

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gottschall, Joan B.	2. Court or Organization U.S. District Court, NDIL	3. Date of Report 05/09/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge--Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address Room 2356 219 South Dearborn Street Chicago, IL 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Member	Visiting Committee to the Divinity School, University of Chicago
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 15

Name of Person Reporting

Gottschall, Joan B.

Date of Report

05/09/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 15

Name of Person Reporting

Gottschall, Joan B.

Date of Report

05/09/2018

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 15

Name of Person Reporting

Gottschall, Joan B.

Date of Report

05/09/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Vanguard Wellington Fund Symbol VWENX	D	Dividend	N	T	Sold (part)	11/06/17	J		
2. Vanguard Wellington Fund Symbol VWENX	D	Dividend	N	T	Sold (part)	12/14/17	J		
3. Vanguard Wellesley Fund Symbol VWIAX	D	Dividend	O	T	Sold (part)	12/14/17	J		
4. Vanguard Index Trust Symbol VINIX	C	Dividend	M	T	Sold (part)	11/13/17	J		
5. Vanguard Index Trust Symbol VINIX	C	Dividend	M	T	Sold (part)	12/14/17	J		
6. Vanguard Prime Portfolio Symbol VMRXX	A	Dividend	K	T	Sold (part)	11/03/17	J		
7. Vanguard Prime Portfolio Symbol VMRXX	A	Dividend	K	T	Sold (part)	12/14/17	J		
8. Fed Empl Credit Union Accts	A	Interest	K	T					
9. IBM c/stock	A	Dividend	K	T					
10. Intel c/stock	A	Dividend	K	T					
11. Waste Management c/stock	A	Dividend	J	T					
12. CVS Caremark c/stock	A	Dividend	J	T					
13. Vanguard Capital Opportunity Fund Symbol VHCAX	B	Dividend	K	T	Sold (part)	12/14/17	J		
14. BlackRock Large Cap Value	B	Dividend			Sold	11/22/17	K		
15. BlackRock Value Opportunities	B	Dividend			Sold	11/22/17	K		
16. Dodge & Cox Fds Intl Stk Fd Symbol DODFX	A	Dividend	J	T					
17. American Fund Capital Income Bldr Symbol CAIFX	C	Int./Div.	M	T					*See Part VIII, No. 2

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 15

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Gottschall, Joan B.

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18. American Income Fund Symbol AMEFX	C	Dividend	M	T					
19. American Balanced Fund Symbol AMBFX	C	Int./Div.	N	T	Buy (add'l)	09/18/17	L		*See Part VIII, No. 2
20. American Mut Fund Symbol AMRFX	A	Dividend	L	T	Buy	12/11/17	L		
21. Capital World Growth Inc. Fund Symbol WGIFX	C	Int./Div.	M	T					
22. Wintrust Financial Corp. Accounts*	A	Interest	M	T					*See Part VIII, No. 1
23. Texas St Prerefunded C: 882723-JT-7	A	Interest			Redeemed	04/03/17	J		
24. Aldine TX Indpt Sch Dist C: 014393-MW-9	A	Interest			Redeemed	02/15/17	J		
25. Antelope VY-East Kern CA C: 03672T- BF-3	A	Interest			Redeemed	06/01/17	J		
26. Boone County KY C: 098825-XH-0	A	Interest			Redeemed	03/01/17	J		
27. California St Preref C: 13063C-H3-7	A	Interest			Redeemed	06/01/17	J		
28. California St Prfd C: 13063C-H5-2	A	Interest			Redeemed	06/01/17	J		
29. Callaway FL Capt Impt Rev C: 131186- AK-4	B	Interest			Redeemed	08/01/17	K		
30. Contra Costa Cnty CA C: 21226P-MS-4	A	Interest			Redeemed	06/01/17	J		
31. Contra Costa Cnty CA C: 21226P-MT-2	A	Interest			Redeemed	06/01/17	J		
32. Curators Univ MO Sys C: 231266-EW-3	A	Interest			Redeemed	11/01/17	K		
33. Delaware Riv JT Toll Bridge C: 246343- JT-5	A	Interest			Redeemed	07/03/17	J		
34. Denton Cnty TX Fresh Wtr C: 24879Y- DR-1	A	Interest			Redeemed	02/15/17	K		

