

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) McMahon, Colleen	2. Court or Organization US District Court-Southern Dis	3. Date of Report 08/13/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US District Judge-Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 500 Pearl Street New York, NY 10007 Chambers 640 Moynihan Courthou		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust # 1
2.	Trustee	Trust #2
3.	Trustee	Foundation #1
4.	Director	Music Bridges International Inc
5.	Advisory Council	Ohio State University

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2012	Director Fee
2. 2012	Inc-Director Fee
3. 2012	Managing Director
4. 2012	Inc -Director Fee
5. 2012	Corp-Director Fee
6. 2012	Ltd-Employee
7. 2012	LLC_Director Fee
8. 2012	Morgan Stanley-Pension Income
9. 2012	Morgan Stanley-Deferred Compensation

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Federal Bar Council	Feb 4-11 2012	Kona, Hawaii	Conference Speaker	Travel, Food and Lodging
2.				

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4. _____
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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Internal Revenue Service	Federal Income Taxes	O
2.	New York State	NYS Income Tax	PI
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Thornburg Ltd. Term Muni. Fd Nat'l	B	Dividend	L	T					
2. Kohl's Corp. Com (IRA)	E	Dividend	O	T					
3. Thornberg Value Fund Class A Mut Fd. (IRA)		None	O	T					
4. Morgan Stanley Venture Investors III LP (IRA)		None	K	U					
5. Kohl's Corp Com (Trust #2)	D	Dividend	M	T					
6. Morgan Stanley Venture Investors III LP		None	K	U					
7. EES 1986 LP		None			Closed	12/31/12			See Note # 3
8. Skyline Venture Partners,L.P.	B	Distribution	L	U					See Note # 1
9. MSCP III L.P.	B	Distribution	J	U					See Note # 1
10. MA(1998 300,000)		None	N	R					
11. MA (1998 300,000)		None	N	R					
12. Bond Finance International Con Due 11/23/2058(IRA)		None			Closed	12/31/12			See Note # 3
13. Northwestern Mututal Life		None	K	T					
14. EVILCO Life Insurnace (Trust # 1)		None	J	T					
15. Northwestern Mututal Life (Trust#1)		None	N	T					
16. Northwestern Mututal Life(Trust #1)		None	M	T					
17. Madison National Life Ins(Trust # 1)	A	Interest	N	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Passport Money Market Fund (IRA)		None	J	T					
19. SFM Domestic Investments LLC		None			Distributed (part)	02/14/12	O		See Note # 5 To line # 328
20.					Distributed (part)	03/26/12	P1		See Note # 5-To line # 330
21.					Sold	08/31/12	K		
22. CSG Systems International Inc.Com		None	N	T	Buy (add'l)	08/28/12	M		
23. Summit Mariner, LLC		None	P1	U					
24. Skyline Venture Partners Qualified Purchase Fund II		None	L	U					
25. Trident Capital Fund-IV LP	G	Distribution	K	U	Sold (part)	07/25/12	K		See Note # 1
26.					Sold (part)	09/11/12	M		
27. Sentinel Capital Partners II LP		None	L	U					
28. Summit Mariner LLC/JP Morgan Checking	A	Interest	L	T					
29. Rental # 1 /JPMorgan Checking		None	J	T					
30. Rental # 2 New York, NY(1999 \$ 900,000)	E	Rent	P1	R					
31. Rental # 2/JP Morgan -Checking	A	Interest	J	T					
32. JP Morgan(Checking)-		None	M	T					
33. Andex Resources LLC		None	J	U	Sold (part)	01/18/12	K		
34. Land MA (1999 \$ 425,000)		None	N	R					

