

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Graham, James L.	2. Court or Organization U.S. District Court, SD/OH	3. Date of Report 05/11/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge-Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 169 Joseph P. Keinnery U.S. CH 85 Marconi Boulevard Columbus, Ohio 43215		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Farm Credit of Mid America FLCA	Mortgage on Farm land-owned by Partnership-Item 3-Part VII	L
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Apt Bldgs-Gahanna, OH (Franklin Co) -See Part VIII	F	Rent	O	R					
2. Partnership-Farm Land-Franklin Co. Ohio	D	Rent	O	Q					
3. Huntington National Bank-Checking & Savings Accounts	A	Interest	J	T					
4. Gold & Silver Coins		None	J	T	Sold (part)	04/05/11	J	D	
5. Ohio St Site Dev - 3.4% Due 05/01/11	B	Interest			Redeemed	05/02/11	L		
6. Ohio St Hwy Cap Imp-4% due 05/01/11	A	Interest			Redeemed	05/02/11	J		
7. Cincinnati Water SYS-5.00%-Due 12/01/20	B	Interest			Redeemed	06/01/11	L		
8. Toledo OH CAP IMPR D - 4.18% Due 12/01/18	B	Interest			Sold	10/06/11	K	B	
9. Bank of America 6.204%-Series D PFD	B	Interest			Sold	08/22/11	K	B	
10. Eaton Vance OH Tax Exempt Mutual Fund	A	Interest	K	T					
11. Astropower, Inc.		None			Sold	10/24/11	J		
12. Ballard Power Systems, Inc.		None	J	T					
13. Capstone Turbine Corp		None	J	T					
14. Plug Power, Inc.		None	J	T					
15. Geeknet, Inc.		None	J	T					
16. Bank of America Bd-6.204% -Due 09/15/11	B	Interest			Matured	09/15/11	K		
17. CD-Pacific Cap Bk-4.25% due 03/14/11	B	Interest			Matured	03/14/11	K		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market

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18. CD-Wachovia Bk-4.55% due 09/12/11	B	Interest			Matured	09/12/11	K		
19. CD-Wachovia Bk-4.75% due 09/12/12	B	Interest	K	T					
20. AIM Constellation Class A Mutual Fund	A	Dividend	J	T					
21. Note Receivable-Benevolent Landlord	A	Interest	J	T					
22. Apt. Bldgs-Westerville, OH (Franklin Co.)- See Part VIII		None	O	R					
23. Wells Fargo Money Market Account	B	Interest	O	T					
24. ■ shs Altria Group	B	Dividend			Sold	06/29/11	K	D	
25. ■ shs Huntington Bancshares-8.50% Conv	B	Dividend	K	T					
26. Bk of America CPN 5.15% Due 09/15/16	C	Interest			Sold	08/22/11	K		
27. DPL Inc Sr Notes 6.875% Due 09/01/11	C	Interest			Sold	09/01/11	L		
28. National City Corp CPN 4% Due 02/01/11	B	Interest			Sold	09/01/11	L	C	
29. Wells Fargo Money Market Fund	A	Interest	K	T					
30. Charles Schwab Bank	A	Interest			Closed	11/07/11	J		
31. Charles Schwab Money Market	A	Dividend	M	T					
32. Dow Chemical 6.7% Note due 6/15/14	B	Interest			Redeemed	06/15/11	K		
33. Middletown OH RFDG/IMPR3.75% DUE 12/01/19	A	Interest	K	T					
34. Wells Fargo Bank	A	Interest	J	T					

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35. Oh ST Infl/Impr 3.25% Due 08/01/20	B	Interest	L	T					
36. Wells Fargo Adv Muni Money Market Fund	A	Interest	J	T					
37. Single Family Rental Columbus Oh-See Part VIII	A	Rent	M	R					
38. Bk of America CPN 5.15% Due 09/15/16	A	Interest			Sold	08/22/11	K		
39. Bk of America CPN 1.30% Due 04/09/12	A	Interest	J	T					
40. Wells Fargo Adv Muni Money Market Fund	A	Interest			Closed	03/14/11	J		
41. Wells Fargo Adv Muni Money Market Fund	A	Interest			Closed	03/14/11	J		
42. Wells Fargo Bank Sweep Account	A	Interest	L	T	Open	05/02/11	J		
43. -Verizon Communications	B	Dividend			Buy	01/07/11	K		
44. -Verizon Communications		None			Sold	11/07/11	K	D	
45. -Alcoa Inc		None			Buy	09/22/11	J		
46. -Alcoa Inc		None			Sold	10/13/11	J		
47. -Chevron Corporation	A	Dividend			Buy	08/08/11	K		
48. -Chevron Corporation		None			Sold	11/07/11	K	B	
49. -Conocophillips	A	Dividend			Buy	07/15/11	J		
50. -Conocophillips		None			Sold	11/07/11	J		
51. -Ford Motor Company		None			Buy	10/04/11	K		

