

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Anderson, Lawrence O.	2. Court or Organization District of Arizona	3. Date of Report 05/08/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Full-time Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address Sandra Day O'Connor U.S. Courthouse 401 W. Washington, SPC 11 Phoenix, AZ 85003-2120		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 7

Name of Person Reporting

Anderson, Lawrence O.

Date of Report

05/08/2012

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2011	Elected Officials Retirement Plan (State of Arizona)	\$21,106.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2011	Maricopa County, Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Ninth Circuit Court of Appeals	August 14-18, 2011	Carlsbad, California	Ninth Circuit Judicial Conference	Transportation, food, lodging
2. Maricopa County Superior Court	December 7-10, 2011	Washington, D.C.	National conference for juvenile judges on Cradle 2 Crayons	Transportation, food, and lodging
3. Mohave County Superior Court	October 20-21, 2011	Lake Havasu, AZ	State conference on Cradle 2 Crayons for juvenile judges	Transportation, food, and lodging
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 7

Name of Person Reporting

Anderson, Lawrence O.

Date of Report

05/08/2012

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 7

Name of Person Reporting

Anderson, Lawrence O.

Date of Report

05/08/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Stifel, Nicolaus & Co, see dashed items for assets included	G	Int./Div.	M	T					
2. -Stifel Bank & Trust	A	Interest	J	T					
3. -American Express Centurion Money Mkt	A	Interest	J	T					
4. -Capital World Growth & Income Fund					Sold	12/29/11	J		
5. -Euro Pacific Growth Fund					Sold	12/29/11	J		
6. -Fundamental Invs Inc.					Sold	12/29/11	J		
7. -New Perspective FD Inc.					Sold	12/29/11	J		
8. -Smallcap World FD Inc.					Sold	12/29/11	K		
9. -Washington Mutl Invs FD Inc					Sold	12/29/11	J		
10. -San Bernardino Cty Bond					Sold	12/29/11	K		
11. -U.S. Treasury Strips					Sold (part)	03/23/11	J	A	
12. -Financing Corp Strips					Sold	01/03/11	K	C	
13. -U.S. Treasury Strips					Sold (part)	03/23/11	K	B	
14. -U.S. Treasury Strips					Sold (part)	03/23/11	J	B	
15. -American Mutual Funds: Capital World Growth & Income Fund									
16. -American Mutual Funds: Capital World Growth & Income Fund									
17. -American Mutual Funds: Euro Pacific Growth Fund									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = \$50,001 - \$100,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 7

Name of Person Reporting

Anderson, Lawrence O.

Date of Report

05/08/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.	-American Mutual Funds: Fundamental Investors Fund								
19.	-American Mutual Funds: Fundamental Investors Fund								
20.	-American Mutual Funds: Growth Fund Amer Inc.								
21.	-American Mutual Funds: Investment Co America								
22.	-American Mutual Funds: New Perspective Fund								
23.	-American Mutual Funds: Small Cap Word Fund								
24.	-American Mutual Funds: Washington Mutual Investors Fund								
25.	Farmers Insurance Co.: whole life		None	K	V				
26.	Wells Fargo Bank	A	Interest	J	T				
27.									
28.									
29.									
30.									
31.									
32.									
33.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000	R = Cost (Real Estate Only)	P4 = More than \$50,000,000	S = Assessment	T = Cash Market
(See Column C2)	Q = Appraisal	V = Other		W = Estimated	
	U = Book Value				

FINANCIAL DISCLOSURE REPORT

Page 6 of 7

Name of Person Reporting

Anderson, Lawrence O.

Date of Report

05/08/2012

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

My individual, long-time financial advisor and IRA Rollover custodian left his employer A.G. Edwards & Sons and accepted employment with Wachovia Securities. In April or so in 2009, he accepted different employment with Stifel, Nicolaus & Company, a brokerage and investment banking firm. With my approval, he transferred my pre-judicial investment accounts with his employer each time he changed his employment. It is a professionally managed by persons other than [REDACTED]. I only direct the sale of assets when necessary to supplement my income.

In Part VII, I listed [REDACTED] whole life insurance policy [REDACTED] and its suuender value (K) at the end of 2011.

In Part VII, I delisted First Credit Union because neither [REDACTED] had an account with First Credit Union in 2011.

FINANCIAL DISCLOSURE REPORT

Page 7 of 7

Name of Person Reporting

Anderson, Lawrence O.

Date of Report

05/08/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Lawrence O. Anderson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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