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35. Five Point Fund LLC	D	Distribution			Sold	12/31/12	J		See Note # 1
36. Trident Capital Fund V LP	E	Distribution	N	U	Sold (part)	07/25/12	M		See Note # 1
37. Linx Partners (Q) LP	G	Distribution	P1	U					See Note # 1
38. Grove Capital Commitment Partners LP	A	Distribution	M	U					See Note # 1
39. [REDACTED] Golf Partners LLC		None	K	U					
40. Silvaris Corp Preferred B		None	N	U					
41. JP Morgan (Checking)	A	Interest	P1	T					
42. NY State Dorm.Auth 6.1% due 07/19	E	Interest			Sold	03/28/12	O	D	
43. Oneida Cnty NY 6.25% due 06/15	E	Interest	N	T	Sold (part)	06/01/12	K		
44.					Sold (part)	12/01/12	L		
45. JK & B Capital IV QIP LP	G	Distribution	P1	U	Buy (add'l)	06/19/12	K		See Note # 1
46.					Sold (part)	07/26/12	N		
47.					Sold (part)	08/07/12	L		
48.					Distributed (part)	10/16/12	K		See Note # 5 to line # 326
49. [REDACTED] Investor LP	F	Distribution	O	U	Buy (add'l)	12/21/12	L		See Note # 1
50.					Sold (part)	07/16/12	J		
51.					Sold (part)	12/21/12	N		

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52. Skyline Venture Partners Qualified Purchaser Fund III LP	G	Distribution	M	U	Sold (part)	07/25/12	M		See Note # 1
53.					Distributed (part)	03/28/12	K		See Note#5 - To line 332
54.					Distributed (part)	10/16/12	K		See Note#5-To line 334
55. Towerbrook Private Equity Partners II LP	G	Distribution	J	U	Sold (part)	09/12/12	N		See Note # 1
56. SPEG Limited Partners LLC	G	Distribution	P1	U	Sold (part)	09/12/12	N		See Note # 1
57.					Sold (part)	12/13/12	L		
58. Grove II LP	A	Distribution	J	U					See Note # 1
59. Tactics II LP (N/C)Tactics II Venture LP		None	O	U					
60. JP Morgan Checking(Foundation #1)	A	Interest	J	T					
61. MM Community Funding III Sub Note		None	N	T					
62. OR Music LLC		None	K	U					
63. SFM Participation LP		None	J	U					
64. Capital Income Builders Fd Cl A(IRA)	B	Dividend	L	T					
65. Kinderhook Capital I LP	H1	Distribution	P1	U	Buy (add'l)	03/01/12	K		See Note # 1
66.					Sold (part)	03/01/12	L		
67.					Sold (part)	06/04/12	N		
68.					Sold (part)	06/28/12	L		

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69.					Sold (part)	12/03/12	O		
70. Capital World Grth & Income Fd Cl A(IRA)	C	Dividend	M	T					
71. Thornburg CRE GWT 1 (Y)									
72. JP Morgan Tax Free MM Premier Sweep	A	Interest	M	T					
73. R Thompson Trucking(Com)		None			Sold	12/28/12	M	G	
74. Capital Partners Ltd		None	K	U					See Note # 2
75. --Dynova Laboratories Inc (Com)		None			Sold	04/03/12	J		See Note # 2
76. Camulos Partners LP		None	J	U	Sold (part)	01/23/12	J		
77.					Sold (part)	03/05/12	M		
78.					Sold (part)	12/28/12	J		
79. Capital SLI Group LLC		None	J	U					
80. CGI Partners,LP		None	P2	U	Buy (add'l)	05/02/12	M		
81. Micell Technologies Inc.(Com)		None	K	U					
82. Linx Partners II LP	G	Distribution	P1	U	Buy (add'l)	10/05/12	O		See Note # 1
83.					Buy (add'l)	12/19/12	O		
84.					Sold (part)	08/24/12	J		
85.					Sold (part)	12/05/12	P1		

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86. Lone Cypress Company Ltd	E	Distribution	P1	U	Sold (part)	06/26/12	M		See Note # 1
87. Sentinel Capital Investors III LP	G	Distribution	N	U	Sold (part)	01/03/12	J		See Note # 1
88.					Sold (part)	02/17/12	M		
89.					Sold (part)	04/27/12	K		
90.					Sold (part)	08/09/12	J		
91.					Sold (part)	11/01/12	M		
92.					Sold (part)	12/13/12	M		
93. SFM Participation II LP	G	Distribution	P2	U	Buy (add'l)	02/01/12	M		See Note # 1
94.					Buy (add'l)	05/15/12	L		
95.					Buy (add'l)	09/14/12	K		
96.					Sold (part)	02/01/12	L		
97.					Sold (part)	05/15/12	K		
98.					Sold (part)	09/14/12	M		
99.					Sold (part)	11/13/12	N		
100. North Salem Acquisition & Development Fund 2005 LP		None	J	U	Sold (part)	01/30/12	J		
101. Thornburg Core Growth CI A (IRA)		None	N	T					
102. Thornburg Invt Tr Intl Value CI 1 (Y)									