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52. ☐ -Ford Motor Company		None			Sold	11/07/11	K	C	
53. ☐ -Hess Corporation		None			Buy	07/18/11	K		
54. ☐ -Hess Corporation		None			Sold	08/08/11	K		
55. ☐ -SPDR Gold Trust		None			Buy	07/12/11	K		
56. ☐ -SPDR Gold Trust		None			Sold	08/05/11	K	C	
57. ☐ -Starbucks Corp	A	Dividend			Buy	08/05/11	K		
58. ☐ -Starbucks Corp		None			Sold	11/07/11	K	D	
59. ☐ -Abbott Laboratories	A	Dividend			Buy	06/24/11	K		
60. ☐ -Abbott Laboratories		None			Sold	11/07/11	K	A	
61. ☐ -Apple Inc		None			Buy	08/03/11	L		
62. ☐ -Apple Inc		None			Sold	10/19/11	L	B	
63. ☐ -Apple Inc		None			Buy	08/11/11	K		
64. ☐ -Apple Inc		None			Sold	11/07/11	K	B	
65. ☐ -Apple Inc		None			Buy	08/23/11	K		
66. ☐ -Apple Inc		None			Sold	11/07/11	K	C	
67. ☐ -Apple Inc		None			Buy	10/24/11	K		
68. ☐ -Apple Inc		None			Sold	11/07/11	K	A	

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69. ☐ -Baidu Inc ADR		None			Buy	06/29/11	K		
70. ☐ -Baidu Inc ADR		None			Sold	08/08/11	K		
71. ☐ -Baidu Inc ADR		None			Buy	08/11/11	K		
72. ☐ -Baidu Inc ADR		None			Sold	09/26/11	K		
73. ☐ -Calumet Spec P/S		None			Buy	06/06/11	K		
74. ☐ -Calumet Spec P/S		None			Sold	09/08/11	K		
75. ☐ -Calumet Spec P/S		None			Buy	06/06/11	J		
76. ☐ -Calumet Spec P/S		None			Sold	09/08/11	J		
77. ☐ -Caterpillar Inc	A	Dividend			Buy	09/29/11	K		
78. ☐ -Caterpillar Inc		None			Sold	11/07/11	K		
79. ☐ -Chesapeake Energy Corp		None			Buy	08/02/11	J		
80. ☐ -Chesapeake Energy Corp	A	Dividend			Sold	11/07/11	J		
81. ☐ -Chesapeake Energy Corp		None			Buy	08/02/11	J		
82. ☐ -Chesapeake Energy Corp		None			Sold	11/07/11	J		
83. ☐ -Chevron Corporation	A	Dividend			Buy	06/06/11	K		
84. ☐ -Chevron Corporation		None			Sold	11/14/11	K	B	
85. ☐ Chevron Corporation		None			Buy	08/04/11	K		

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86. ☐ -Chevron Corporation		None			Sold	11/07/11	K	B	
87. ☐ -Clorox Company		None			Buy	08/04/11	K		
88. ☐ -Clorox Company		None			Sold	11/07/11	K	A	
89. ☐ -Deere & Co	A	Dividend			Buy	06/24/11	K		
90. ☐ -Deere & Co		None			Sold	11/07/11	K		
91. ☐ -Du Pont de Nemours & Co	A	Dividend			Buy	06/16/11	K		
92. ☐ -Du Pont de Nemours & Co		None			Sold	11/07/11	K		
93. ☐ -Eaton Corp		None			Buy	08/04/11	K		
94. ☐ -Eaton Corp		None			Sold	11/07/11	K		
95. ☐ -Enerplus Corp	A	Dividend			Buy	06/06/11	K		
96. ☐ -Enerplus Corp		None			Sold	11/07/11	K		
97. ☐ -FRPT-MCMRN CPPR & GLD	A	Dividend			Buy	08/04/11	K		
98. ☐ -FRPT-MCMRN CPPR & GLD		None			Sold	11/07/11	L	D	
99. ☐ -Google Inc		None			Buy	08/23/11	K		
100. ☐ -Google Inc		None			Sold	11/07/11	K	C	
101. ☐ -Healthsouth Corp		None			Buy	07/22/11	K		
102. ☐ -Healthsouth Corp		None			Sold	08/03/11	K		

