

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) James, Robert G.	2. Court or Organization U.S. District Court, Louisiana	3. Date of Report 04/26/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address U. S. Courthouse 201 Jackson Street, Suite 201 Monroe, LA 71201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Member	Judd & Company, LLC
2.	Member	Whitten - James Real Estate, LLC
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	2011	Louisiana State Employees Retirement System
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2011	Louisiana State Employees Retirement System	\$32,251.00
2. 2011	Whitten-James Real Estate, LLC (partnership income)	\$31.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2011	Lincoln Parish District Attorney
2. 2011	State of Louisiana
3. 2011	Whitten-James Real Estate, LLC (partnership income)
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	James E. Davison	See note in part VIII for description.	\$4,400.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Community Trust Bank	Real Estate Loan	M
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Judd & Company, LLC (17.10%)	D	Royalty	M	W					
2. JP Morgan Chase, Accounts	A	Interest	J	T					
3. IRA #3	A	Dividend	K	T					
4. -Fidelity Small Cap Growth					Redeemed	08/05/11	K	A	
5. -Fidelity Low Priced Stock					Redeemed	08/05/11	K	A	
6. -Fid Large Cap Growth Enh Index					Buy	12/29/11	K		
7. -Fid Blue Chip Growth					Buy	08/16/11	K		
8. Community Trust Bank, Accounts	A	Interest	J	T					
9. 1st National Bank, Account		None	J	T					
10. Smith Barney - Brokerage Account									
11. -Wal Mart	A	Dividend			Sold	01/19/11	J	C	
12. -Cash "Y"		None			Closed	06/01/11	J		
13. -Ishares Russell 2000 Index FD "Y"	A	Dividend			Sold	05/20/11	K	D	
14. -Medtronic, Inc.	A	Dividend			Buy	01/04/11	K		
15.					Merged (with line 38)	06/01/11	K		
16. -Microsoft Corp		None			Buy	05/20/11	J		
17.					Merged (with line 29)	06/01/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = \$50,001 - \$100,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Fidelity Investments - Brokerage Account #4									
19. -Cash	A	Interest	K	T					
20. -AFLAC Inc	A	Dividend			Sold	09/23/11	J	A	
21. -Automatic Data Processing, Inc	A	Dividend	K	T					
22. -Diageo PLC		None			Sold	01/06/11	J	C	
23. -Pepsico Inc	A	Dividend	K	T					
24. -Air Products & Chem	A	Dividend	K	T					
25. -Intel Corp	A	Dividend	K	T					
26. -Ishares TR Russell Midcap Growth	A	Dividend			Sold	07/01/11	L	E	
27. -Ishares TR dow jones u s healthcare	A	Dividend			Sold	07/01/11	K	D	
28. -Microsoft Corp	A	Dividend	K	T	Sold	05/20/11	J	A	
29.					Buy	06/01/11	J		Transfer from Smith Barney
30. -Nike Inc Class B	A	Dividend	K	T					
31. -United Technologies Corp	A	Dividend	K	T					
32. -Waste Management Inc	A	Dividend	J	T					
33. -Waters Corp		None	J	T					
34. -Mathews Asian Growth & Income	A	Dividend	K	T					

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35. -Conocophillips	A	Dividend	K	T					
36. -Darden restaurants	A	Dividend	J	T					
37. -Medtronic Inc	A	Dividend	K	T	Sold	01/04/11	K	A	
38.					Buy	06/01/11	K		Transfer from Smith Barney
39. -Select Sector Healthcare	A	Dividend	K	T	Buy	07/13/11	K		
40. -Sector Consumer	A	Dividend	K	T	Buy	07/13/11	K		
41. -Sector Industrial	A	Dividend	J	T	Buy	07/13/11	J		
42. -Sector Energy	A	Dividend			Buy	07/13/11	J		
43.					Sold	09/23/11	J	A	
44. Deferred Compensation - Wells Fargo Bank Tr	A	Dividend	L	T					
45. Whitten-James Real Estate, LLC	F	Rent	P1	W					
46.	D	Royalty							

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part V, page 3, line 1 - For reporting person and [REDACTED] to visit Mr. Davison's vacation home in Mexico including lodging, some meals and some transportation while in Mexico.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Robert G. James**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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