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103. Jetblue (Com)		None	N	T					
104. West 12th St LLC		None	P1	U	Buy (add'l)	11/15/12	K		
105.					Sold (part)	09/10/12	J		
106.					Sold (part)	10/04/12	J		
107. Aisling Capital II LP	G	Distribution	P1	U	Buy (add'l)	01/23/12	L		See Note # 1
108.					Buy (add'l)	02/14/12	K		
109.					Buy (add'l)	12/19/12	L		
110.					Sold (part)	12/19/12	N		
111. By The Way Productions LLC		None	J	U	Sold (part)	03/16/12	J		
112. J K & B Capital V LP		None	P1	U	Buy (add'l)	06/04/12	L		
113.					Buy (add'l)	08/14/12	N		
114.					Buy (add'l)	11/26/12	L		
115. SEEF II LP	G	Distribution	P1	U	Buy (add'l)	10/02/12	L		See Note # 1
116.					Sold (part)	12/21/12	O		
117. Towerbrook Investors II Executive Fund LP	G	Distribution	P1	U	Sold (part)	01/19/12	M		See Note # 1
118. Trident VI LP		None	N	U	Distributed (part)	01/13/12	J		See Note # 5 to line # 340
119. Kinderhook Capital Fund II LP	G	Distribution	P2	U	Buy (add'l)	01/30/12	M		See Note # 1

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Buy (add'l)	03/28/12	L		
121.					Sold (part)	12/11/12	O		
122. Kohls Corp (Com).	E	Dividend	O	T	Buy (add'l)	05/11/12	K		
123. KPB Partners LP	A	Interest	P1	U					
124. --App Orchard LLC		None			Buy	07/30/12	O		
125.		None			Buy (add'l)	12/20/12	M		
126. NYS Dormitory Authority 5.25% due 11/15/23	C	Interest			Sold	05/15/12	L		
127. City of NY Genl Pur 5 % due 8/1/12	E	Interest			Redeemed	08/01/12	N		
128. NYS Dormitory Adj rate due 11/15/29	D	Interest			Sold	05/15/12	N		
129. Thornburg-Income Builder C1 I	C	Dividend	L	T					
130. Thornburg Global Opportunity C1 I	A	Dividend	K	T					
131. Thornburg CRE GWT 1 (Trust # 2)		None	L	T					
132. Morgan Stanley Bank (Savings)	A	Interest	J	T					
133. Thornburg CRE GWT (IRA)		None	J	T					
134. Morgan Stanley Bank-(Savings)(Y)									
135. Inavein LLC		None	J	U					
136. Ironwood Management Partners Fund II LP		None	N	U	Buy (add'l)	04/16/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	06/25/12	K		
138. Linx-Cimarron Energy Co-Invest, LLC	G	Distribution	O	U	Sold (part)	04/17/12	J		See Note # 1
139.					Sold (part)	06/01/12	K		
140.					Sold (part)	11/23/12	O		
141. RGI Partners II B LP		None	P1	U	Sold (part)	02/08/12	L		
142. RGI Partners Ltd		None	P1	U	Buy (add'l)	03/26/12	M		
143.					Buy (add'l)	10/22/12	M		
144.					Sold (part)	04/10/12	J		
145. SC Capital (P) LP		None	P1	U	Buy (add'l)	04/02/12	J		
146.					Buy (add'l)	07/02/12	K		
147.					Buy (add'l)	09/17/12	J		
148.					Buy (add'l)	11/26/12	J		
149. [REDACTED] LP	H1	Distribution	P2	U	Buy (add'l)	06/25/12	L		See Note # 1 & Note #4
150.					Buy (add'l)	11/26/12	N		
151.					Sold (part)	03/27/12	N		
152.					Sold (part)	07/17/12	O		
153.					Sold (part)	09/28/12	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Sold (part)	11/15/12	P1		
155.					Sold (part)	12/27/12	N		
156. [REDACTED] LP	G	Distribution	P1	U					See Note # 1
157. [REDACTED] (GP) LP	H1	Distribution	P1	U	Sold (part)	04/13/12	O		See Note # 1 & Note #4
158.					Sold (part)	06/29/12	N		
159.					Sold (part)	07/20/12	P1		
160.					Sold (part)	10/03/12	M		
161.					Sold (part)	11/16/12	P2		
162. --Apex Companies LLC		None							See Note #4
163. --Archway Marketing Services Inc		None			Sold	07/10/12	P1		See Note #4
164. --Transit Wireless LLC		None							See Note #4
165. --Freedom Innovations LLC		None			Sold	02/16/12	P1		See Note #4
166. --Hamilton State Bancshares Inc		None							See Note #4
167. --Oceus Networks Inc.		None							See note # 4
168. --Nautilus Neurosciences Inc		None							See Note #4
169. --Optimal Solutions Integration, Inc		None							See Note #4
170. --TowerCo II Holdings LLC		None			Sold	10/01/12	P2		See Note #4