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103. ☐ -Intel Corp	A	Dividend			Buy	10/19/11	K		
104. ☐ -Intel Corp		None			Sold	11/07/11	K		
105. ☐ -Intl Paper Co		None			Buy	08/11/11	K		
106. ☐ -Intl Paper Co		None			Sold	11/07/11	K	C	
107. ☐ -Jabil Circuit Inc		None			Buy	06/24/11	K		
108. ☐ -Jabil Circuit Inc		None			Sold	08/02/11	K		
109. ☐ -Johnson & Johnson		None			Buy	06/24/11	K		
110. ☐ -Johnson & Johnson		None			Sold	08/02/11	K		
111. ☐ -Nvidia Corp		None			Buy	09/19/11	J		
112. ☐ -Nvidia Corp		None			Sold	11/07/11	J		
113. ☐ -NetFlix.Com Inc		None			Buy	08/04/11	K		
114. ☐ -NetFlix.Com Inc		None			Sold	09/08/11	K		
115. ☐ -Oracle Corp	A	Dividend			Buy	09/08/11	K		
116. ☐ -Oracle Corp		None			Sold	11/07/11	L	D	
117. ☐ -Pepsico Incorporated		None			Buy	10/24/11	K		
118. ☐ -Pepsico Incorporated		None			Sold	11/07/11	K		
119. ☐ -Qualcomm Inc		None			Buy	11/03/11	L		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. ☐ -Qualcomm Inc		None			Sold	11/07/11	L	A	
121. ☐ -Salesforce.com		None			Buy	09/19/11	J		
122. ☐ -Salesforce.com		None			Sold	10/06/11	J		
123. ☐ -Sandisk Corp		None			Buy	09/19/11	K		
124. ☐ -Sandisk Corp		None			Sold	11/07/11	K	C	
125. ☐ -Short QQQ Proshares		None			Buy	04/12/11	K		
126. ☐ -Short QQQ Proshares		None			Sold	04/18/11	K	A	
127. ☐ -Proshares Short S&P 500		None			Buy	05/02/11	K		
128. ☐ -Proshares Short S&P 500		None			Sold	05/04/11	K	A	
129. ☐ -SPDR S&P500 Trust		None			Buy	05/02/11	M		
130. ☐ -SPDR S&P500 Trust		None			Sold	05/04/11	L		
131. ☐ SPDR Dow Jones Indl ETF		None			Buy	05/02/11	M		
132. ☐ -SPDR Dow Jones Indl ETF		None			Sold	05/04/11	L		
133. ☐ -SPDR Gold Trust ETF		None			Buy	01/31/11	M		
134. ☐ -SPDR Gold Trust ETF		None			Sold	04/05/11	M	E	
135. ☐ -SPDR Gold Trust ETF		None			Buy	06/29/11	L		
136. ☐ -SPDR Gold Trust ETF		None			Sold	08/09/11	M	E	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. ☐ -SPDR Gold Trust ETF		None			Buy	08/16/11	M		
138. ☐ -SPDR Gold Trust ETF		None			Sold	08/23/11	M	D	
139. ☐ -SPDR Gold Trust ETF		None			Buy	08/26/11	L		
140. ☐ -SPDR Gold Trust ETF		None			Sold	09/26/11	L		
141. ☐ -SPDR Gold Trust ETF		None			Buy	09/26/11	L		
142. ☐ -SPDR Gold Trust ETF		None			Sold	09/28/11	L		
143. ☐ -SPDR Gold Trust ETF		None			Buy	10/10/11	K		
144. ☐ -SPDR Gold Trust ETF		None			Sold	11/23/11	L	A	
145. ☐ -Verizon Communications	A	Dividend			Buy	06/16/11	K		
146. ☐ -Verizon Communications		None			Sold	08/02/11	K	A	
147. ☐ -Verizon Communications		None			Buy	08/11/11	K		
148. ☐ -Verizon Communications		None			Sold	11/07/11	K	C	
149. ☐ -Waste Mgmt Inc	A	Dividend			Buy	08/11/11	K		
150. ☐ -Waste Mgmt Inc		None			Sold	11/03/11	K	B	
151. Wells Fargo Bank	A	Interest	N	T	Open	02/01/11	N		
152. Discover Bk - CD - 1% Due 09/30/13	A	Interest	K	T	Buy	09/28/11	K		
153. Pimco Income FD CL -C	B	Dividend	K	T	Buy	03/14/11	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Wells Fargo Bank	A	Interest	J	T	Open	03/14/11	J		
155. ☐ -AT&T Inc	A	Dividend			Buy	08/22/11	L		
156. ☐ -AT&T Inc	A	Dividend			Sold	12/12/11	L	A	
157. ☐ -Alcoa Inc		None			Buy	06/29/11	L		
158. ☐ -Alcoa Inc		None			Sold	07/18/11	K		
159. ☐ -Annaly Capital Mgmt REIT		None			Buy	06/29/11	K		
160. ☐ -Annaly Capital Mgmt REIT		None			Sold	12/12/11	K		
161. ☐ -Annaly Capital Mgmt REIT		None			Buy	08/04/11	K		
162. ☐ -Annaly Capital Mgmt REIT		None			Sold	12/12/11	K		
163. ☐ -Annaly Capital Mgmt REIT		None			Buy	08/04/11	J		
164. ☐ -Annaly Capital Mgmt REIT		None			Sold	12/12/11	J		
165. ☐ Carrizo Oil & Gas Inc		None			Buy	07/13/11	K		
166. ☐ -Carrizo Oil & Gas Inc		None			Sold	08/08/11	K		
167. ☐ Chesapeake Energy Corp		None			Buy	07/13/11	K		
168. ☐ -Chesapeake Energy Corp		None			Sold	12/12/11	K		
169. ☐ -Clean Energy Fuels Corp		None			Buy	07/13/11	K		
170. ☐ -Clean Energy Fuels Corp		None			Sold	12/12/11	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. ☐ -Clean Energy Fuels Corp		None			Buy	11/16/11	K		
172. ☐ -Clean Energy Fuels Corp		None			Sold	12/12/11	K		
173. ☐ -Cummings Inc		None			Buy	07/13/11	K		
174. ☐ -Cummings Inc		None			Sold	11/07/11	K		
175. ☐ -Duke Energy Corp		None			Buy	06/24/11	K		
176. ☐ -Duke Energy Corp		None			Sold	12/12/11	K	D	
177. ☐ -Energy Transfer Partners		None			Buy	08/04/11	K		
178. ☐ -Energy Transfer Partners		None			Sold	12/12/11	K		
179. ☐ -EOG Resources, Inc.		None			Buy	11/16/11	L		
180. ☐ -EOG Resources, Inc.		None			Sold	12/12/11	K		
181. ☐ -Google Inc		None			Buy	07/18/11	K		
182. ☐ -Google Inc		None			Sold	11/07/11	K		
183. ☐ -Southern Company		None			Buy	06/29/11	K		
184. ☐ -Southern Company		None			Sold	12/12/11	K		
185. ☐ SPDR Gold Trust ETF		None			Buy	09/08/11	K		
186. ☐ -SPDR Gold Trust ETF		None			Sold	12/12/11	K		
187. ☐ -SPDR Gold Trust ETF		None			Buy	09/13/11	L		

