/09/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address U.S. District Court John Joseph Moakley U.S. Courthouse 1 Courthouse Way, Suite 5730 Boston, MA 02210		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Agassiz Village
2.	Trustee	Trust #1
3.	Trustee	Trust #2
4.	Trustee	Trust #3
5.	Trustee	Trust #4

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2017

S.D. Warren Company d/b/a Sappi North America, salary

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. American Bar Association

10/26/2017 -
10/27/2017

Washington, DC

Invited speaker/participant
at National Institute on
Class ActionsRT Airline Flight, Hotel, Taxis (From
Airport to Hotel; From Hotel to Airport in
DC), Parking at Logan Airport, Boston,
MA

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Middlesex Savings Bank, accounts	A	Interest	M	T					
2. Capital One 360, bank accounts	A	Interest	L	T					
3. Hanscom Federal Credit Union, accounts	A	Interest	K	T					
4. Gold Coins		None	J	T					
5. Hopster's LLC Series A-2 Preferred Units		None	J	T	Buy	02/10/17	J		
6. HWS Baseball VI, LLC, membership interest		None	K	U					
7. Lingleaf Partners Fund	B	Dividend	J	T					
8. Lingleaf Partners International Fund	A	Dividend	K	T					
9. Sappi Limited Performance Shares (vested)		None			Buy	12/13/17	L		Sappi Limited
10.					Sold	12/28/17	L	B	
11. SPDR S&P 500 ETF Trust	B	Dividend	M	T	Sold (part)	03/27/17	K	E	
12.					Sold (part)	03/27/17	K	E	
13. U.S. Savings Bonds, Series EE		None	J	T					
14. Vanguard Federal Money Market Fund	A	Dividend	J	T	Buy (add'l)	03/27/17	K		
15.					Buy (add'l)	03/27/17	K		
16.					Sold (part)	05/02/17	J		
17.					Sold (part)	05/02/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = \$15,001 - \$50,000	E = \$50,001 - \$100,000 J = \$100,001 - \$250,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P = \$500,001 - \$1,000,000	K = \$15,001 - \$50,000 O = \$50,001 - \$100,000 Q = \$100,001 - \$250,000	L = \$50,001 - \$100,000 P = \$100,001 - \$500,000 R = \$500,001 - \$1,000,000	M = \$100,001 - \$250,000 P = \$250,001 - \$500,000 S = \$500,001 - \$1,000,000	N = \$1,000,001 - \$5,000,000 O = \$5,000,001 - \$25,000,000 P = \$25,000,001 - \$50,000,000
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Sold (part)	08/03/17	L		
19.					Sold (part)	08/03/17	L		
20.					Sold (part)	08/07/17	J		
21.					Sold (part)	08/07/17	J		
22. Vanguard GNMA Fund	B	Dividend	L	T	Buy (add'l)	03/13/17	K		
23.					Buy (add'l)	03/13/17	K		
24. Vanguard Prime Money Market Fund	A	Dividend	J	T	Buy (add'l)	01/13/17	K		
25.					Buy (add'l)	01/13/17	K		
26.					Sold (part)	03/13/17	K		
27.					Sold (part)	03/13/17	J		
28.					Sold (part)	03/13/17	K		
29.					Sold (part)	03/13/17	J		
30.					Sold (part)	03/15/17	J		
31.					Sold (part)	03/15/17	J		
32.					Sold (part)	08/03/17	K		
33.					Sold (part)	08/03/17	K		
34. Vanguard Short-Term Investment Grade Fund	B	Dividend	L	T	Buy (add'l)	03/15/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35.					Buy (add'l)	03/15/17	J		
36. Vanguard Total International Stock Market Index Fund	A	Dividend	K	T					
37. Vanguard Total Stock Market Index Fund	B	Dividend	M	T	Sold (part)	01/13/17	K	D	
38.					Sold (part)	01/13/17	K	D	
39. Wefunder.com (escrow for Hopster's LLC Series A-2 Preferred Units)		None			Sold	02/10/17	J		
40. 401K #2 (H)									
41. -American Funds EuroPacific Growth Fund	B	Dividend	K	T					
42. -Vanguard Retirement Savings Trust II	D	Dividend	N	T	Buy (add'l)	01/13/17	L		
43. -Vanguard Total Stock Market Index Fund	D	Dividend	O	T	Sold (part)	01/13/17	L	E	
44. IRA #1 (H)									
45. -Vanguard GNMA Fund	A	Dividend	K	T	Buy (add'l)	01/13/17	J		
46. -Vanguard Short-Term Investment Grade Fund	A	Dividend	K	T	Buy (add'l)	01/13/17	J		
47. -Vanguard Total International Stock Market Index Fund	A	Dividend	J	T					
48. -Vanguard Total Stock Market Index Fund	B	Dividend	L	T	Sold (part)	01/13/17	J	A	
49.					Sold (part)	01/13/17	J	A	
50. Trust #1 (H)									
51. -Brookfield Real Assets I: RA	C	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

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52. -Netflix Inc. (X)			J	T					
53. -Royal Dutch Shell ADRs	B	Dividend	K	T					
54. -Charles Schwab & Co., Inc., cash balance	A	Interest	N	T					
55. Trust #2 (H)									
56. -Vanguard GNMA Fund	A	Dividend	K	T	Buy (add'l)	01/13/17	J		
57. -Vanguard Federal Money Market Fund	A	Dividend	J	T	Buy	04/05/17	J		
58. -Vanguard Short-Term Investment Grade Fund	A	Dividend	K	T	Buy (add'l)	01/13/17	J		
59.					Sold (part)	04/14/17	J	A	
60. -Vanguard Total International Stock Market Index Fund	A	Dividend	J	T					
61. -Vanguard Total Stock Market Index Fund	B	Dividend	L	T	Sold (part)	01/13/17	J	A	
62.					Sold (part)	01/13/17	J	A	
63. Trust #3 (H)									
64. -Vanguard GNMA Fund	A	Dividend	K	T	Buy (add'l)	01/13/17	J		
65. -Vanguard Federal Money Market Fund	A	Dividend	J	T	Buy	04/05/17	J		
66. -Vanguard Short-Term Investment Grade Fund	A	Dividend	K	T	Buy (add'l)	01/13/17	J		
67.					Sold (part)	04/04/17	J	A	
68. -Vanguard Total International Stock Market Index Fund	A	Dividend	J	T					

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69. -Vanguard Total Stock Market Index Fund	B	Dividend	L	T	Sold (part)	01/13/17	J	A	
70.					Sold (part)	01/13/17	J	A	
71. IRA #2 (H)									
72. -Vanguard GNMA Fund	B	Dividend	L	T	Buy (add'l)	01/13/17	K		
73.					Buy (add'l)	03/13/17	J		
74. -Vanguard Short-Term Investment Grade Fund	B	Dividend	L	T	Buy (add'l)	01/13/17	K		
75.					Buy (add'l)	03/13/17	J		
76. -Vanguard Total International Stock Market Index Fund	A	Dividend	K	T					
77. -Vanguard Total Stock Market Index Fund	D	Dividend	N	T	Sold (part)	01/13/17	K	B	
78.					Sold (part)	01/13/17	K	B	
79.					Sold (part)	03/13/17	J	B	
80.					Sold (part)	03/13/17	J	B	
81. Trust #4 (H)									
82. -Middlesex Savings Bank, account		None	J	T	Open	10/11/17	J		
83. Trust #5 (H)									
84. -Middlesex Savings Bank, account		None	J	T	Open	10/11/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Allison D. Burroughs**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544