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. --Versapharm		None							See Note #4
172. --Cumberland Consulting Group LLC		None							See Note #4
173. --RE Transportation Inc		None							See Note #4
174. --West Texas Plastics		None			Buy	07/10/12	N		See Note #4
175. --Northeast Comm of Wisconsin Inc		None			Buy	11/12/12	P1		See Note # 4
176. Camulos Special Situations Fund LP		None	J	U					
177. Wall Street Sources Inc(Com)		None	O	U					
178. Navagate Inc (Promissory Note)		None	P1	T	Buy (add'l)	04/11/12	L		
179. Omnilink Systems Inc (Ser B Preferred Stock)		None	P1	U	Buy (add'l)	03/13/12	M		
180.					Buy (add'l)	04/17/12	L		
181. American Home Food Products Inc (Com)		None	N	U					
182. [REDACTED] Partners	E	Distribution	N	T	Buy (add'l)	12/24/12	K		See Note # 1
183.					Sold (part)	07/19/12	J		
184.					Sold (part)	12/24/12	M		
185. Syndicate Holdings Corp(Com)		None	N	U	Sold (part)	12/21/12	K		
186. NYS Dorm 5.75 Due 7/1/13	E	Interest	N	T	Sold (part)	07/02/12	N		
187. City of NY 5.5 % Due 8/1/12	B	Interest			Redeemed	08/01/12	N		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. NYS Dorm 5.7 Due 7/1/13	E	Interest			Sold	01/18/12	P1		
189. City of NY Health & Hosp 4 % Due 2/15/13	E	Interest	O	T					
190. NYS Mtg Agency 5 % Due 10/1/17	E	Interest	L	T	Sold (part)	05/03/12	N		
191. State of NY Dorm 4 % Due 9/1/12	E	Interest			Redeemed	09/01/12	O		
192. State of NY Dorm 4.125 % Due 4/1/13	E	Interest	N	T					
193. [REDACTED] Fund LP	A	Distribution	J	U	Buy (add'l)	12/21/12	J		See Note # 1
194.					Sold (part)	07/16/12	J		
195.					Sold (part)	12/31/12	J		
196. Safe Bulkers Inc(Com)	D	Dividend	L	T	Buy (add'l)	01/12/12	K		
197.					Buy (add'l)	04/11/12	K		
198.					Buy (add'l)	07/05/12	K		
199.					Buy (add'l)	10/08/12	K		
200. [REDACTED] West 12th Street LLC(Working Capital Loan)		None	M	T	Buy (add'l)	04/16/12	L		
201. Artisanal Cheese LLC(Bridge Loan)		None	N	T					
202. Nassau Point Partners I LP	G	Distribution	J	U	Sold (part)	12/12/12	M		See Note # 1
203. Nassau Point Partners II LP	G	Distribution	J	U	Sold (part)	12/12/12	M		See Note # 1
204. Camulos BioEnergy Debt Holdings LLC		None	K	U					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. Camulos BioEnergy Partners LLC		None	K	U					
206. Camulos Fountain Hills Partners LLC		None	J	U					
207. Camulos Gateway HI Partners LLC		None	J	U					
208. Camulos Gateway RH Partners LLC		None	J	U					
209. Asling Investors III LP	C	Distribution	N	U	Buy (add'l)	03/01/12	K		See Note # 1
210.					Buy (add'l)	06/25/12	J		
211.					Buy (add'l)	07/19/12	K		
212.					Buy (add'l)	08/14/12	M		
213.					Buy (add'l)	12/05/12	K		
214.					Sold (part)	12/10/12	K		
215.					Distributed (part)	02/09/12	J		See Note #5 to line 336
216. Eastern Growth Capital I LP		None	N	U					
217. Eastern Growth Capital II LP		None	N	U	Sold (part)	03/27/12	L		
218.					Sold (part)	12/28/12	K		
219. Eastern Growth Capital II LP(IRA)		None	O	U	Sold (part)	03/27/12	M		
220.					Sold (part)	12/28/12	L		
221. Linx-Metaltech Co Investment LLC	D	Interest	M	U	Sold (part)	03/30/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

