

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Greenaway, Joseph A.	2. Court or Organization U.S. Court of Appeals for the Third Circuit	3. Date of Report 07/27/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active Article III	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address U.S. P.O. & Federal Courthouse Federal Square and Walnut St. Newark, NJ 07101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Associate Editor	ABA Litigation Magazine
2.	Trustee	
3.	Trustee	
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	94-96	Johnson & Johnson Employee Savings Plan
2.	95-96	Johnson & Johnson Pension Plan
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2017	[REDACTED] - teaching	\$26,925.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2017	[REDACTED]
2. 2017	self employed consultant
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. [REDACTED]	February 28-March 6, 2017	London, UK	Attend Board of Trustess meeting	transportation, lodging, meals
2. [REDACTED]	June 8-10, 2017	New York, NY	Attend Board of Trustees meeting	transportation, lodging, meals
3. [REDACTED]	October 5-6, 2017	New York, NY	Attend Board of Trustees meeting	transportation, lodging, meals
4. University of Tennessee College of Law	October 19-20, 2017	Knoxville, TN	Judge moot court competition	transportation, lodging, meals
5. Harvard Law School	October 26-27, 2017	Cambridge, MA	Speak on panel	transportation, lodging

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6.		November 30- December 2, 2017	New York, NY	Attend Board of Trustees meeting	transportation, lodging, meals
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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	American Express	Credit Card	K
2.	Citibank Mastercard	Credit Card	K
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Merck common stock	A	Dividend	K	T	Buy (add'l)	11/13/17	J		
2.					Buy (add'l)	12/22/17	J		
3. Microsoft	A	Dividend	J	T	Sold (part)	09/15/17	J	D	
4.					Sold (part)	11/13/17	L	F	
5.					Sold (part)	12/22/17	K	E	
6. McDonalds common stock	A	Dividend	K	T	Buy (add'l)	07/13/17	K		
7.					Sold (part)	09/15/17	K	A	
8.					Sold (part)	11/13/17	K	B	
9.					Sold (part)	12/22/17	K	C	
10. Johnson & Johnson common stock	E	Dividend	N	T	Buy (add'l)	11/13/17	J		
11.					Buy (add'l)	12/22/17	J		
12. U.S. Savings Bonds	A	Interest	J	T					
13. Citibank (checking & savings)	A	Interest	J	T					
14. Disney common stock	A	Dividend	K	T					
15. Intel common stock	A	Dividend	J	T					
16. Johnson & Johnson 401(k) Diversified Equity Fund	A	Dividend	O	T					
17. U.S. Treasury Strips (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. GE common stock	A	Dividend	J	T					
19. Pfizer common stock	A	Dividend	J	T	Buy (add'l)	11/13/17	J		
20.					Buy (add'l)	12/22/17	J		
21. Comcast common stock	A	Dividend	J	T	Buy (add'l)	11/13/17	J		
22.					Buy (add'l)	12/22/17	J		
23. Wells Fargo Securities IRA money market cash sweeps account	A	Int./Div.	J	T					
24. Northwestern Mutual Life Insurance		None	N	W					
25. Vanguard 401K Healthcare Fund	A	Dividend			Sold (part)	04/04/17	J		
26.					Sold (part)	09/15/17	J	A	
27.					Sold	11/10/17	J	A	
28. Vanguard 401K Total Stock Market Index	B	Dividend			Sold (part)	04/04/17	K	D	
29.					Sold (part)	09/15/17	K	D	
30.					Sold	12/21/17	K	E	
31. Vanguard 401K Dividend Growth	B	Dividend			Sold (part)	04/04/17	K	D	
32.					Sold (part)	09/15/17	K	C	
33.					Sold	11/10/17	K	D	
34. Alphabet common stock		None	K	T	Sold (part)	09/15/17	K	D	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35.					Sold (part)	11/13/17	K	E	
36.					Sold (part)	12/22/17	L	E	
37. Apple common stock	A	Dividend	K	T	Buy (add'l)	11/13/17	J		
38.					Buy (add'l)	12/22/17	J		
39. AllianceBernstein Large-Cap Growth Fund	A	Dividend	J	T					
40. AllianceBernstein Global Thematic Growth Fund		None	J	T					
41. Oppenheimer Main Street Fund	A	Dividend	J	T					
42. ADP common stock	A	Dividend	J	T					
43. Facebook		None	J	T	Sold (part)	11/13/17	L	F	
44.					Sold (part)	12/22/17	L	F	
45. Vanguard Windsor Fund	B	Dividend			Sold	11/10/17	L	E	
46. Vanguard 401K Value Index Fund	B	Dividend			Sold (part)	04/04/17	K	C	
47.					Sold (part)	09/15/17	K	C	
48.					Sold	11/10/17	K	D	
49. Vanguard 401K Prime Money Market Fund (Y)					Sold (part)	11/09/17	J		
50. Chase Bank	A	Interest	K	T					
51. IBM	A	Dividend			Sold (part)	04/04/17	K	D	

