

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Grimm, Paul W.	2. Court or Organization U.S. District Court, District of Maryland	3. Date of Report 04/29/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge (active status)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address Chambers 465A U.S. Courthouse 6500 Cherrywood Lane Greenbelt, MD 20770		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Instructor, part-time	Shemer Bar Review (lecturer)
2.	Instructor, part-time	University of Baltimore School of Law (adjunct faculty)
3.	Instructor, part-time	University of Maryland School of Law (adjunct faculty)
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	Shemer Bar Review, lecturer	\$6,450.00
2. 2012	ABA-MD Discovery Problems Solutions, royalties	\$167.28
3. 2012	University of Baltimore School of Law, adjunct faculty	\$3,750.00
4. 2012	University of Maryland School of Law, adjunct faculty	\$7,200.00

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	Dietician [REDACTED]
2. 2012	Dietician [REDACTED]
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Florida College of Advanced Judicial Studies	06/03/12-06/05/12	Naples, FL	educational seminar	meals, travel, lodging
2. Lawyers for Civil Justice	11/30/12	New York, NY	educational seminar	meals, travel
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. M&T Bank accounts	A	Interest	M	T	Matured (part)	06/08/12	K		
2.					Open	06/08/12	M		
3. AXA Equitable TSA (Equi-Vest)		None	K	T					
4. Vanguard GNMA Fund IRA	B	Dividend	L	T					
5. Lincoln Multi-Fund (Johns Hopkins Hosp Retirement)		None	J	T					
6. T. Rowe Price Equity Income IRA	B	Dividend	L	T					
7. The Columbia Bank CD	A	Interest	J	T					
8. Fidelity Cash Reserves Roth	A	Dividend	J	T					
9. Fidelity Cash Reserves Roth	A	Dividend	J	T					
10. T. Rowe Price Prime Reserve	A	Dividend	K	T					
11. MD College Investment Plan 529-Portfolio 2012		None			Closed	06/08/12	K		
12. MD College Investment Plan 529-Portfolio for College		None			Open	06/08/12	K		
13.					Redeemed (part)	06/29/12	K		
14.					Redeemed	12/07/12	J		
15. Exelon Corporation common stock (Y)									
16. VCSP/College America EuroPacific Growth Fund 529A		None			Sold	04/26/12	J		
17. VCSP/College America Growth Fund of America 529A		None			Sold	04/26/12	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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18. VCSP/College America SMALLCAP World Fund 529A		None			Sold	04/26/12	J		
19. VCSP/College America Fundamental Investors 529A	A	Dividend			Sold	04/26/12	J		
20. VCSP/College America Capital Income Builder 529A	A	Dividend			Sold	04/26/12	J		
21. VCSP/College America Amer Fds Money Mkt Fd 529A		None	K	T	Distributed (part)	06/29/12	J		
22.					Distributed (part)	12/07/12	J		
23. VCSP/College America Amer Fds Money Mkt Fd 529A		None	L	T	Buy (add'l)	04/26/12	K		
24.					Distributed (part)	12/07/12	J		
25. IRA - RBC									
26. - Calamos Strategic Total Return Fund	B	Dividend	J	T					
27. - Goldman Sachs Tr Equity Growth Strategy	A	Dividend	K	T					
28. - RBC Bank Deposit Program (prev. Prime MM RBC Investor)	A	Dividend	K	T					
29. - Invesco Van Kampen Equity Income C	A	Dividend	K	T					
30. - MacQuarie 1st Tr Global Infrastructure Div/Inc	B	Dividend	J	T					
31. - Enervest Diversified Income Trust	A	Dividend	J	T					
32. - Cohen & Steers Infrastructure Fund	B	Dividend	K	T					
33. - John Hancock Tax Advntgd Div/ Inc	B	Dividend	K	T					
34. - AllianceBernstein Global Hi Income Fd II	C	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. - Countrywide Home Loans Ser ()	A	Interest	J	T					
36. - CS First Boston Mortgage ()	A	Interest	J	T					
37. - FHLMC REMIC 3010-YD					Redeemed (part)	07/16/12	J	A	
38.					Redeemed (part)	08/15/12	J	A	
39.					Redeemed (part)	09/17/12	J	A	
40.					Redeemed	10/15/12	J	A	
41. - Banc of America Fndg Corp Ser ()	A	Interest	J	T					
42. - Citicorp Mortgage Secs Tr Ser ()	A	Interest	J	T					
43. - Bank of America Mtge Sec Ser ()	A	Interest	J	T					
44. - Wells Fargo Mtge Bkd Sec ()	A	Interest	J	T					
45. - Alternative Loan Tr ()	A	Interest	J	T					
46. - CWalt Inc Mtge PC ()	A	Interest	J	T					
47. - Countrywide Home Loan Alt Loan ()	A	Interest	J	T					
48. - Mastr Alt Loan Trust Ser ()	A	Interest	J	T					
49. - Mastr Alt Loan Trust Ser ()	A	Interest	J	T	Redeemed (part)	01/25/12	J	A	
50. - Alternative Loan Trust ()	B	Interest	K	T					
51. - Banc Amer Funding Corp ()	A	Interest	J	T					

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52. - Alternative Loan Trust C	A	Interest	J	T					
53. - 1st Horizon Alt Mtge Ser C	A	Interest	J	T					
54. - Banc Amer Mtg Sec	A	Interest	J	T					
55. - Banc of Amer Alt Ln Tr	A	Interest	J	T					
56. - Wells Fargo Mtge Bkd Sec	A	Interest	J	T					
57. - Mastr Alt Loan Tr	A	Interest	J	T					
58. - Mastr Alt Loan Tr	A	Interest	J	T					
59. - Alternative Loan Tr	A	Interest	J	T					
60. - CSFB Mtge Bkd	A	Interest	J	T					
61. - Bear Stearns Asset Bk Sec Tr	A	Interest	J	T					
62. -CWalt Alt Loan Tr	A	Interest	K	T	Buy	03/26/12	K		
63. -1st Horizon Mtge	A	Interest	J	T	Buy	05/25/12	J		
64. -Structured Assets Sec	A	Interest	J	T	Buy	09/25/12	J		
65.					Redeemed (part)	10/25/12	J	A	
66. -CitiFinancial Mtge Sec	A	Interest	K	T	Buy	11/23/12	K		
67.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII Line 28: Name change from Prime MM RBC Investor Class to RBC Bank Deposit Program.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Paul W. Grimm

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544