222.					Sold (part)	05/30/12	J		
223.					Sold (part)	12/17/12	J		
224. Olympus Capital Asia IV LP		None	P1	U	Buy (add'l)	01/03/12	N		
225.					Buy (add'l)	06/11/12	M		
226.					Buy (add'l)	09/17/12	M		
227.					Buy (add'l)	12/12/12	N		
228.					Sold (part)	12/12/12	M		
229. Stag Investments IV LP	D	Distribution			Sold (part)	01/17/12	J		See Note # 1
230.					Sold (part)	03/13/12	J		
231.					Distributed	06/01/12	N		See Note#5 to line #338
232. UBS RMA Tax Free (MM)	A	Interest	K	T					
233. Safe Bulkers Inc (Com)(IRA)	D	Dividend	L	T					
234. Towerbrok Investors III Executive Fund LP	E	Distribution	O	U					See Note # 1
235. Tactics II Stem Cell Ventures QP LP		None	P1	U					
236. Cellular Dynamics International Inc(Pref A)		None	L	U					
237. Inves Van Kampen Equities (401K)		None	J	T					
238. Thornburg Intl Val Fd R#(401k)		None	M	T	Buy (add'l)	04/02/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
239. Vanguard Intern Corp FD(Foundation # 1)	D	Dividend	M	T	Sold (part)	03/28/12	M	E	
240. Georgia Gulf Corp Com(Foundation # 1)		None			Sold	03/14/12	L	B	
241. Morgan Stanley Bank(Saving)(Foundation # 1)	A	Interest	J	T					
242. NYS Dormitory 4 % due 10/1/15	E	Interest	O	T					
243. NYS Dormitory 5.25 due 8/15/15	E	Interest	N	T	Sold (part)	02/15/12	K		
244.					Sold (part)	04/16/12	O	E	
245.					Sold (part)	08/15/12	J		
246. NYS Dormitory 5.5 due 7/1/16	E	Interest	O	T					
247. Morgan Stanley Bank (Trust # 2)	A	Interest	J	T					
248. First Republic Bank(Checking)(Trust # 2)		None	J	T					
249. First Republic Bank (Checking)	A	Interest	M	T					
250. The West Africa Fund LP		None	O	T					
251. NuCana Biomed Ltd (Ordinary Shares) (IRA)		None	O	T					
252. Cookstr LLC(Convertible Unsecured Promissory Note)		None	N	T					
253. Cordia Bancorp Ser B(Com)(IRA)		None	L	T					
254. ConnectEdu Inc(Com)(IRA)		None	M	T	Buy (add'l)	01/03/12	K		
255.					Buy (add'l)	06/07/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. Michael Walsdorf- (Personal Loan-Note Receivable)		None	P1	T	Buy (add'l)	10/02/12	N		
257. Leo DeSorcy-(Personal Loan-Note Receivable)		None			Closed	01/02/12	N		
258. MV Beach Stret Realty(Mortgage Note)	E	Interest	O	T					
259. Beryllium LLC		None	J	U					
260. Kinderhook Capital Fund III LP	F	Distribution	O	U	Buy (add'l)	03/15/12	L		See Note #1
261.					Buy (add'l)	06/04/12	L		
262.					Buy (add'l)	09/04/12	L		
263.					Buy (add'l)	11/26/12	K		
264.					Buy (add'l)	12/20/12	L		
265.					Sold (part)	12/20/12	L		
266. Ommlink Systems Inc(Bridge Loan)		None	M	T					
267. NYS Dormitory 5.25 due 5/15/15	E	Interest	N	T					
268. Nuclea Biotechnologies Inc-Convertible Note		None	N	T	Buy (add'l)	01/25/12	M		
269. Cordia Bancorp Inc Ser B (Com)		None	O	T	Buy (add'l)	07/16/12	M		
270. ConnectEDU Ser D (Com)		None	M	T	Buy (add'l)	01/03/12	K		
271. Rapid Ratings International Inc (Com)		None	O	T	Buy (add'l)	06/25/12	L		
272.					Buy (add'l)	08/01/12	L		