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Page 6 of 15

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35. Detroit MI Distr C: 251093-S5-0	A	Interest			Redeemed	03/01/17	J		
36. Douglas Cnty GA Sch Dist C: 259030-QT-4	A	Interest			Redeemed	04/03/17	J		
37. Douglas Cnty GA Sch Dist C: 259030-QL-1	A	Interest			Redeemed	04/03/17	J		
38. Eanes TX Indpt Sch Dist C: 270083-ZR-2	B	Interest	L	T					
39. Edinburg TX Cons C: 280785-QF-6	B	Interest	K	T					
40. Fishers IN Redev Auth C: 33803T-JR-8	B	Interest	L	T					
41. Frederick Cnty MD C: 355694-3F-5	A	Interest			Redeemed	02/01/17	J		
42. Gilmer Cnty CA C: 37586R-AT-1	A	Interest			Redeemed	04/03/17	J		
43. Grand Rapids MI Pub Schs C: 386280-PG-0	A	Interest			Redeemed	05/01/17	J		
44. Greens Pkwy Mun Util Dist TX C: 395397-DK-5	A	Interest			Redeemed	09/01/17	J		
45. Henrico Cnty VA Wtr & Swr C: 426170-JW-7	B	Interest	L	T					
46. Jackson MS Pub Sch Dist C: 468449-HW-6	A	Interest			Redeemed	04/03/17	J		
47. Knoxville TN Wtr Rev Sys C: 499818-SM-5	A	Interest			Redeemed	03/01/17	J		
48. Lodi CA USD Sch C: 540274-AVA-9	A	Interest			Redeemed	08/01/17	J		
49. Louisiana Loc Gov Env C: 546279-P3-8	A	Interest			Redeemed	06/01/17	J		
50. Lufkin TX Hlth Facs C: 549802-BH-8	A	Interest			Redeemed	02/15/17	J		
51. Marysville MI Pub Schs C: 574446-EQ-9	A	Interest			Redeemed	05/01/17	J		

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FINANCIAL DISCLOSURE REPORT

Page 7 of 15

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52. Metro Atlanta Rapid Tran C: 591745-D-84	A	Interest			Redeemed	07/03/17	J		
53. Metro Govt Nashville & Davdsn C: 592112-MR-4	A	Interest			Redeemed	05/15/17	K		
54. Michigan Fin Auth C: 59447P-RN-3	A	Interest			Redeemed	03/01/17	J		
55. Missouri St Hwys C: 60636W-KU-8	A	Interest			Redeemed	05/01/17	J		
56. Monmouth Cnty NJ C: 60956P-GM-8	A	Interest			Redeemed	12/01/17	J		
57. Montgomery Cnty MD C: 613340-G5-1	A	Interest			Redeemed	05/01/17	J		
58. New Jersey HC Facs C: 64579F-5X-7	A	Interest			Redeemed	03/01/17	J		
59. New Jersey St Edl Facs C: 646065-NB-4	A	Interest			Redeemed	07/03/17	J		
60. New York NY City C: 64971Q-3V-3	A	Interest			Redeemed	05/01/17	J		
61. New York NY City Mun Wtr C: 64972F-VX-1	A	Interest			Redeemed	06/15/17	J		
62. New York NY Prerefunded C: 64966L-XF-3	A	Interest			Redeemed	08/01/17	J		
63. New York St Urban Dev C: 650035-2D-9	A	Interest			Redeemed	03/15/17	J		
64. New York St Urban Dev C: 650035-2D-9	A	Interest			Redeemed	03/15/17	J		
65. New York St Dorm Auth C: 649903-LC-3	A	Interest			Redeemed	05/01/17	J		
66. Nextera Energy C: 65339K-10-0	A	Interest	K	T					
67. Nutley NJ Sch Dist C: 670607-GN-4	A	Interest			Redeemed	07/17/17	J		
68. Ohio St Higher Ed C: 67756D-HM-1	A	Interest			Redeemed	01/17/17	J		