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52.					Sold	05/08/17	K	D	
53. Amazon		None	J	T	Sold (part)	09/15/17	K	D	
54.					Sold (part)	11/13/17	K	D	
55.					Sold (part)	12/22/17	K	D	
56. AT&T (Y)									
57. Vanguard Small Cap Index Admiral Fund	A	Dividend			Sold (part)	04/04/17	K	C	
58.					Sold (part)	09/15/17	K	C	
59.					Sold (part)	11/10/17	K	C	
60.					Sold	12/21/17	K	C	
61. Vanguard Mid Cap Value Index Admiral	A	Dividend			Sold (part)	04/04/17	K	B	
62.					Sold (part)	09/15/17	J	B	
63.					Sold	12/22/17	K	C	
64. Vanguard Wellington Admiral Fund	A	Dividend			Sold (part)	04/04/17	K	A	
65.					Sold (part)	09/15/17	J	A	
66.					Sold	12/08/17	K	B	
67. Fidelity Low Priced Stock Fund	B	Dividend			Sold (part)	04/04/17	K	B	
68.					Sold (part)	09/15/17	K	A	

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69.					Sold (part)	11/10/17	K	B	
70.					Sold	12/21/17	J	B	
71. Prudential Jennison Blend Fund Inc. (X)	A	Dividend	J	T					
72. Vanguard Intern. Bond Index Admiral Fund	A	Dividend			Buy	04/04/17	K		
73.					Sold	11/24/17	K	A	
74. Vanguard Short Term Bond Index Admiral	A	Dividend			Buy	04/04/17	K		
75.					Sold	11/24/17	K		
76. Vanguard Total Bond Market Fund	A	Dividend			Buy	04/04/17	K		
77.					Sold	12/21/17	K		
78. Vanguard Total Int'l Stock Index Fund	A	Dividend			Buy	04/04/17	L		
79.					Sold (part)	09/15/17	K	C	
80.					Sold	12/21/17	K	C	
81. [REDACTED] Ret. Plan for Offs. Vanguard Target Retirement 2035 (X)	C	Dividend	M	T					
82. [REDACTED] Vol. Ret. Sav. Plan Vanguard Target Retirement 2035 (X)	C	Dividend	M	T					
83. 3M	A	Dividend	J	T	Buy	11/13/17	J		
84.					Buy (add'l)	12/22/17	J		
85. Accenture		None	J	T	Buy	11/13/17	J		