1. Income Gain Codes: (See Columns B1 and D4)	A -\$1,000 or less F -\$50,001 - \$100,000	B -\$1,001 - \$2,500 G -\$100,001 - \$1,000,000	C -\$2,501 - \$5,000 H1 -\$1,000,001 - \$5,000,000	D -\$5,001 - \$15,000 H2 -More than \$5,000,000	E -\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J -\$15,000 or less N -\$250,001 - \$500,000 P3 -\$25,000,001 - \$50,000,000	K -\$15,001 - \$50,000 O -\$500,001 - \$1,000,000	L -\$50,001 - \$100,000 P1 -\$1,000,001 - \$5,000,000 P4 -More than \$5,000,000	M -\$100,001 - \$250,000 P2 -\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273.					Buy (add'l)	10/02/12	M		
274. West 12th Street Manager LLC		None	J	U	Buy (add'l)	11/15/12	J		
275. Parkstone Capital Partners LLC	D	Distribution	N	U	Sold (part)	08/01/12	J		See Note # 1
276. Lone Peak Partners Fund LP		None	P1	U					
277. UBS Bank USA Dep MM (IRA)	A	Interest	M	T					
278. Thornburg Core Growth A (Trust # 2)		None	L	T					
279. NYS Dorm 5.625 % due 6/1/16	F	Interest	P1	T	Sold (part)	04/16/12	N	E	
280.					Sold (part)	07/03/12	J		
281. NY NY St Environmental FAC 4.5 % due 4/15/15	E	Interest			Sold	06/22/12	O		
282. NYS Dorm 5.25 % due 11/15/23	C	Interest	L	T	Sold (part)	05/15/12	L		
283. NYS Dorm 5.25 % due 1/1/13	D	Interest	M	T					
284. NYS Dorm 5.25 % due 2/15/13	E	Interest	N	T	Sold (part)	04/17/12	O	D	
285. City of NY GO 5.5 due 8/1/12	D	Interest			Redeemed	08/01/12	M		
286. NYS Dorm 6 % due 8/15/12	E	Interest			Redeemed	08/15/12	O		
287. Tidewater Acquisition Inc(Pref)	D	Dividend			Sold	01/02/12	K		
288. [REDACTED] Group LLC		None	K	U					
289. Emerald Biostructures Inc.(Loan)	D	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. Empowered World Ventures LLC(Preferred A)		None	M	T					
291. CSL Energy opportunity Fd I LP		None	N	U	Buy (add'l)	01/03/12	J		
292.					Buy (add'l)	04/16/12	K		
293.					Buy (add'l)	07/10/12	L		
294.					Buy (add'l)	11/26/12	L		
295. CSL Europe Energy Services LP		None	M	U					
296. KCM Long Only Fund LP	G	Distribution	P1	U					See Note # 1
297. Parkstone Capital Partners II LP	G	Distribution	P1	U	Buy (add'l)	01/03/12	M		See Note # 1
298.					Sold (part)	07/30/12	M		
299. Tactics II CDI Ser B (Pfd)		None	O	U					
300. Highland Investment Group LLC		None	J	U					
301. Peaks Capital Partners LLC (Promissory Note)		None	M	T	Buy (add'l)	06/01/12	L		
302. Syracuse NY Ind Dev 3.0 5/11/13	E	Interest	O	T					
303. NYS auth Rev Nonst 5 % 10/1/14	E	Interest	O	T					
304. NYS Energy Res & Dev 2.25 % 12/1/15	E	Interest	O	T	Sold (part)	04/16/12	M	C	
305. Patchogue Heford NY Un 4.25 10/1/15	D	Interest	O	T					
306. Suffolk Cnty NY Trans 1.5 % 9/1/12	D	Interest			Sold (part)	04/16/12	O		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307.					Redeemed	09/01/12	O		
308. Ceres Inc (Com)		None			Sold	10/02/12	K		
309. Artiman Venture Fund LP	G	Distribution	M	U	Sold (part)	04/23/12	L		See Note # 1
310. Inavien LLC(Promissory Note)	E	Interest	N	T					
311. Vocalocity Inc (Ser -B-1)		None	N	T					
312. EOS Sleep Management LLC		None	O	U					
313. SRD Systems Inc (Preferred Stock)		None	M	T					
314. SRD Systems Inc (Promossory Note))		None	L	T	Buy (add'l)	07/24/12	M		
315. OD Funding Partners LLC		None	M	U					
316. Prollie Inc (Preferred St)		None	K	T	Buy (add'l)	09/11/12	K		
317. Nextremity Solutions LLC		None	P1	U	Buy (add'l)	09/28/12	N		
318.					Buy (add'l)	12/10/12	N		
319. Linx-Ad Co-Invest LLC	D	Interest	N	U	Sold (part)	12/20/12	J		
320. Kumani EssentialS LLC		None			Sold	12/31/12	J		
321. Collision Funding Partners LLC		None	M	U	Buy (add'l)	10/15/12	L		
322. Clean Pool & Services LLC		None	N	U	Buy (add'l)	08/09/12	J		
323.					Buy (add'l)	09/28/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