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FINANCIAL DISCLOSURE REPORT

Page 8 of 15

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69. Orlando FL Cap Impt Spl C: 68641H-FD-1	A	Interest			Redeemed	10/02/17	J		
70. Palm Coast FL Util Sys C: 69661K-BP-4	A	Interest			Redeemed	04/03/17	J		
71. Pearland TX Prerefunded C: 704862-7U-9	A	Interest			Redeemed	03/01/17	K		
72. Rhode Is St Hlth & Ed C: 762244-FT-3	A	Interest			Redeemed	05/15/17	K		
73. South Carolina St C: 837147-V3-8	A	Interest			Redeemed	01/03/17	J		
74. South Dakota Conservancy C: 837545-HN-5	C	Interest	L	T					
75. South Dakota St Bldg C: 83755L-JV-9	A	Interest			Redeemed	06/01/17	J		
76. Springfield MA Preref St C: 850752-PL-4	A	Interest			Redeemed	02/01/17	J		
77. St. Louis MO Mun Fin Corp C: 79165N-AT-1	A	Interest			Redeemed	02/15/17	J		
78. St. Louis MO Mun Fin Corp C: 79165N-AV-6	A	Interest			Redeemed	02/15/17	J		
79. Texas St Transn Commn C: 88283L-EH-5	A	Interest			Redeemed	04/03/17	J		
80. Troy St Univ AL Stdnt Fee C: 897721-KK-1	A	Interest			Redeemed	05/01/17	K		
81. University CA Revs C: 91412G-ZQ-1	A	Interest			Redeemed	05/15/17	J		
82. University CT C: 914233-QN-4	A	Interest			Redeemed	04/03/17	J		
83. Washington ST Ser R-C C: 93974D-NJ-3	A	Interest			Redeemed	07/03/17	J		
84. Washington ST Var Purpser C: 93974B-Q3-9	A	Interest			Redeemed	07/03/17	J		
85. Yuma AZ Mun PPTY C: 988515-HY-1	A	Interest			Redeemed	07/03/17	J		

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FINANCIAL DISCLOSURE REPORT

Page 9 of 15

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86. Alaska Mun Bd Bk C: 01179R-QM-8	A	Interest	J	T	Buy	05/17/17	J		
87. Baldwin Cnty AL Wts C: 057845-MS-5	A	Interest	J	T	Buy	05/22/17	J		
88. California St Dpt Wtr C: 13066Y-TJ-8	A	Interest	J	T	Buy	04/06/17	J		
89. California St Dpt Wtr C: 13066Y-TE-9	A	Interest	J	T	Buy	05/02/17	J		
90. California St Dpt Wtr C: 13066Y-SY-6	A	Interest	J	T	Buy	05/02/17	J		
91. California St Prfd Var C: 13063C-7H-7	A	Interest	J	T	Buy	05/01/17	J		
92. California St Dpt Wtr C: 13066E-WA-7	A	Interest	J	T	Buy	05/17/17	J		
93. Central Wash Univ Sys C: 155839-CU-5	A	Interest	J	T	Buy	04/12/17	J		
94. Charlotte Mecklenburg C: 160853-MP-9	A	Interest	J	T	Buy	05/01/17	J		
95. Chesterfield Twp NJ Sch C: 166519-BR-8	A	Interest	J	T	Buy	05/09/17	J		
96. Christian Cnty KY Hosp C: 170692-CJ-4	A	Interest	J	T	Buy	05/17/17	J		
97. Cloverleaf OH Loc Sch Dist C: 18925P-AA-6	A	Interest	J	T	Buy	05/10/17	J		
98. Colorado St Brd Governrs Univ C: 196707-GG-2	A	Interest	J	T	Buy	05/19/17	J		
99. Comal TX Indpt Sch Dist C: 199820-V8-1	A	Interest	J	T	Buy	05/08/17	J		
100. Conn St Gen Rev Rvlyng C: 207737-FP-7	A	Interest	J	T	Buy	12/01/17	J		
101. Corpus Christi TX Util C: 220245-PS-7	A	Interest	J	T	Buy	05/24/17	J		
102. Crown Point IN Mlti Sch C: 228485-GY-8	A	Interest	J	T	Buy	05/25/17	J		