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86.					Buy (add'l)	12/22/17	J		
87. Amgen, Inc.	A	Dividend	J	T	Buy	11/13/17	J		
88.					Buy (add'l)	12/22/17	J		
89. Anheuser-Busch	A	Dividend	J	T	Buy	11/13/17	J		
90.					Buy (add'l)	12/22/17	J		
91. Applied Materials Inc.	A	Dividend	J	T	Buy	11/13/17	J		
92.					Buy (add'l)	12/22/17	J		
93. AXA Spons ADR		None	J	T	Buy	11/13/17	J		
94.					Buy (add'l)	12/22/17	J		
95. Bank of New York Mellon Corp.		None	J	T	Buy	11/13/17	J		
96.					Buy (add'l)	12/22/17	J		
97. Becton Dickinson	A	Dividend	J	T	Buy	11/13/17	J		
98.					Buy (add'l)	12/22/17	J		
99. Berkshire Hathaway		None	J	T	Buy	11/13/17	J		
100.					Buy (add'l)	12/22/17	J		
101. Biogen Inc.		None	J	T	Buy	11/13/17	J		
102.					Buy (add'l)	12/22/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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103. Blackrock, Inc. common stock	A	Dividend	J	T	Buy	11/13/17	J		
104.					Buy (add'l)	12/22/17	J		
105. Broadcom LTD	A	Dividend	J	T	Buy	11/13/17	J		
106.					Buy (add'l)	12/22/17	J		
107. California St. Dept. 3.127% 12/01/29	A	Interest	K	T	Buy	12/21/17	K		
108. Canadian National Railway	A	Dividend	J	T	Buy	11/13/17	J		
109.					Buy (add'l)	12/22/17	J		
110. Carlisle Cos. Inc.	A	Dividend	J	T	Buy	11/13/17	J		
111.					Buy (add'l)	12/22/17	J		
112. Charles Schwab Corp. common stock		None	J	T	Buy	11/13/17	J		
113.					Buy (add'l)	12/22/17	J		
114. Chevron Corp.	A	Dividend	J	T	Buy	11/13/17	J		
115.					Buy (add'l)	12/14/17	J		
116. Clorox		None	J	T	Buy	11/13/17	J		
117.					Buy (add'l)	12/22/17	J		
118. Cognizant Technology Solutions Corp.	A	Dividend	J	T	Buy	11/13/17	J		
119.					Buy (add'l)	12/22/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Credit Suisse Commodity Return Strat I Fund		None	K	T	Buy	11/10/17	J		
121.					Buy (add'l)	12/21/17	J		
122. Crown Holdings		None	J	T	Buy	11/13/17	J		
123.					Buy (add'l)	12/22/17	J		
124. CVS Health Corp.		None	J	T	Buy	11/13/17	J		
125.					Buy (add'l)	12/22/17	J		
126. Danaher Corp.	A	Dividend	J	T	Buy	11/13/17	J		
127.					Buy (add'l)	12/22/17	J		
128. Danone Spons ADR		None	J	T	Buy	11/13/17	J		
129.					Buy (add'l)	12/08/17	J		
130. Deere & Co.	A	Dividend	J	T	Buy	11/13/17	J		
131.					Buy (add'l)	12/22/17	J		
132. Deutsche Telekom		None	J	T	Buy	11/13/17	J		
133.					Buy (add'l)	12/22/17	J		
134. Discover Bank CD 2.35% 9/13/22	A	Interest	J	T	Buy	11/24/17	J		
135. Durham NC LTD 3.3% 10/1/27	A	Interest	K	T	Buy	12/22/17	K		
136. Emerson Electric Co.	A	Dividend	J	T	Buy	11/13/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	12/22/17	J		
138. ExxonMobil Corp.	A	Dividend	J	T	Buy	11/13/17	J		
139.					Buy (add'l)	12/22/17	J		
140. Federated Prime Cash Obligations	A	Dividend	J	T	Buy	11/06/17	N		
141.					Buy (add'l)	11/08/17	J		