1. Income Gain Codes: (See Columns B1 and D4)	A - \$1,000 or less F - \$50,001 - \$100,000	B - \$1,001 - \$2,500 G - \$100,001 - \$1,000,000	C - \$2,501 - \$5,000 H1 - \$1,000,001 - \$5,000,000	D - \$5,001 - \$15,000 H2 - More than \$5,000,000	E - \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J - \$15,000 or less N - \$250,001 - \$500,000 P3 - \$25,000,001 - \$50,000,000	K - \$15,001 - \$50,000 O - \$500,001 - \$1,000,000	L - \$50,001 - \$100,000 P1 - \$1,000,001 - \$5,000,000 P4 - More than \$5,000,000	M - \$100,001 - \$250,000 P2 - \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q - Appraisal U - Book Value	R - Cost (Real Estate Only) V - Other	S - Assessment W - Estimated	T - Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

188. ☐ -SPDR Gold Trust ETF		None			Sold	12/12/11	K		
189. ☐ -Verizon Communications	A	Dividend			Buy	06/24/11	K		
190. ☐ -Verizon Communications		None			Sold	12/12/11	L	C	
191.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, page 1, line 1: The date of purchase was April 2, 2002. The actual cost is \$425,865.

Part VII, page 1, line 2: The date of the appraisal was June 6, 2011 with an valuation date of June 6, 2011.

Part VII, page 1, line 22: The date of purchase was 06/26/2009. The actual cost is \$736,770.

Part VII, page 1, line 37: The date of purchase was 04/23/10. The actual cost is \$142,296.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **James L. Graham**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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