324.					Buy (add'l)	11/01/12	K		
325. Verisign Inc (Com)		None			Sold	01/02/12	J		
326. Splunk ,Inc (Com)		None			Spinoff (from line 48)	10/16/12	K		See Note # 6
327.					Donated				
328. Bluefly Inc(Com)		None			Spinoff (from line 19)	02/14/12	O		See Note # 6
329.					Donated				
330. Cyoptics Inc. (Com)		None			Spinoff (from line 20)	03/26/12	P1		See Note # 6
331.					Sold	04/23/12	P1		
332. MAP Pharmaceuticals Inc.(Com)		None			Spinoff (from line 53)	03/28/12	K		See Note # 6
333.					Donated				
334.		None			Spinoff (from line 54)	10/16/12	K		See Note # 6
335.					Donated				
336. Clovis Oncology Inc (Com)		None			Spinoff (from line 215)	02/09/12	J		See Note # 6
337.					Donated				
338. STAG Industrial Inc (Com)		None			Spinoff (from line 231)	06/01/12	N		See Note # 6
339.					Donated				
340. Homeaway Inc (Com)		None			Spinoff (from line 118)	01/13/12	J		See note # 6

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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341.					Donated				
342. Tactics II CDI Series B Investors III LLC		None	O	U	Buy	11/05/12	O		
343. adTheorent Inc Convertible Promissory Note)		None	K	T	Buy	09/14/12	K		
344. 4DK Technology Inc (Ser B)		None	N	T	Buy	02/29/12	M		
345.					Buy (add'l)	09/20/12	L		
346. Double Dog Dare MediaLLC (Convertible Promissory Note)		None	J	T	Buy	10/04/12	J		
347. Figue Holdings LLC (Promissory Note)		None	M	T	Buy	11/05/12	M		
348. Jonathan Kully (Personal Loan-Notes Receivable)		None	N	T	Buy	10/02/12	N		
349. JustFamily.Com,Inc.Ppreferred Stock)		None	M	T	Buy	11/08/12	M		
350. Kenesto Corp (Preferred C)		None	L	T	Buy	07/30/12	L		
351. MogilityCapital Fund LP		None	M	U	Buy	04/09/12	M		
352. OpenFin Inc.(Preferred Stock)		None	M	T	Buy	04/11/12	M		
353. Remedy Pharmaceuticals Inc		None	O	T	Buy	05/08/12	O		
354. Tyrogenex Inc.(Ser B Convertible Preferred)		None	K	T	Buy	03/12/12	K		
355. XCoverly Holdings LLC		None	K	U	Buy	03/12/12	K		
356. NYS Dorm 4 % Due 7/1/16	D	Interest	N	T	Buy	02/08/12	N		
357. NYS Mtg Auth 1.2 % Due 4/1/16	B	Interest	N	T	Buy	03/15/12	N		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. NYS Dorm 4 % Due 7/1/17	A	Interest	O	T	Buy	03/21/12	O		
359. Monroe County NY 4 % Due 6/1/15	D	Interest	O	T	Buy	04/23/12	O		
360. NYS Muni Bd Bank 5% Due 2/15/14	C	Interest	N	T	Buy	06/26/12	N		
361. NYS Mtg 5 % Due 11/1/16	C	Interest	N	T	Buy	08/07/12	N		
362. Batavia NY 4% Due 3/1/17	B	Interest	O	T	Buy	08/17/12	O		
363. Dryden NY Cent School 4%Due 6/15/16	C	Interest	N	T	Buy	08/17/12	N		
364. NY NY 5 % Due 9/1/13	A	Interest	N	T	Buy	08/17/12	N		
365. Rochester NY Unliited Tax 3.25% Due 2/1/16		None	M	T	Buy	08/27/12	M		
366. NYS Muni Bd 5 % Due 5/15/16	D	Interest	O	T	Buy	08/27/12	O		
367. NYS Mtg 2 % Due 4/1/16	A	Interest	M	T	Buy	09/04/12	M		
368. Hampton Bay NY 4%Due 9/15/13	A	Interest	N	T	Buy	09/07/12	N		
369. Buffalo NY 3% Due 12/1/13	B	Interest	O	T	Buy	11/13/12	O		
370. East Rochester NY 3 % Due 10/20/15		None	N	T	Buy	11/15/12	N		