142.					Sold (part)	11/10/17	N		
143.					Sold (part)	11/13/17	K		
144.					Buy (add'l)	11/14/17	J		
145.					Buy (add'l)	11/16/17	J		
146.					Sold (part)	11/21/17	J		
147.					Sold (part)	11/22/17	J		
148.					Sold (part)	11/24/17	J		
149.					Buy (add'l)	11/30/17	J		
150.					Sold (part)	12/01/17	K		
151.					Buy (add'l)	12/04/17	J		
152.					Buy (add'l)	12/05/17	J		
153.					Buy (add'l)	12/08/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	12/11/17	J		
155.					Buy (add'l)	12/12/17	J		
156.					Sold (part)	12/14/17	J		
157.					Buy (add'l)	12/15/17	J		
158.					Buy (add'l)	12/20/17	J		
159.					Buy (add'l)	12/21/17	M		
160.					Sold (part)	12/22/17	L		
161.					Buy (add'l)	12/26/17	J		
162.					Buy (add'l)	12/27/17	J		
163.					Sold (part)	12/28/17	K		
164.					Buy (add'l)	12/29/17	J		
165. FedEx Corp.	A	Dividend	J	T	Buy	11/13/17	J		
166.					Buy (add'l)	12/22/17	J		
167. FHLB 2.8% 11/27/24	A	Interest	J	T	Buy	12/05/17	J		
168. Haliburton Co.	A	Dividend	J	T	Buy	11/13/17	J		
169.					Buy (add'l)	12/14/17	J		
170. Harbor Small Cap Value	A	Dividend	J	T	Buy	11/10/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Buy (add'l)	12/21/17	J		
172. Honeywell International Inc.	A	Dividend	J	T	Buy	11/13/17	J		
173.					Buy (add'l)	12/22/17	J		
174. Intercontinental Exchange	A	Dividend	J	T	Buy	11/21/17	J		
175. iShares Core S&P 500 ETF	A	Dividend	K	T	Buy	11/13/17	K		
176.					Buy (add'l)	12/22/17	K		
177. iShares Core S&P Mid Cap ETF	A	Dividend	K	T	Buy	11/13/17	K		
178.					Buy (add'l)	12/22/17	K		
179. iShares Core S&P Small Cap ETF	A	Dividend	K	T	Buy	11/13/17	J		
180.					Buy (add'l)	12/22/17	J		
181. iShares MSCI EAFE Small Cap ETF	A	Dividend	K	T	Buy	11/13/17	J		
182.					Buy (add'l)	12/22/17	J		
183. iShares S&P Midcap 400 Growth ETF	A	Dividend	J	T	Buy	11/13/17	J		
184.					Buy (add'l)	12/22/17	J		
185. JP Morgan Chase common stock		None	J	T	Buy	11/13/17	J		
186.					Buy (add'l)	12/22/17	J		
187. Las Vegas Sands	A	Dividend	J	T	Buy	11/13/17	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Buy (add'l)	12/22/17	J		
189. Lowes Cos. Inc.		None	J	T	Buy	11/13/17	J		
190.					Buy (add'l)	12/22/17	J		
191. Mastercard, Inc.		None	J	T	Buy	11/13/17	J		
192.					Buy (add'l)	12/22/17	J		
193. Materials Select Sector SPDR Fund	A	Dividend	J	T	Buy	11/13/17	J		
194.					Buy (add'l)	12/14/17	J		
195. Matthews Pacific Tiger Inst.	A	Dividend	K	T	Buy	11/10/17	K		
196.					Buy (add'l)	12/21/17	K		
197. Medtronic	A	Dividend	J	T	Buy	11/13/17	J		
198.					Buy (add'l)	12/22/17	J		
199. New York, NY 3.05% 10/01/29	A	Interest	J	T	Buy	11/22/17	J		
200. Nike Inc.		None	J	T	Buy	12/22/17	J		
201. Oracle		None	J	T	Buy	11/13/17	J		
202.					Buy (add'l)	12/22/17	J		
203. PACCAR Inc.	A	Dividend	J	T	Buy	11/13/17	J		
204.					Buy (add'l)	12/22/17	J		