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FINANCIAL DISCLOSURE REPORT

Page 10 of 15

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Gottschall, Joan B.

Date of Report

05/09/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. Downingtown PA Area School C: 261097-UK-3	A	Interest	J	T	Buy	07/20/17	J		
104. East Side Un High S/D CA C: 275282-CH-2	A	Interest	J	T	Buy	07/20/17	J		
105. El Paso County TX CTF C: 283497-YL-3	A	Interest	K	T	Buy	05/08/17	K		
106. Georgia St Ser BG/O C: 373384-GX-8	A	Interest	K	T	Buy	01/25/17	K		
107. Grove City PA C: 399540-DN-0	A	Interest	J	T	Buy	07/06/17	J		
108. Hamilton Twp PA Mun Auth C: 408104-HR-1	A	Interest	J	T	Buy	05/23/17	J		
109. Hawaii St Prerefunded C: 419792-GZ-3	A	Interest	J	T	Buy	05/22/17	J		
110. Hawaii St Unrefunded C: 419792-HD-1	A	Interest	J	T	Buy	04/06/17	J		
111. Houghton-Portage Twp C: 441587-FK-3	A	Interest	J	T	Buy	04/12/17	J		
112. Idaho Hsg & Fin Assn C: 45129W-HH-6	A	Interest	J	T	Buy	05/02/17	J		
113. Kansas City MO Spl Oblig C: 485106-CK-2	A	Interest	J	T	Buy	04/05/17	J		
114. Lancaster PA C: 514328-FZ-1	A	Interest	J	T	Buy	04/06/17	J		
115. Las Vegas Vly NV Wtr C: 517845-DR-1	A	Interest	K	T	Buy	07/07/17	K		
116. Los Angeles CA Dpt Arpts C: 544435-B2-5	A	Interest	J	T	Buy	04/12/17	J		
117. Maryland St 1st Ser B C: 574192-8C-7	A	Interest	J	T	Buy	05/24/17	J		
118. Massachusetts Bay Transn C: 575577-NA-5	A	Interest	J	T	Buy	05/09/17	J		
119. Massachusetts St College C: 575832-RZ-5	A	Interest	J	T	Buy	07/07/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 15

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05/09/2018

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120. Massachusetts St Dev Fin C: 57584X-YQ-8	A	Interest	J	T	Buy	05/03/17	J		
121. Metropolitan Transn Auth NY C: 59259Y-B9-9	A	Interest	J	T	Buy	04/12/17	J		
122. Miami-Dade Cnty FL Wtr C: 59334D-FM-1	A	Interest	K	T	Buy	05/02/17	K		
123. Miamisburg OH City Sch Dist C: 593881-HS-7	A	Interest	L	T	Buy	09/11/17	L		
124. Minnesota St Prerefunded C: 604129-6E-1	A	Interest	J	T	Buy	05/23/17	J		
125. Mobile AL Pub Edl Bldg C: 607202-CJ-5	A	Interest	J	T	Buy	05/22/17	J		
126. Montgomery TX Indpt C: 614121-SC-6	A	Interest	J	T	Buy	05/30/17	J		
127. NC Tpk Auth Triangle Expwy C: 65830R-AJ-8	A	Interest	J	T	Buy	11/02/17	J		
128. New Haven CT C: 645020-XY-9	A	Interest	K	T	Buy	05/25/17	K		
129. New Jersey Econ Dev C: 645918-SC-8	A	Interest	J	T	Buy	05/22/17	J		
130. New York NY City Trnstnl C: 64971W-RK-8	A	Interest	J	T	Buy	05/10/17	J		
131. New York St Dorm Auth C: 649903-Q5-3	A	Interest	J	T	Buy	05/22/17	J		
132. New York St Urban Dev C: 650035-5D-6	A	Interest	K	T	Buy	05/03/17	K		
133. Ohio St Univ Gen Rcpts C: 677632-VP-3	A	Interest	J	T	Buy	08/25/17	J		
134. Oregon Hlth Sciences C: 685869-CP-2	A	Interest	J	T	Buy	07/05/17	J		
135. Pennsylvania St 2nd Ser C: 70914P-KK-7	A	Interest	J	T	Buy	11/30/17	J		
136. Pennsylvania St Tpk C: 709224-SY-6	A	Interest	J	T	Buy	12/01/17	J		