371.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. (Indicate part of report.)

Note #1

Explanation Re:Section VII ,

Item # 8,9,25,35,36,37,38,45,49,52,55,56,58,65,82,86,87,93,107,115,117,119,138,149,156,157,182,193,202,203 209,229,234,260,275,296,297,309

The income from investments in Limited partnerships usually contain more than one type of income. For income valuation purposes,the various amounts were combined to determine the amount code in column B(1)The type of income in column B(2)is labeled "distribution", to denote more then one category of income indicated on schedule K-1 received from the partnership.

Note # 2

Explanation Section VII

Item 74,75

_____ Ltd is a Sub-chapter S corporation owned 100% _____ The corporationd sold the securities during 2012 which are listed below the corporate name

Note # 3

Explanation Section VII

Item # 7,12

These investmentns are worthless as of December 31, 2012. The assets are listed as "Closed" in Column D(1). The date in Column D(2) is December 31, 2012. The value in Colum D(3) is blank since no value was derived from the worthless investment. .

Note # 4

Explanation Section VII

Item # 149-155, 157-175

_____ is a Managing partner of _____ LP and _____ (GP) LP . .The partnerships have ownership interests in the companies listed.The costs of the ownership interests are included in the Gross value at the end of the reporting period indicated on line # 149 _____ LP Column C(1) and line # 157 _____ (GP) LP, Column C(1). In addition,the cost of the the additional investments are included on line 149 & 157 from line 174 & 175.. The invested companies are listed on lines 162 thru 175. .

Note # 5

Explanation Section VII

Item # 19,20,48,53,54,118,215,231

The Limited Partnership distributed shares of securities that were purchased and held by the partnership. This infomration is noted as "Distributed " in Column D(1). The date the shares were distributed is listed in Column D(2). The value in Column D(3) is the distributee's pro rata share of the aquisition cost of the assets by the Limited Partnership, as reported by the General Partner. The transfer relates only to the specific security The entire partnership was not transferred.

Note # 6

Explanation Section VII

Item # 326,328,330,332,334,336,338,340

These securities were not purchased but were received from time to time as in-kind distributions from the various Limited Parthnerships. These securities are listed as "Spinoff" in column D(1). The date in column D(2) is the effective date of the distribution. The Value in Column D (3) is the distributee's pro rate share of the acquisition costs of the assets by the Limited Partnership, as reported by the General Partner.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

McMahon, Colleen

Date of Report

08/13/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Colleen McMahon**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544