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. Pepsico Inc.	A	Dividend	J	T	Buy	11/13/17	J		
206.					Buy (add'l)	12/22/17	J		
207. Pioneer Natural Resources Co.		None	J	T	Buy	11/13/17	J		
208.					Buy (add'l)	12/14/17	J		
209. Priceline Group Inc.		None	J	T	Buy	11/13/17	J		
210.					Buy (add'l)	12/22/17	J		
211. Procter & Gamble Co.		None	J	T	Buy	11/13/17	J		
212.					Buy (add'l)	12/22/17	J		
213. Progressive Corp. Ohio		None	J	T	Buy	11/13/17	J		
214.					Buy (add'l)	12/22/17	J		
215. Salesforce.com		None	J	T	Buy	11/13/17	J		
216.					Buy (add'l)	12/22/17	J		
217. SAP SE SPONS ADR		None	J	T	Buy	11/13/17	J		
218.					Buy (add'l)	12/22/17	J		
219. Schlumberger LTD		None			Buy	11/13/17	J		
220.					Sold	12/14/17	J		
221. Signature Bank		None	J	T	Buy	11/13/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

222.					Buy (add'l)	12/22/17	J		
223. Sony		None	J	T	Buy	11/13/17	J		
224.					Buy (add'l)	12/22/17	J		
225. Starbucks Corp.	A	Dividend	J	T	Buy	11/13/17	J		
226.					Buy (add'l)	12/22/17	J		
227. Sysco Corp.		None	J	T	Buy	11/13/17	J		
228.					Buy (add'l)	12/22/17	J		
229. Texas Instruments		None	J	T	Buy	11/13/17	J		
230.					Buy (add'l)	12/22/17	J		
231. Union Pacific Corp	A	Dividend	J	T	Buy	11/13/17	J		
232.					Buy (add'l)	12/22/17	J		
233. United Health Group Inc.	A	Dividend	J	T	Buy	11/13/17	J		
234.					Buy (add'l)	12/22/17	J		
235. Univ. of Hawaii 3.28% 10/1/27	A	Interest	K	T	Buy	12/28/17	K		
236. Univ. of Hawaii 3.38% 10/1/28	A	Interest	K	T	Buy	12/28/17	K		
237. US Bancorp New	A	Dividend	J	T	Buy	11/13/17	J		
238.					Buy (add'l)	12/22/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. Utilities Select Sector SPDR Fund	A	Dividend	J	T	Buy	11/13/17	J		
240.					Buy (add'l)	12/22/17	J		
241. Vanguard FTSE Developed Markets ETF	B	Dividend	M	T	Buy	11/13/17	L		
242.					Buy (add'l)	12/22/17	L		
243. Vanguard FTSE Emerging Markets ETF	A	Dividend	K	T	Buy	11/13/17	K		
244.					Buy (add'l)	12/22/17	K		
245. Vanguard FTSE Europe ETF	A	Dividend	J	T	Buy	11/13/17	J		
246.					Buy (add'l)	12/22/17	J		
247. Vanguard REIT ETF	A	Dividend	K	T	Buy	11/13/17	J		
248.					Buy (add'l)	12/22/17	J		
249. Vanguard S/T Investment Grade FD-ADM	A	Dividend	N	T	Buy	11/10/17	N		
250.					Buy (add'l)	11/24/17	L		
251.					Buy (add'l)	12/01/17	K		
252.					Sold (part)	12/05/17	J		
253.					Sold (part)	12/20/17	J		
254.					Sold (part)	12/21/17	K		
255.					Sold (part)	12/22/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256. Verizon		None	J	T	Buy	11/13/17	J		
257.					Buy (add'l)	12/22/17	J		
258. Wells Fargo & Co. common stock		None	J	T	Buy	11/13/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Comments to Section VII.

On line 24, I list Northwestern Mutual Life Insurance. This policy is a whole life policy.

On lines 81 and 82, I have added two mutual fund accounts. In 2017, I got married. These accounts belong to my new wife.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Joseph A. Greenaway**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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