

FINANCIAL DISCLOSURE REPORT
INITIAL FILINGReport Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Wang, Nina Y.	2. Court or Organization United States District Court for the District of Colorado	3. Date of Report 10/07/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Magistrate Judge -Full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☒ Initial ☐ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2014 to 8/13/2015
7. Chambers or Office Address Byron G. Rogers United States Courthouse 1929 Stout Street, C0254 Denver, Colorado 80294		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Partner	Faegre Baker Daniels LLP
2.	Director, Board of Directors	Faculty of Federal Advocates
3.	Director, Board of Directors	Colorado Lawyers Trust Account Foundation
4.	Steering Committee Member	National Task Force for United States Patent and Trademark Office Pro Bono Initiative
5.	Director, Board of Directors	Colorado Pro Bono Patent Initiative

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2010	Faegre Baker Daniels, Partner Capitalization Agreement
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	Faegre Baker Daniels, Partnership Income	\$574,000.00
2. 2014	Faegre Baker Daniels, Partnership Income	\$650,000.00
3. 2013	Faegre Baker Daniels, Building Trust	\$944.00
4. 2014	Faegre Baker Daniels, Building Trust	\$1,321.00

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	Self-employed, Energy Consultant
2. 2014	Self-employed, Energy Consultant
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2. Exempt				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.	Exempt		
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Nationstar Mortgage	Mortgage on Rental Prop. #1, Denver, Colorado	L
2.	FirstBank	Spouse Small Business Loan	M
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Rental Property #1, Denver, Colorado (2000 - \$160,000)	E	Rent	M	Q					
2. Ballard Power System Common Stock		None	J	T					
3. Capstone Turbine Corp Common Stock		None	J	T					
4. Citigroup Inc Common Stock	A	Dividend	J	T					
5. Consolidated Edison Common Stock	A	Dividend	J	T					
6. El Du Pont De Nemours & Company Common Stock	A	Dividend	J	T					
7. Ford Motor Company Common Stock	A	Dividend	J	T					
8. Garmin Common Stock	A	Dividend	J	T					
9. Nordstrom Inc Common Stock	A	Dividend	J	T					
10. Sirius XM Holdings Inc Common Stock		None	J	T					
11. Sprint Corporation Common Stock		None	J	T					
12. Wave Systems Corp Common Stock		None	J	T					
13. Columbia Value and Restructuring Z Mutual Fund		None	K	T					
14. RS Small Cap Growth A		None	K	T					
15. Schwab Small Cap Index		None	K	T					
16. Janus Overseas T		None	J	T					
17. AMG FQ Global Risk-Balanced Institutional		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. ETrade Roth IRA - Cash	A	None	J	T					
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20. Sentinel Ssmidcap A		None	K	T					
21. American Century Select		None	J	T					
22. American Century Ultra		None	J	T					
23. American Century Value	A	Dividend	K	T					
24. American Century Heritage	A	Dividend	J	T					
25. American Century Equity Growth	A	Dividend	J	T					
26. FirstBank Denver Accounts	A	Interest	M	T					
27. Edge Asset Management Fund - Equity Income R5 Fund		None	L	T					
28. T Rowe Price Large Cap Growth I R5 Fund		None	L	T					
29. MFS Investment Managemetn MFS Utilities R3 Fund		None	K	T					
30. College Invest - Moderate Age Based Growth Portfolio		None	J	T					
31. College Invest - Aggressive Growth		None	K	T					
32. College Invest - Stock Index		None	K	T					
33. College Invest - Growth Portfolio		None	K	T					
34. College Invest - Aggressive		None	J	T					

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35. College Invest - Stock Index		None	J	T					
36. College Invest - Growth Portfolio		None	J	T					
37. T Rowe Price Growth Stock Mutual Fund		None	M	T					
38. Baron Small Cap Institutional		None	M	T					
39. AllianzGI NEJ Small Cap Value Institutional		None	M	T					
40. American Funds EuroPacific		None	M	T					
41. Faegre Baker Daniels Pension Plan		None	M	T					
42. Learning Quest - Aggressive Track Portfolio		None	L	T					
43. Learning Quest - Aggressive Track Portfolio		None	K	T					
44.									
45.									
46.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Nina Y. Wang**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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