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Page 12 of 15

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137. Pennsylvania St Tpk Comm C: 709221-QX-6	A	Interest	J	T	Buy	03/28/17	J		
138. Pennsylvania St Tpk Comm C: 709223-WS-6	A	Interest	J	T	Buy	04/11/17	J		
139. Pennsylvania St Tpk Comm C: 709224-VV-8	A	Interest	J	T	Buy	07/24/17	J		
140. RI St Health & Edl Bldg C: 762243-E6-6	A	Interest	K	T	Buy	01/25/17	K		
141. Sacramento Cnty CA Arpt C: 786107-JH-6	A	Interest	J	T	Buy	05/09/17	J		
142. Santa Fe MN Gross Rcpts C: 802072-MB-1	A	Interest	K	T	Buy	05/02/17	K		
143. Sienna Plantn Mud No. 3 C: 82620T-LR-3	A	Interest	K	T	Buy	05/08/17	K		
144. Texas ST Prerefunded C: 882723-LF-4	A	Interest	J	T	Buy	04/03/17	J		
145. Texas St Unref Bal C: 882723-LM-9	A	Interest	J	T	Buy	05/19/17	J		
146. Texas St Unrefunded Bal C: 882723-LY-3	A	Interest	J	T	Buy	04/03/17	J		
147. Titus County TX Pass C: 888514-FK-7	A	Interest	J	T	Buy	05/04/17	J		
148. Triborough Bldg & Twnl C: 89602N-MN-1	A	Interest	J	T	Buy	05/24/17	J		
149. Tyler TX Indpt Sch Dist C: 902273-SQ-5	A	Interest	J	T	Buy	04/04/17	J		
150. University Houston TX C: 914301-7Y-6	A	Interest	J	T	Buy	05/08/17	J		
151. University NE Univ Revs C: 914641-G8-2	A	Interest	J	T	Buy	04/04/17	J		
152. Van Dyke MI Pub Schs C: 921067-GQ-1	A	Interest	J	T	Buy	05/09/17	J		
153. Wapakoneta OH City Sch C: 933747-CZ-8	A	Interest	J	T	Buy	05/02/17	J		

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Page 13 of 15

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154. Washington St Univ Revs C: 940093-X4-4	A	Interest	J	T	Buy	11/28/17	J		
155. Wayland MI Un Sch Dist C: 944233-JV-8	A	Interest	J	T	Buy	04/11/17	J		
156. Wisconsin St Hlth & Edl Fac C: 97710B-BA-9	A	Interest	J	T	Buy	04/10/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 14 of 15

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. VII, Line 18 -- This is a "sweep" account in both my brokerage and IRA accounts in which money is held pending investment; money is constantly being put into and taken out of the account.
2. VII, Line 17 and Line 19 have minor title changes to the investment name. Same investment as previous years but with a clearer title.

FINANCIAL DISCLOSURE REPORT

Page 15 of 15

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Joan B. Gottschall**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544